

9 January 2017

Jeremy Newman  
ASX Compliance Pty Ltd  
Level 40, Central Park  
152-158 St Georges Terrace  
Perth WA 6000

**By Email:** [tradinghaltspert@asx.com.au](mailto:tradinghaltspert@asx.com.au)

Dear Mr Newman

**Re: Smart Parking Limited (ASX: SPZ) – Appendix 3Y**

We refer to your letter to Smart Parking Limited (**SPZ** or the **Company**) regarding the Company's Change of Director's Interest Notice (**Appendix 3Y**) for Mr Paul Gillespie.

We respond to each of your queries as follows:

1. The Appendix 3Y was lodged late due to an administrative error following a change in Company Secretary on 7<sup>th</sup> November 2016.

While the Appendix 3Y was filed late the market was kept informed with details of the shares awarded to Mr Gillespie pursuant to the Employee Share Plan being included in the Notice of Annual General Meeting released to the ASX on 4<sup>th</sup> October 2016. This was subsequently approved by shareholders at Company's AGM on 4<sup>th</sup> November 2016 and announced to the market.

2. The Company requires Directors to communicate any changes in their shareholdings in writing to enable the Company to fulfil its obligations under listing rule 3.19A. This requirement is included in the Company's Corporate Governance Policies available on the Company's website.
3. The current arrangements are considered adequate to ensure compliance with listing rule 3.19B.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'R. Ludbrook', enclosed in a thin blue rectangular border.

**Richard Ludbrook**  
**Company Secretary**

The World's Smartest Parking Tools

**Australia**

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Australia

**New Zealand**

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[www.smartparking.com](http://www.smartparking.com)

[info@smartparking.com](mailto:info@smartparking.com)

ASX: SPZ



5 January 2017

Mr Richard Ludbrook  
Company Secretary  
Smart Parking Limited

Dear Mr Ludbrook

**Smart Parking Limited (the “Company”)**

I refer to the following:

1. The Company’s announcement lodged with ASX Ltd (“ASX”) and released on 22 December 2016, regarding a change of director’s interest notice for Mr Paul Gillespie (“Appendix 3Y”).
2. Listing rule 3.19A which requires an entity to tell ASX the following:

*3.19A.1 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times:*

- *On the date that the entity is admitted to the official list.*
- *On the date that a director is appointed.*

*The entity must complete an Appendix 3X and give it to ASX no more than 5 business days after the entity’s admission or a director’s appointment.*

*3.19A.2 A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete an Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*

*3.19A.3 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete an Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.*

3. Listing rule 3.19B which states as follows.

*An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.*

As the Appendix 3Y indicates a change in Mr Gillespie's notifiable interests occurred on 7 November 2016, the Appendix 3Y should have been lodged with the ASX by no later than 14 November 2016. As the Appendix 3Y was lodged on 22 December 2016, it appears that the Company may be in breach of listing rules 3.19A and/or 3.19B.

Please note that ASX is required to record details of breaches of the listing rules by listed companies for its reporting requirements.

ASX reminds the Company of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Company make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: "Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities", we ask that you answer each of the following questions.

1. Please explain why the Appendix 3Y was lodged late.
2. What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail to [tradinghaltspert@asx.com.au](mailto:tradinghaltspert@asx.com.au), it should not be sent to the Company Announcements Office.

A response is requested as soon as possible and, in any event, not later than **3:00 pm WST on Monday, 9 January 2017**.

Under listing rule 18.7A, a copy of this query and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked. If you have any queries or concerns, please contact me immediately.

Yours sincerely,

*[sent electronically without signature]*

Jeremy Newman  
**Senior Adviser, Listings Compliance (Perth)**