

# Form 603

Corporations Act 2001  
Section 671B

## Notice of initial substantial holder

To Company Name/Scheme LYNAS RARE EARTHS LIMITED

ACN/ARSN 009 066 648

### 1. Details of substantial holder (1)

Name State Street Corporation and subsidiaries named in Annexures to this form

ACN/ARSN (if applicable) \_\_\_\_\_

The holder became a substantial holder on 02/05/2023

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary	47,426,337	47,426,337	5.08%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure A		

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Annexure A			

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-Cash	
Annexure B & D				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Annexure A	

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Annexure C	

**Signature**

print name **Alok Maheshwary**

Capacity: **Authorised signatory**

sign here

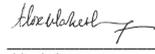


date **04/05/2023**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

 04 May 2023

Alok Maheshwary  
Authorised Signatory

- 3. Details of relevant interests
- 4. Details of present registered holders
- 6. Associates

Holder of relevant interest (Section 3, 4 and 6)	Nature of Relevant Interest (Section 3)	Registered Holder of securities (Section 4)	Person Entitled to be registered as holder (Section 4)	Class and number of Securities (Section 3 and 4)		Nature of Association (Section 6)
SSGA FUNDS MANAGEMENT, INC.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	805,046	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS (JAPAN) CO., LTD.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	452,384	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS ASIA LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	12,909	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	90,023	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Credit Suisse AG	n/a	Ordinary	19,833	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	46,290	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	177,070	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	163,344	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	127,842	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	24,975	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	71,370	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	1,372,668	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	356,383	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	168,983	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	355,282	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	6,076,385	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	n/a	Ordinary	397,189	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	4,402,306	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	8,576,103	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	10,913,941	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, LTD.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	12,176	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	AWARE SUPER PTY LTD AS TRUSTEE OF THE AWARE SUPER	AWARE SUPER PTY LTD AS TRUSTEE OF THE AWARE SUPER	Ordinary	3,656,745	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	CALSTRS	CALSTRS	Ordinary	932,663	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION	LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION	Ordinary	201,946	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	MONTANA BOARD OF INVESTMENTS	MONTANA BOARD OF INVESTMENTS	Ordinary	105,432	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	SSUTM AUTHORISED UNIT TRUST SCHEME	SSUTM AUTHORISED UNIT TRUST SCHEME	Ordinary	95,040	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	STATE STREET GLOBAL ADVISORS FUNDS MANAGEMENT LIMITED	STATE STREET GLOBAL ADVISORS FUNDS MANAGEMENT LIMITED	Ordinary	25,530	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	Ordinary	789,978	Subsidiary of State Street Corporation

STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	VANECK ETF FUNDS	VANECK ETF FUNDS	Ordinary	1,378,411	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(BA) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	WA STATE INVESTMENT BOARD	WA STATE INVESTMENT BOARD	Ordinary	326,290	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For collateral securities: Relevant interest under section 608(BA) being the right, as lender, to dispose of shares transferred to secure a securities loan	THE GOLDMAN SACHS GROUP	THE GOLDMAN SACHS GROUP	Ordinary	5,291,800	Subsidiary of State Street Corporation



