



ASX Announcement 23 August 2022

NOTICE OF CHANGE OF DIRECTORS' INTERESTS

ASX Code: ASW

Capital Structure:

Shares: 193.4m

Options: nil

Share price: \$0.235

Market capitalisation: \$45.45m

Board of Directors:

Simon Cato – *Chairman*

Kim Chong – *Managing Director*

Stuart Third – *Non-executive Director/ Company Secretary*

About ASW:

Advanced Share Registry Limited provides registry services to listed and unlisted clients on a national basis. The business has built its registry operations through its reputation and delivery as an efficient, cost effective service provider.

Advanced Share Registry Ltd (**ASX:ASW**, '**Company**') refers to the attached Appendix 3Y being lodged for each of the Directors of the Company today relating to shares issued upon the 9:2 split of shares which occurred in November 2021. In anticipation of the questions that may arise due to the late notification of the changes, the Company has prepared the explanatory statement below.

The changes recorded on the attached notices for each of the Directors relate solely to the issue of shares under the 9:2 share split approved at the Company's AGM on 19 November 2021 which occurred on 26 November 2021.

The Company made all relevant announcements regarding the share split, however, through administrative oversight, the notices relating to the increased shareholdings of the Directors were not released at the same time.

In accordance with Listing Rule 3.19B, the Company requires its Directors to notify the Company Secretary of any acquisition or disposal of shares within 5 business days to permit the Company to meet its reporting obligations within the time limits required by Listing Rule 3.19A.2.

The Company was taken to have received the relevant notice from the Directors at the time of the issue of shares, however, the notices were overlooked when releasing the other information required relating to the share split. The Company is of the opinion that its notification procedures are sufficient for it to meet its obligations under the Listing Rules.

Stuart Third
Company Secretary

Member of Securities Registrars Association of Australia Inc.

Advanced Share Registry Limited ABN 14 127 175 946

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Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	ADVANCED SHARE REGISTRY LTD
ABN	14 127 175 946

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Kim Phin Chong
Date of last notice	30 September 2021

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	KMC Automation Pty Ltd - Director related entity
Date of change	26 November 2021
No. of securities held prior to change	24,128,734
Class	Ordinary
Number acquired	84,450,569
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	Nil - acquisition due to share split approved at AGM 19 November 2021
No. of securities held after change	108,579,303

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Issue of shares pursuant to share split approved at AGM on 19 November 2021
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Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/a
Nature of interest	N/a
Name of registered holder (if issued securities)	N/a
Date of change	N/a
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/a
Interest acquired	N/a
Interest disposed	N/a
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/a
Interest after change	N/a

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

⁺ See chapter 19 for defined terms.

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Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	ADVANCED SHARE REGISTRY LTD
ABN	14 127 175 946

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Simon Kenneth Cato
Date of last notice	14 November 2019

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (j) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct and Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Rosemont Asset Pty Ltd – Director related entity SK Cato Superannuation Fund – Director is member of fund
Date of change	26 November 2021
No. of securities held prior to change	550,000 held as: Simon K Cato 405,000 Rosemont Asset Pty Ltd 125,000 SK Cato Super Fund 20,000
Class	Ordinary
Number acquired	1,925,000
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	Nil – acquisition due to share split approved at AGM 19 November 2021
No. of securities held after change	2,475,000

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Issue of shares pursuant to share split approved at AGM on 19 November 2021
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Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/a
Nature of interest	N/a
Name of registered holder (if issued securities)	N/a
Date of change	N/a
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/a
Interest acquired	N/a
Interest disposed	N/a
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/a
Interest after change	N/a

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

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Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	ADVANCED SHARE REGISTRY LTD
ABN	14 127 175 946

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Stuart Alexander Third
Date of last notice	21 January 2016

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (j) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Milamber Nominees Pty Ltd – Director related entity
Date of change	26 November 2021
No. of securities held prior to change	10,000
Class	Ordinary
Number acquired	35,000
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	Nil – acquisition due to share split approved at AGM 19 November 2021
No. of securities held after change	45,000

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Issue of shares pursuant to share split approved at AGM on 19 November 2021
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Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/a
Nature of interest	N/a
Name of registered holder (if issued securities)	N/a
Date of change	N/a
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/a
Interest acquired	N/a
Interest disposed	N/a
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/a
Interest after change	N/a

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/a
If prior written clearance was provided, on what date was this provided?	N/a

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