



Announcement Summary

Entity name

ANTERIS TECHNOLOGIES LTD

Date of this announcement

Tuesday November 01, 2022

The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	OPTION EXPIRING 24-OCT-2027 EX \$22.84	1,500	24/10/2022

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

ANTERIS TECHNOLOGIES LTD

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

ABN

### Registration number

35088221078

### 1.3 ASX issuer code

AVR

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

1/11/2022



## Part 2 - Issue details

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### **2.1 The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### **2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

OPTION EXPIRING 24-OCT-2027 EX \$22.84

**+Security type**

Options

**ISIN code****Date the +securities the subject of this notification were issued**

24/10/2022

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ No**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?**☒ Yes**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**<https://www.asx.com.au/asxpdf/20220426/pdf/4589zx29jvhjlb.pdf>

## Options Details

**+Security currency**

AUD - Australian Dollar

**Exercise price**

AUD 22.84000000

**Expiry date**

24/10/2027

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

Each option converts into one fully paid ordinary share (ASX:AVR)

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**<https://www.asx.com.au/asxpdf/20220426/pdf/4589zx29jvhjlb.pdf>**Any other information the entity wishes to provide about the +securities the subject of this notification**

Nil



Issue details

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**Number of +securities**

1,500

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Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
AVR : ORDINARY FULLY PAID	13,900,216

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
AVRAM : OPTION EXPIRING 28-MAY-2026 EX \$8.72	917
AVRAO : OPTION EXPIRING 22-JUL-2026 EX \$7.66	1,250
AVRAD : WARRANTS	49,388
AVRAAB : OPTION EXPIRING 13-JUN-2027 EX \$9.48	41,222
AVRAK : OPTION EXPIRING 12-APR-2024 EX \$10.00	350,000
AVRAI : OPTION EXPIRING 20-JAN-2024 EX \$10.00	150,000
AVRAAA : OPTION EXPIRING 13-JUN-2027 EX \$12.96	768,778
AVRAC : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	515,060
AVRAG : OPTION EXPIRING 07-OCT-2025 EX \$4.00	250
AVRAH : OPTION EXPIRING 27-OCT-2025 EX \$3.94	400
AVRAX : OPTION EXPIRING 24-DEC-2024 EX \$10.00	75,000
AVRAF : OPTION EXPIRING 03-JUN-2025 EX \$7.58	166
AVRAE : OPTION EXPIRING 17-APR-2025 EX \$3.50	915



AVRAN : OPTION EXPIRING 16-JUL-2023 EX \$11.50	136,032
AVRAZ : OPTION EXPIRING 27-APR-2027 EX \$17.11	2,750
AVRAW : OPTION EXPIRING 21-DEC-2023 EX \$15.00	500,000
AVRAR : OPTION EXPIRING 23-SEP-2026 EX \$8.88	190,000
AVRAS : OPTION EXPIRING 27-SEP-2025 EX \$10.00	1,058,849
AVRAAC : OPTION EXPIRING 29-JUN-2027 EX \$22.01	21,250
AVRAV : OPTION EXPIRING 11-NOV-2026 EX \$8.60	1,250
AVRAP : OPTION EXPIRING 10-SEP-2026 EX \$8.97	3,500
AVRAQ : OPTION EXPIRING 10-SEP-2026 EX \$8.50	20,000
AVRAY : OPTION EXPIRING 09-FEB-2027 EX \$17.23	10,500
New class - code to be confirmed : OPTION EXPIRING 24-OCT-2027 EX \$22.84	1,500





Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

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