04 May 2023

Alok Maheshwary  
Authorised Signatory

5. Consideration

Holder of relevant interest	Date of Acquisition	Nature of transaction	Consideration	Class and number of Securities affected
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	18-Jan-23	Purchase	8.54	Ordinary 846
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	15-Feb-23	Purchase	8.16	Ordinary 6,182
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	16-Feb-23	Purchase	8.28	Ordinary 1,686
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	17-Feb-23	Purchase	8.29	Ordinary 1,692
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	23-Feb-23	Purchase	8.46	Ordinary 282
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	6-Mar-23	Purchase	7.45	Ordinary 1,180
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	3-Apr-23	Purchase	6.62	Ordinary 1,776
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	5-Apr-23	Purchase	6.21	Ordinary 27,624
STATE STREET GLOBAL ADVISORS LIMITED	10-Feb-23	Purchase	9.03	Ordinary 1,146
STATE STREET GLOBAL ADVISORS LIMITED	17-Mar-23	Purchase	6.58	Ordinary 87
STATE STREET GLOBAL ADVISORS LIMITED	30-Mar-23	Purchase	6.34	Ordinary 174
STATE STREET GLOBAL ADVISORS LIMITED	31-Mar-23	In specie contribution	n/a	Ordinary 3,144
STATE STREET GLOBAL ADVISORS LIMITED	13-Apr-23	Purchase	6.40	Ordinary 87
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	6-Jan-23	In specie contribution	n/a	Ordinary 2,040
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	30-Jan-23	Purchase	9.71	Ordinary 14,636
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	10-Feb-23	In specie contribution	n/a	Ordinary 1,019
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	17-Feb-23	In specie contribution	n/a	Ordinary 6,890
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	20-Feb-23	In specie contribution	n/a	Ordinary 1,019
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	23-Feb-23	In specie contribution	n/a	Ordinary 2,038
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	24-Feb-23	In specie contribution	n/a	Ordinary 1,020
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	28-Feb-23	Purchase	8.20	Ordinary 12,113
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	2-Mar-23	In specie contribution	n/a	Ordinary 8,192
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	6-Mar-23	In specie contribution	n/a	Ordinary 2,050
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	17-Mar-23	Purchase	6.58	Ordinary 3,841
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	23-Mar-23	In specie contribution	n/a	Ordinary 1,057
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	30-Mar-23	Purchase	6.34	Ordinary 133,298
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	31-Mar-23	Purchase	6.34	Ordinary 31,546
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	31-Mar-23	In specie contribution	n/a	Ordinary 5,528
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	3-Apr-23	In specie contribution	n/a	Ordinary 1,055
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	6-Apr-23	Purchase	6.06	Ordinary 41,461
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	6-Apr-23	In specie contribution	n/a	Ordinary 2,110
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	17-Apr-23	In specie contribution	n/a	Ordinary 152,154
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	18-Apr-23	Purchase	6.77	Ordinary 64,666
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	18-Apr-23	In specie contribution	n/a	Ordinary 4,224
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	24-Apr-23	In specie contribution	n/a	Ordinary 3,207
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	26-Apr-23	In specie contribution	n/a	Ordinary 3,207
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	28-Apr-23	In specie contribution	n/a	Ordinary 1,069
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	1-May-23	In specie contribution	n/a	Ordinary 1,069
STATE STREET GLOBAL ADVISORS, INC.	4-Jan-23	In specie contribution	n/a	Ordinary 38
STATE STREET GLOBAL ADVISORS, INC.	5-Jan-23	Purchase	7.86	Ordinary 657
STATE STREET GLOBAL ADVISORS, INC.	6-Jan-23	Purchase	8.29	Ordinary 24,107
STATE STREET GLOBAL ADVISORS, INC.	6-Jan-23	In specie contribution	n/a	Ordinary 8,646
STATE STREET GLOBAL ADVISORS, INC.	9-Jan-23	In specie contribution	n/a	Ordinary 2,620
STATE STREET GLOBAL ADVISORS, INC.	12-Jan-23	Purchase	8.60	Ordinary 2,007
STATE STREET GLOBAL ADVISORS, INC.	17-Jan-23	Purchase	8.48	Ordinary 203
STATE STREET GLOBAL ADVISORS, INC.	18-Jan-23	Purchase	8.54	Ordinary 4,949
STATE STREET GLOBAL ADVISORS, INC.	19-Jan-23	Purchase	8.51	Ordinary 6,452
STATE STREET GLOBAL ADVISORS, INC.	19-Jan-23	In specie contribution	n/a	Ordinary 57
STATE STREET GLOBAL ADVISORS, INC.	20-Jan-23	Purchase	8.70	Ordinary 10,849
STATE STREET GLOBAL ADVISORS, INC.	20-Jan-23	In specie contribution	n/a	Ordinary 2,096
STATE STREET GLOBAL ADVISORS, INC.	23-Jan-23	In specie contribution	n/a	Ordinary 13,624
STATE STREET GLOBAL ADVISORS, INC.	24-Jan-23	Purchase	8.98	Ordinary 31,659
STATE STREET GLOBAL ADVISORS, INC.	24-Jan-23	Purchase	9.00	Ordinary 9,379
STATE STREET GLOBAL ADVISORS, INC.	24-Jan-23	In specie contribution	n/a	Ordinary 10,296
STATE STREET GLOBAL ADVISORS, INC.	30-Jan-23	Purchase	9.71	Ordinary 1,852
STATE STREET GLOBAL ADVISORS, INC.	30-Jan-23	In specie contribution	n/a	Ordinary 817
STATE STREET GLOBAL ADVISORS, INC.	31-Jan-23	Purchase	9.39	Ordinary 10,786
STATE STREET GLOBAL ADVISORS, INC.	3-Feb-23	In specie contribution	n/a	Ordinary 2,751
STATE STREET GLOBAL ADVISORS, INC.	7-Feb-23	Purchase	9.29	Ordinary 19,002
STATE STREET GLOBAL ADVISORS, INC.	10-Feb-23	In specie contribution	n/a	Ordinary 1,310
STATE STREET GLOBAL ADVISORS, INC.	17-Feb-23	In specie contribution	n/a	Ordinary 2,227
STATE STREET GLOBAL ADVISORS, INC.	23-Feb-23	Purchase	8.46	Ordinary 15,596
STATE STREET GLOBAL ADVISORS, INC.	27-Feb-23	In specie contribution	n/a	Ordinary 3,799
STATE STREET GLOBAL ADVISORS, INC.	28-Feb-23	Purchase	8.20	Ordinary 6,861
STATE STREET GLOBAL ADVISORS, INC.	28-Feb-23	Purchase	8.20	Ordinary 25,223
STATE STREET GLOBAL ADVISORS, INC.	2-Mar-23	In specie contribution	n/a	Ordinary 2,096
STATE STREET GLOBAL ADVISORS, INC.	20-Mar-23	Purchase	6.57	Ordinary 22,185
STATE STREET GLOBAL ADVISORS, INC.	27-Mar-23	Purchase	6.26	Ordinary 961
STATE STREET GLOBAL ADVISORS, INC.	3-Apr-23	Purchase	6.49	Ordinary 1,119
STATE STREET GLOBAL ADVISORS, INC.	5-Apr-23	In specie contribution	n/a	Ordinary 1,848
STATE STREET GLOBAL ADVISORS, INC.	11-Apr-23	In specie contribution	n/a	Ordinary 1,056
STATE STREET GLOBAL ADVISORS, INC.	12-Apr-23	Purchase	6.37	Ordinary 750
STATE STREET GLOBAL ADVISORS, INC.	13-Apr-23	Purchase	6.40	Ordinary 37,142
STATE STREET GLOBAL ADVISORS, INC.	13-Apr-23	In specie contribution	n/a	Ordinary 6,812
STATE STREET GLOBAL ADVISORS, INC.	14-Apr-23	Purchase	6.47	Ordinary 5,868
STATE STREET GLOBAL ADVISORS, INC.	26-Apr-23	Purchase	6.60	Ordinary 671
STATE STREET BANK AND TRUST COMPANY	3-Jan-23	Transfer of title of securities to secure a securities loan from THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary 246
STATE STREET BANK AND TRUST COMPANY	4-Jan-23	Return of securities provided to secure a securities loan to THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary (123)
STATE STREET BANK AND TRUST COMPANY	5-Jan-23	Transfer of title of securities to secure a securities loan from THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary 189
STATE STREET BANK AND TRUST COMPANY	6-Jan-23	Return of securities provided to secure a securities loan to THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary (58)
STATE STREET BANK AND TRUST COMPANY	9-Jan-23	Return of securities provided to secure a securities loan to THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary (179)
STATE STREET BANK AND TRUST COMPANY	10-Jan-23	Return of securities provided to secure a securities loan to THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary (3,444)
STATE STREET BANK AND TRUST COMPANY	2-Mar-23	Transfer of title of securities to secure a securities loan from THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary 4,105
STATE STREET BANK AND TRUST COMPANY	3-Mar-23	Transfer of title of securities to secure a securities loan from THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary 202
STATE STREET BANK AND TRUST COMPANY	6-Mar-23	Return of securities provided to secure a securities loan to THE CITIGROUP GROUP – Refer Part B of Annexure D	n/a	Ordinary (43)



























