



AML3D Limited

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28 June 2024

Ms Caitlyn Cooke
Adviser, Listings Compliance
Australian Securities Exchange Limited
Level 40, Central Park
152-158 St Georges Terrace
PERTH WA 6000

By email: Caitlyn.Cooke@asx.com.au

Dear Caitlyn,

RESPONSE TO PRICE AND VOLUME QUERY

AML3D Limited (ASX: AL3 or 'the Company') responds to the letter received from the Australian Securities Exchange ("ASX") dated 28 June 2024 in regards to a price and volume query.

In reference to the questions listed in the ASX letter:

1. The Company is not aware of any information that has not been announced which, if known, could be an explanation for the recent trading in the securities.
2. Not applicable, as the answer to question 1 is no.
3. The Company is aware of an article published yesterday by Next Investors titled "AML3D (ASX: AL3) - Our Tech Pick of the Year for 2024". The Company confirms that it has a paid commercial relationship, for Investor Relations services, with Next Investors to prepare investor material such as this article and to promote the Company.
4. The Company is in compliance with the ASX Listing Rules and in particular, Listing Rule 3.1.
5. This response has been authorised by the Board of AML3D Limited.

Please contact me if you have any further questions.

Yours Sincerely

Kaitlin Smith
Company Secretary



28 June 2024

Ms Kaitlin Smith
Company Secretary
AML3D Limited
Unit 4, 136 Mooringe Avenue
North Plympton SA 5037

By email: kaitlin.smith@aml3d.com

Dear Ms Smith

AML3D Limited ('AL3'): Price - Query

ASX refers to the following:

- A. The change in the price of AL3's securities from a low of \$0.073 at close on 26 June 2024 to an intraday high, at the time of writing this letter, of \$0.105 today.
- B. The significant increase in the volume of AL3's securities traded from 27 June 2024 to today.

Request for information

In light of this, ASX asks AL3 to respond separately to each of the following questions and requests for information:

1. Is AL3 aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
 - (a) Is AL3 relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in AL3's securities would suggest to ASX that such information may have ceased to be confidential and therefore AL3 may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
 - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
 - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that AL3 may have for the recent trading in its securities?
4. Please confirm that AL3 is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that AL3's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of AL3 with delegated authority from the board to respond to ASX on disclosure matters.

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **11.30 AM AWST Friday, 28 June 2024**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall

within the exceptions mentioned in Listing Rule 3.1A, AL3's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require AL3 to request a trading halt immediately.

Your response should be sent to me by e-mail at ListingsCompliancePerth@asx.com.au. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in AL3's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in AL3's securities under Listing Rule 17.3.

Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to AL3's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that AL3's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

Release of correspondence between ASX and entity

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Yours sincerely

ASX Compliance