# Form 604

Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme SANTANA MINERALS LTD (SMI)

ACN/ARSN 161 946 989

1. Details of substantial holder (1)

Name Regal Funds Management Pty Limited and its associates

(see Annexure 1)

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on

The previous notice was given to the company on

The previous notice was dated

10/10/2022

13/09/2022

9/09/2022

# 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

	Previous notice		Current notice		
Class of securities (4)	Person's votes	Voting power (5)  Person's votes  Voting power (5)		Voting power (5)	
ORDINARY	10,571,949	7.16%	8,952,255	*6.06%	

<sup>\*</sup>The % substantial is based on the shares on issue of 147,637,288 as disclosed by the Company on 09-09-2022.

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities	
	See Annexure 2			

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Regal Funds Management Pty Ltd and its associates	UBS NOMINEES PTY LTD	UBS AG, AUSTRALIA BRANCH	HOLDER OF SHARES	1,179,780 ORDINARY	1,179,780
Regal Funds Management Pty Ltd and its associates	MERRILL LYNCH (AUS) NOMINEES PTY LTD	MERRILL LYNCH INTERNATIONAL LIMITED	HOLDER OF SHARES	7,617,925 ORDINARY	7,617,925
Regal Funds Management Pty Ltd and its associates	J.P. MORGAN PRIME NOMINEES LTD	J.P MORGAN SECURITIES PLC	HOLDER OF SHARES	154,550 ORDINARY	154,550

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association	
REGAL PARTNERS LIMITED	100% OWNER OF REGAL FUNDS MANAGEMENT	
REGAL FARTNERS LIMITED	PTY LIMITED	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
REGAL FUNDS MANAGEMENT PTY LIF	LEVEL 47, GATEWAY, 1 MACQUARIE PLACE,
REGAL FONDS WANAGEWENT FIT EII	SYDNEY NSW 2000
REGAL PARTNERS LIMITED	LEVEL 47, GATEWAY, 1 MACQUARIE PLACE,
REGAL PARTNERS LIMITED	SYDNEY NSW 2000

S	i	q	n	a	t	u	re	ļ

print name Kenny Ho capacity Company Secretary

sign here date 12/10/2022

## Annexure 1

## **Details of Substantial Holders**

ACN	Name
107 576 821	REGAL FUNDS MANAGEMENT PTY LIMITED
129 188 450	REGAL PARTNERS LIMITED

# Annexure 2

# **Changes in Relevant Interests**

Date	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities affected	Class of securities	Persons votes affected
	Regal Funds Management Pty Limited (and Regal Partners Limited by virtue of control of Regal Funds					
27/09/2022	,	Buy	675000.00	1000000	Ordinary	1000000
	Regal Funds Management Pty Limited (and Regal					
27/09/2022	Partners Limited by virtue of control of Regal Funds  Management Pty Limited)	Sell	675000.00	1000000	Ordinary	1000000
27/09/2022	• , ,	Sell	675000.00	1000000	Ordinary	1000000
	Regal Funds Management Pty Limited (and Regal					
	Partners Limited by virtue of control of Regal Funds					
28/09/2022	Management Pty Limited)	Buy	650000.00	1000000	Ordinary	1000000
	Regal Funds Management Pty Limited (and Regal					
	Partners Limited by virtue of control of Regal Funds					
28/09/2022	Management Pty Limited)	Sell	650000.00	1000000	Ordinary	1000000

		Regal Funds Management Pty Limited (and Regal					
		Partners Limited by virtue of control of Regal Funds					
10/	/10/2022	Management Pty Limited)	Buy	607411.14	880306	Ordinary	880306
		Regal Funds Management Pty Limited (and Regal					
		Partners Limited by virtue of control of Regal Funds					
10/	/10/2022	Management Pty Limited)	Sell	1725000.00	2500000	Ordinary	2500000