STATE STREET BANK AND TRUST COMPANY	6-Mar-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	11,500
STATE STREET BANK AND TRUST COMPANY	7-Mar-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	174,501
STATE STREET BANK AND TRUST COMPANY	8-Mar-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	66,829
STATE STREET BANK AND TRUST COMPANY	13-Mar-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	52,144
STATE STREET BANK AND TRUST COMPANY	15-Mar-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	14,386
STATE STREET BANK AND TRUST COMPANY	20-Mar-23	Return of securities under a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(496)
STATE STREET BANK AND TRUST COMPANY	21-Mar-23	Return of securities under a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(4,013,793)
STATE STREET BANK AND TRUST COMPANY	22-Mar-23	Return of securities under a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(8,055)
STATE STREET BANK AND TRUST COMPANY	28-Mar-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	7,390
STATE STREET BANK AND TRUST COMPANY	4-Apr-23	Return of securities under a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(9,306)
STATE STREET BANK AND TRUST COMPANY	13-Apr-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	34,393
STATE STREET BANK AND TRUST COMPANY	14-Apr-23	Return of securities under a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(8,134)
STATE STREET BANK AND TRUST COMPANY	19-Apr-23	Return of securities under a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(206)
STATE STREET BANK AND TRUST COMPANY	27-Apr-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	56,375
STATE STREET BANK AND TRUST COMPANY	28-Apr-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	58
STATE STREET BANK AND TRUST COMPANY	21-Feb-23	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	318,002



