

## **Issue of Shares upon Exercise of Performance Rights and under Director Fee Plan**

Complii FinTech Solutions Limited (**Complii** or the **Company**) (CF1.ASX) advises that the Company has issued 13,000,000 fully paid ordinary shares (**Shares**) upon the exercise of unquoted performance rights that vested on achievement of performance milestones in accordance with the Company's Incentive Performance Rights Plan.

Separately, the Company has issued 392,197 Shares to non-executive director, Nick Prosser under the Director Fee Plan in lieu of director's fees owed to Mr Prosser for the period from 1 July 2021 to 30 June 2022. Shareholder approval was obtained for the issue of Shares to Mr Prosser at the Annual General Meeting held on 26 October 2021.

Appendix 2A notices applying for quotation of 2,392,197 Shares have been lodged separately. An Appendix 3G in relation to the issue of 11,000,000 Shares remaining subject to escrow until 17 December 2022 has also been lodged separately.

### **Secondary Trading Notice Pursuant to Paragraph 708A(5)(e) of the Corporations Act 2001 ("Act")**

The Act restricts the on-sale of securities issued without disclosure, unless the sale is exempt under section 708 or 708A of the Act. By giving this notice, a sale of the Shares noted above will fall within the exemption in section 708A(5) of the Act.

The Company hereby notifies ASX under paragraph 708A(5)(e) of the Act that:

- (a) the Company issued the Shares without disclosure to investors under Part 6D.2 of the Act;
- (b) as at the date of this Notice, the Company has complied with the provisions of Chapter 2M of the Act as they apply to the Company, and section 674 of the Act; and
- (c) as at the date of this Notice, there is no information:
  - i. that has been excluded from a continuous disclosure notice in accordance with the ASX Listing Rules; and
  - ii. that investors and their professional advisers would reasonably require for the purposes of making an informed assessment of:
    - a. the assets and liabilities, financial position and performance, profits and losses and prospects of the Company; or
    - b. the rights and liabilities attaching to the relevant Shares.

**Complii FinTech Solutions Ltd**

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This announcement is authorised by the Board of Complii Fintech Solutions Limited.

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