

# **ASX ANNOUNCEMENT**

11 October 2022 ASX: NWM

# Change to substantial shareholder

Attached are Notice of Change of Substantial Shareholding. The changes to relevant interests triggering the Notices were caused by the Company's recent placement, not an acquisition or disposal of securities by the holders.

\*\*\*ENDS\*\*\*

For further information:

## **Shareholders contact:**

Charles Schaus Chief Executive Officer Ph: +61 8 6117 0457

E: info@norwestminerals.com.au

Authorised for release by the Company Secretary of Norwest Minerals Limited.

## Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme Norwest Minerals Limited

ACN/ARSN 622 979 275

## 1. Details of substantial holder (1)

Name Catherine Keng Fan Chee

ACN/ARSN (if applicable)

There was a change in the interests of the

substantial holder on  $\frac{19}{09}/\frac{2022}{2022}$ 

The previous notice was given to the company on  $\frac{13}{10}/\frac{2020}{2020}$ 

The previous notice was dated  $\frac{13}{10}/\frac{2020}{2020}$ 

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		ass of securities (4) Previous notice Present notice		
	Person's votes Voting power (5)		Person's votes	Voting power (5)	
ordinary shares	6,666,667	6.36%	11,111,112	5.00%	

## 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
16/08/2021	Catherine Keng Fan Chee	acquisition of shares	\$0.07 per share	4,444,445 ordinary shares	4,444,445

## 4. Present relevant interests

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Catherine Keng Fan Chee	Catherine Keng Fan Chee	N/A	Registered holder of shares	11,111,112 ordinary shares	11,111,112 ordinary shares

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address			
Catherine Keng Fan Chee	463 Pasir Panjang Road #05-28 Village @ Pasir Panjang			
	Singapore 118797			

Signature	ure
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print name

atherine Keng Fan Chee

capacity

30

sign here

date

09 / 2022

## **DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

## Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme Norwest Minerals Limited

ACN/ARSN 622 979 275

1. Details of substantial holder (1)

Name YF Chee Holdings Sdn Bhd

ACN/ARSN (if applicable)

There was a change in the interests of the

substantial holder on

19/09/2022

The previous notice was given to the company on

02/02/2021

The previous notice was dated

01/02/2021

## 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice	Previous notice		
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ordinary shares	9,666,666	8.88%	16,111,112	7.25%
1				

## 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
16/08/2021	YF Chee Holdings Sdn Bhd	acquisition of shares	\$0.07 per share	6,444,446 ordinary shares	6,444,446
			9		

## 4. Present relevant interests

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
YF Chee Holdings Sdn Bhd	YF Chee Holdings Sdn Bhd	N/A	Registered holder of shares	16,111,112 ordinary shares	16,111,112 ordinary shares

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
YF Chee Holdings Sdn Bhd	49A Jalan USJ 1/20 Subang Jaya Selangor 47600 Malaysia

# Signature

sign here

Yew Fei Chee

capacity

Director

date

\$0 / 09 / 2012

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

## Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme Norwest Minerals Limited

ACN/ARSN 622 979 275

## 1. Details of substantial holder (1)

Name Merit Grace Global Limited

ACN/ARSN (if applicable)

There was a change in the interests of the

substantial holder on  $\frac{19}{2022}$ 

The previous notice was given to the company on  $\frac{19}{10}/\frac{2020}{2020}$ 

The previous notice was dated  $\frac{19}{10}/\frac{2020}{2020}$ 

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes Voting power (5)		Person's votes	Voting power (5)
ordinary shares	6,666,667	6.36%	11,166,667	5.03%

## 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
16/08/2021	Merit Grace Global Limited	acquisition of shares	\$0.07 per share	4,450,000 ordinary shares	4,450,000

#### 4. Present relevant interests

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Merit Grace Global Limited	Merit Grace Global Limited	N/A	Registered holder of shares	11,166,667 ordinary shares	11,166,667 ordinary shares

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

# 6. Addresses

The addresses of persons named in this form are as follows:

Merit Grace Global Limited  18 Sin Ming Lane, #08-06 Mid View City, Singapore 5739

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J	Ц	u	п	a	L	u		е

print name

LZONG KOK HOU capacity

date

sign here

DIRECTIONS

- If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the (1 manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- See the definition of "associate" in section 9 of the Corporations Act 2001.
- See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001. (3
- The voting shares of a company constitute one class unless divided into separate classes.
- The person's votes divided by the total votes in the body corporate or scheme multiplied by 100. (5
- Include details of:
  - any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) (a) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this
  - any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or (b) disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification

- Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the has, or may, become string or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write (8

## **Corporations Act 2001** Section 671B

# Notice of change of interests of substantial holder

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To Company Name/Scheme	Norwest Mine	rals Limited		
ACN/ARSN	622 979 275			
1. Details of substantial holde	r (1)			
Name	Ching Hong I	oong		
ACN/ARSN (if applicable)				
There was a change in the interest substantial holder on	ests of the	<u>19/09/2022</u>		
The previous notice was given to	the company on	<u>13</u> / <u>10</u> / <u>2020</u>		
The previous notice was dated		12/10/2020		

## 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

12/10/2020

Class of securities (4)	Previous notice	Previous notice		
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ordinary shares	6,700,000	6.39%	11,166,667	5.03%

## 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
16/08/2021	Ching Hong Loong	acquisition of shares	\$0.07 per share	4,466,667 ordinary shares	4,466,667

## 4. Present relevant interests

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Ching Hong Loong	Ching Hong Loong	N/A	Registered holder of shares	11,166,667 ordinary shares	11,166,667 ordinary shares

# 5. Changes In association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association	
N/A		

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address		
Ching Hong Loong	109 Jalan BU 2/7 Bandar Utamar Petaling Jaya 47800 Malaysia		

## **Signature**

print name

ching Hong Loong.

capacity Director

sign here -

date

,

1

#### **DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.