



## Announcement Summary

**Entity name**

COMPLII FINTECH SOLUTIONS LTD

**Date of this announcement**

Wednesday October 05, 2022

**The +securities the subject of this notification are:**

☒ +Securities issued as part of a transaction or transactions previously announced to the market in an Appendix 3B that are not quoted, and are not intended to be quoted, on ASX

**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
CF1AQ	OPTION EXPIRING 31-AUG-2024 EX \$0.125	2,775,413	05/10/2022

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

COMPLII FINTECH SOLUTIONS LTD

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

ABN

### Registration number

71098238585

### 1.3 ASX issuer code

CF1

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

5/10/2022



## Part 2 - Issue details

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### 2.1 The +securities the subject of this notification are:

☒ +Securities issued as part of a transaction or transactions previously announced to the market in an Appendix 3B that are not quoted, and are not intended to be quoted, on ASX

### Previous Appendix 3B details:

#### Announcement Date and Time

04-Aug-2022 17:29

#### Announcement Title

New - Proposed issue of securities - CF1

#### Selected Appendix 3B to submit quotation request

A placement or other type of issue

### 2.3a.2 Are there any further issues of +securities yet to take place to complete the transaction(s) referred to in the Appendix 3B?

☒ No



Part 3A - number and type of +securities the subject of this notification (existing class or new class) where issue has previously been notified to ASX in an Appendix 3B

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#### Placement Details

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**ASX +security code and description**

CF1AQ : OPTION EXPIRING 31-AUG-2024 EX \$0.125

**Date the +securities the subject of this notification were issued**

5/10/2022

**Any other information the entity wishes to provide about the +securities the subject of this notification**

#### Issue details

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**Number of +securities**

2,775,413

**Were the +securities issued for a cash consideration?**

☒ No

**Please describe the consideration being provided for the +securities**

Options issued pursuant to the compulsory acquisition process following the takeover offer of Registry Direct Limited as announced on 6 June 2022.



## Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

## 4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
CF1 : ORDINARY FULLY PAID	436,011,172

## 4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
CF1AR : OPTION EXPIRING 31-MAY-2023 EX \$0.0675	1,388,890
CF1AQ : OPTION EXPIRING 31-AUG-2024 EX \$0.125	30,966,439
CF1AM : PERFORMANCE RIGHTS	11,306,727
CF1AF : OPTION EXPIRING 31-DEC-2023 EX \$0.10	15,039,984
CF1AG : OPTION EXPIRING 31-DEC-2023 EX \$0.05	7,500,000
CF1AI : OPTION EXPIRING 31-DEC-2023 RESTRICTED	26,293,351
CF1AJ : PERFORMANCE RIGHTS RESTRICTED	12,000,000
CF1AN : OPTION EXPIRING 03-NOV-2023 EX \$0.075	16,000,000
CF1AO : OPTION EXPIRING 03-NOV-2023 EX \$0.10	21,000,000
CF1AD : ORDINARY FULLY PAID RESTRICTED	80,195,203
CF1AE : OPTION EXPIRING 31-DEC-2022 EX \$0.05	11,088,919
CF1AP : OPTION EXPIRING 31-DEC-2022 RESTRICTED	17,909,620

