

27 September 2024

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## REDIVIUM LIMITED (ASX:RIL) – RESPONSE TO PRICE AND VOLUME – QUERY

With response to your letter received this morning regarding the significant price movement and volume of trading in Redivium Limited (**Redivium**, **RIL** or the **Company**), the Company confirms that it is not aware of any information that has not been released to the market that could explain the recent trading. The latest updates are summarised below:

- › On 15 August 2024, the Company advised that it had commenced trading on the Frankfurt Stock Exchange to broaden its investor base outside Australia as it seeks to develop its LiB recycling capability, technology diversification and the reach and depth of its feedstock supply chains;
- › On 2 September 2024, the Company advised the appointment of boutique advisory group Oval Advisory Limited (**Oval**), specialised in project finance and strategic transactions, to support the development and funding of Redivium's existing and developing battery recycling projects across the United Kingdom; and
- › On 9 September 2024, the Company advised the initiation of a listed green bond offering process, marking a significant milestone in its journey to becoming a leading battery recycler in Europe.

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### REQUEST FOR INFORMATION FROM ASX

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1. Is RIL aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?

No. The Company is not aware of any information that has not been announced which could explain the recent trading in its securities.

2. If the answer to question 1 is "yes".

Not applicable.

3. If the answer to question 1 is "no", is there any other explanation that RIL may have for the recent trading in its securities?

No.

4. Please confirm that RIL is complying with the Listing Rules and, in particular, Listing Rule 3.1.

Redivium is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.

5. Please confirm that RIL's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of RIL with delegated authority from the board to respond to ASX on disclosure matters.

Redivium's responses to the questions have been authorised and approved under the Company's published continuous disclosure policy.

This ASX announcement has been authorised for release by Mr Jonathan Murray, Non-Executive Chairman.

Your sincerely,

Jonathan Murray  
Non-Executive Chairman

**Redivium Limited**



27 September 2024

Reference: 100591

Ms Mindy Ku  
Company Secretary  
Redivium Limited

By email

Dear Ms Ku

**Redivium Limited ('RIL'): Price - Query**

ASX refers to the following:

- A. The change in the price of RIL's securities from a close of \$0.003 on 25 September 2024 to an intraday high of \$0.008 today.
- B. The significant increase in the volume of RIL's securities traded today.

**Request for information**

In light of this, ASX asks RIL to respond separately to each of the following questions and requests for information:

- 1. Is RIL aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
- 2. If the answer to question 1 is "yes".
  - (a) Is RIL relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in RIL's securities would suggest to ASX that such information may have ceased to be confidential and therefore RIL may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
- 3. If the answer to question 1 is "no", is there any other explanation that RIL may have for the recent trading in its securities?
- 4. Please confirm that RIL is complying with the Listing Rules and, in particular, Listing Rule 3.1.
- 5. Please confirm that RIL's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of RIL with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **11:30 AM AWST Friday, 27 September 2024**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it

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does not fall within the exceptions mentioned in Listing Rule 3.1A, RIL's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require RIL to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsCompliancePerth@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in RIL's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in RIL's securities under Listing Rule 17.3.

### Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to RIL's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that RIL's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### Release of correspondence between ASX and entity

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Yours sincerely

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ASX Compliance