



**Careteq Limited**

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3 March 2022

The Manager  
Market Announcements Platform  
Australian Securities Exchange Limited

### **CORRECTION TO INITIAL SUBSTANTIAL SHAREHOLDER NOTICE**

Please find enclosed corrected Initial Substantial Holder Notice released on 11 January 2022.

Corrections are as follows:

	<b>Original</b>	<b>Amended</b>
<b>Name</b>	Dempsey Capital Pty Ltd	Alium Alpha Fund
<b>Number of Securities</b>	15,367,087	16,668,836
<b>Person's votes</b>	15,367,087	16,668,836
<b>Voting power</b>	12.44%	13.49%

This announcement has been approved by the Board of Directors.

#### **About Careteq Limited**

Careteq is an Australian-headquartered health-tech company that has developed and commercialised a suite of products that sit on its proprietary SaaS-based Assistive Living Technology platform for use by the elderly, disabled and vulnerable individuals. Its products and services, which improve outcomes for patients and their carers while increasing productivity, are sold in Australia and internationally through industry leading distributors and specialist retailers. Careteq generates revenues from recurring platform subscriptions, contracted medication management services and sales of its innovated range of sensors and devices that detect falls or unusual behaviour, monitor patient health, provides SOS emergency call functionality and deliver medication reminders. Careteq is using its early-mover advantage in the Assistive Living Technology sector to revolutionise aged and disability care. For more information about Careteq, visit <https://www.careteq.com.au/>.

**Subscribe to receive investor updates by emailing:** [investors@careteq.com.au](mailto:investors@careteq.com.au)

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**Form 603**Corporations Act 2001  
Section 671B**Notice of initial substantial holder**To Company Name/Scheme Careteq LimitedACN/ARSN 612 267 857**1. Details of substantial holder (1)**Name Alium Alpha FundACN/ARSN (if applicable) 46 698 796 914The holder became a substantial holder on 01 / 10 / 2022**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Fully Paid	16,668,836	16,668,836	13.49%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Dempsey Capital Pty Ltd ATF Alium Alpha Fund	Fund	Ordinary Fully Paid 16,668,836
Alium Capital Management Pty Ltd	Investment Manager	Ordinary Fully Paid 16,668,836

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Dempsey Capital Pty Ltd ATF Alium Alpha Fund	Dempsey Capital Pty Ltd ATF Alium Alpha Fund		16,668,836

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Dempsey Capital Pty Ltd ATF Alium Alpha Fund	Pre IPO stock/ IPO stock and Purchases			Ordinary Fully paid 16,668,836

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Alium Capital Management Pty Ltd	Investment Manager

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Alium Capital Management Pty Ltd	L2, 139 Macquarie Street, Sydney, NSW, 2000
Dempsey Capital Pty Ltd ATF Alium Alpha Fund	L2, 139 Macquarie Street, Sydney, NSW, 2000

## Signature

print name Michael Considine

capacity Director

sign here



date 17 / 01 / 2022

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person ( eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.