

# Form604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme	Openn Negotiation Limited ( <b>Openn</b> )
ACN/ARSN	612 329 754

### 1. Details of substantial holder(1)

Name	George Clive Bass ( <b>GC Bass</b> ) and Anne Lynette Bass ( <b>AL Bass</b> )
ACN/ARSN (if applicable)	Not applicable

There was a change in the interests of the substantial holder on 23 / 07 / 2021

The previous notice was given to the company on 21 / 07 / 2021

The previous notice was dated 28 / 07 / 2021

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Fully paid ordinary shares	15,520,164	10.60% (incorrect figure – should have been 8.09%)	20,131,929	7.26%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
15/11/2021	G C Bass Nominees (ATF Bass Super Fund), Bass Industries (ATF Harbour Trust), G C Bass & AL Bass	Dilution from share issue	Nil	Nil	Nil (8.01%)
10/02/2022	G C Bass Nominees (ATF Bass Super Fund), Bass Industries (ATF Harbour Trust), G C Bass & AL Bass	Dilution from share issue	Nil	Nil	Nil (8.01%)
8/05/2022	G C Bass Nominees (ATF Bass Super Fund, G C Bass & AL Bass	2022 SPP Allotment	\$30,000	200,000 fully-paid ordinary shares	200,000 (8.11%)
12/07/2022	G C Bass Nominees (ATF Bass Super Fund), Bass Industries (ATF Harbour Trust), G C Bass & AL Bass	Dilution from share issue	Nil	Nil	Nil (7.35%)
8/08/2022	G C Bass Nominees (ATF Bass Super Fund), Bass Industries (ATF Harbour Trust), G C Bass & AL Bass	Dilution from share issue	Nil	Nil	Nil (7.14%)
11/08/2022	G C Bass Nominees (ATF Bass Super Fund), Bass Industries (ATF Harbour Trust), G C Bass & AL Bass	Dilution from share issue	Nil	Nil	Nil (7.03%)
30/01/2023	G C Bass Nominees (ATF Bass FT), G C Bass & AL Bass	Share placement	\$300,000.02	4,411,765 fully-paid ordinary shares	4,411,765 (7.30%)
27/02/2023	G C Bass Nominees (ATF Bass Super Fund & Bass FT), Bass Industries (ATF Harbour Trust), G C Bass & AL Bass	Dilution from share issue	Nil	Nil	Nil (7.26%)

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
G C Bass Nominees Pty Limited (ACN 008 891 905) ( <b>G C Bass Nominees</b> ) as trustee for the Bass Superannuation Fund ( <b>Bass Super Fund</b> )	G C Bass Nominees Pty Limited (ACN 008 891 905) as trustee for the Bass Superannuation Fund		Holder of the securities, pursuant to section 608(1)(a) of the Corporations Act	8,579,034 fully-paid ordinary shares	8,579,034
G C Bass Nominees			Holder of relevant interest, pursuant to section 608(2)(b)		
G C Bass Nominees as trustee for the G C Bass Family Trust ( <b>Bass FT</b> )	G C Bass Nominees Pty Limited as trustee for the GC Bass Family Trust		Holder of the securities, pursuant to section 608(1)(a)	4,411,765 fully-paid ordinary shares	4,411,765
G C Bass Nominees			Holder of relevant interest, pursuant to section 608(2)(b)		
Bass Industries Pty Ltd (ACN 147 170 714) ( <b>Bass Industries</b> ) as trustee for the Harbour Trust	Bass Industries Pty Ltd (ACN 147 170 714) as trustee for the Harbour Trust		Holder of the securities, pursuant to section 608(1)(a)	7,141,130 fully-paid ordinary shares	7,141,130
Bass Industries			Holder of relevant interest, pursuant to section 608(2)(b)		
GC Bass AL Bass	Each of the above in the respective portions outlined		Holder of relevant interest, pursuant to sections 608(1)(b) and 608(3)(b)	20,131,919 fully-paid ordinary shares	20,131,929

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association with the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
G C Bass Nominees Pty Limited (ACN 008 891 905)	Trustee for the G C Bass Family Trust
GC Bass & AL Bass	Beneficiaries of the G C Bass Family Trust

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
G C Bass Nominees Pty Limited (ACN 008 891 905)	154 High Street, Fremantle WA 6160
Bass Industries Pty Ltd (ACN 147 170 714)	154 High Street, Fremantle WA 6160
George Clive Bass	8 Fraser Road, Applecross WA 6153
Anne Lynette Bass	8 Fraser Road, Applecross WA 6153

#### Signature

print name GEORGE CLIVE BASS  
sign here [Signature] Date 14/05/2023

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Included details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract,