



Announcement Summary

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**Entity name**

COMPLII FINTECH SOLUTIONS LTD

**Date of this announcement**

Thursday December 14, 2023

**The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
CF1AM	PERFORMANCE RIGHTS	31,050,000	14/12/2023

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

COMPLII FINTECH SOLUTIONS LTD

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ABN

**Registration number**

71098238585

**1.3 ASX issuer code**

CF1

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

14/12/2023



Part 2 - Issue details

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**2.1 The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

has an existing ASX security code ("existing class")



Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code and description**

CF1AM : PERFORMANCE RIGHTS

**Date the +securities the subject of this notification were issued**

14/12/2023

**Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class**

Yes

**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**

Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP	Name of registered holder	Number of +securities
Craig Mason	Marshall William Holdings Pty Ltd as trustee for the CSKM Family Trust	16,000,000
Alison Sarich	Alison Sarich	8,000,000
Ian Steuart Roe	Ian Steuart Roe	2,000,000
Maxwell James Green	Maxwell James Green	2,300,000
Ian Kessell	Ian David Kessell	2,250,000
Karla Mallon	Karla Katharine Mallon	500,000

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

Refer to Schedule 2 of the Notice of Annual General Meeting/Proxy Form: <https://announcements.asx.com.au/asxpdf/20231026/pdf/05wk163tvqd5d9.pdf>

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Terms and conditions of performance rights set out in Schedule 1 of the Notice of Annual General Meeting/Proxy Form: <https://announcements.asx.com.au/asxpdf/20231026/pdf/05wk163tvqd5d9.pdf>



Issue details

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**Number of +securities**

31,050,000

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Part 4 - +Securities on issue

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**Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)**

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
CF1 : ORDINARY FULLY PAID	567,920,511

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
CF1AQ : OPTION EXPIRING 31-AUG-2024 EX \$0.125	30,966,439
CF1AM : PERFORMANCE RIGHTS	88,022,229
CF1AF : OPTION EXPIRING 31-DEC-2023 EX \$0.10	41,333,335
CF1AG : OPTION EXPIRING 31-DEC-2023 EX \$0.05	7,500,000



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

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