04 May 2023

**Alok Maheshwary**  
**Authorised Signatory**

## 7. Addresses

Name	Address
SSGA FUNDS MANAGEMENT, INC.	Channel Center, 1 Iron Street, Boston MA 02210, United States
STATE STREET GLOBAL ADVISORS (JAPAN) CO., LTD.	Toranomon Hills Mori Tower 25F, 1-23-1 Toranomon, Minato-ku, Tokyo, 105-6325, Japan
STATE STREET GLOBAL ADVISORS ASIA LIMITED	68th Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong, China
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	78 Sir John Rogerson's Quay, Dublin 2, Ireland
STATE STREET GLOBAL ADVISORS LIMITED	20 Churchill Place, London, England, E14 5HJ, United Kingdom
STATE STREET GLOBAL ADVISORS TRUST COMPANY	1 Iron Street, Boston MA 02210, United States
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Level 15, 420 George Street, Sydney NSW 2000, Australia
STATE STREET GLOBAL ADVISORS, LTD.	1981 McGill College Avenue, Suite 500, Montreal Québec H3A 3A8, Canada
STATE STREET GLOBAL ADVISORS, INC.	1 Iron Street, Boston MA 02210, United States
STATE STREET BANK AND TRUST COMPANY	1 Lincoln Street, Boston MA 02111-2900, United States



04 May 2023

Alok Maheshwary  
Authorised Signatory

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

**Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as lender of the securities under securities lending authorisation agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has lent the securities and retains relevant interest as per Part B of this Annexure.)**

Type of agreement	Securities Lending Authorisation Agreement/ Global Master Securities Lending Agreement/ Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) No (2) Yes. (Borrower has the right to vote, but may on-lend securities)
If yes, in what circumstances	(1) Only if instructed to by the borrower (2) As determined by the owner of the securities
Transfer date	The dates on which the securities were delivered by State Street Bank and Trust Company as lender are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

**Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("title transfer") over the securities as collateral to secure a securities loan. (See Part A of this Annexure for securities loan details.)**

Type of agreement	Global Master Securities Lending Agreement Securities Lending Authorisation Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and ownership is enforced (2) Yes
If yes, in what circumstances	(1) Only if the borrower defaults and ownership is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Transfer date	The dates on which the securities were transferred, as indicated in Annexure B to this notice
Scheduled return date	No scheduled return date. Securities collateral is returned on termination of related securities loan
Does the borrower have the right to have the securities returned early?	No scheduled return date. Securities collateral is returned on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to return securities collateral on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must return securities collateral if the borrower returns the borrowed securities or equivalent securities)