# **FAX COVER SHEET**

ТО	
COMPANY	
FAXNUMBER	61297780999
FROM	GLOBALXMANAGEMENT
DATE	2018-01-05 20:30:28 GMT
RE	Substantial Shareholding for Peninsula Energy

### **COVER MESSAGE**

### Attention:

Australian Stock Exchange (ASX)/ The Manager, Company Announcements Office.

### Regards,



Vanessa Yang | Portfolio Administrator 600 Lexington Ave, 20th floor | New York, NY 10022 646-757-5405 | globalxfunds.com





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### Form 604

Corporations Law Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme Peninsula Energy Ltd

ACTIVARSN ISIN AU000000PEN8

#### 1. Details of substantial holder(1)

Name Global X Management Company
ACN/ARSN (f applicable)

There was a change in the interests of the

subtransal robber on 12/14/17
The previous robbe was given to the company on 12/08/17

The previous notice was dated 12 / 08 / 17

#### 2. Previous and present voting power

The total number of voices attached to all the voting shares in the company or voting interests in the scheme that title substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		and Marie	Présent notice	
and the same of th	Person's votes:	Voting gower (5)		Person's votes	Valeng power (5)
Common Stock	25,486,033	11.00%	Í	7,858,565	12.03%
	11000		altitud Dece	Water Control	

### 3. Changes in relevant interests

Particulars of each change in the nature of a relevent interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding robbe to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of charge (b)	Consideration given in relation to change (7)		Class and number of securities affected	Person's votes affected
See attac	hed		The state of the s			
				Acinement		
						PER III

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder invoting securities after the charge are as follows:

Folder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)		Class and number of securities	Persaris vates
GXMC	Global X Uranium ETF	Global X Uranium ET	F n/a	optional Co.	27,858,565	27,858,565
	CONTROL CONTRO	CONTROL OF THE PROPERTY OF THE	a popular and the second secon		Common St	ock
The state of the s	A Maria	Bonney at the Column of the Co	Average and the second	1		100 mm
The second secon	S. Continue of antique	hannest the second seco	San i	- Samuraina	J	Americanies y a communicación de la communicac

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### 5. Changes in association

The persons who have become associates Qlidi, ceased to be associates of or have changed the nature of their association by with, the substantial holder in relation to voting interests in the company or scheme are as follows:

County Market County Co		The state of the s
Name and ACN/ARSN (if applicable)	Nature of association	
Global X Management Co	Advisor to the fund	
Global X Uranium ETF	Exchange traded fund	The second secon

#### 6. Addresses

The addresses of persons remedinithis formare as follows:

	Committee Commit	TWO THE RESERVE TO TH	
į	Name	Ackress	
	Global X Management Co	600 Lexington Ave, 20th floor, New	York, NY, 10022
-	Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA	19456, USA

## Signature

crint name

James Ong

closely Portfolio Manager

sign here

date 1 /05 /2018

#### DIRECTIONS

- If there are a number of substantial holders with similar or related relevant interests (e.g. a concration and its related coppositions, or the manager and matee of an (1) equity this it, the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the formas a specifically named group if the mentership of each group, with the names and appresses of members is clearly set out imperagraph 6 of the
- Z See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of indexact interest in sections 608 and 6718(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- 15 The persons votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (0) include data is of:
  - any relevant agreement or other circumstances because of which the change invelovant interest because of subsection 6718(4), applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person givingfull and accurace details of any contract, scheme or an angement, must accompany this form together with a written statement certifying this contract, scheme or an angement, and
  - any qualification of the power of a person to exercise, control the exercise of a influence the exercise of the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities townich the qualification aggless).

See the definition of relevant agreement in section 9 of the Corporations Law.

- Details of the consideration must include any and all benefits, money and other, that any person from whore a relevant interest was acquired has, or may, become entitled to receive intelestant other acquisition. Details must be included even if the denefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate intellation to the acquisitions, even if they are not paid directly follow person from whom the relevans interest was acquired.
- If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises decause of an option) write "unknown". **(B)**
- Give details, if appropriate of the present association and any change in their association since the last subgrantial holding reduce. 27

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July 2000

page 1/2

## Form 604

Corporations Law Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme	Peninsula Energy Ltd
ACN/ARSN	ISIN AU000000PEN6
1. Details of substantial holder(1)	
Name ACN/ARSN (if applicable)	Global X Management Company
There was a change in the interests of the substantial holder on	<u> 2 / 14 / 7</u>
The previous notice was given to the comparation previous notice was dated	ny on <u>12 /08 / 17</u> <u>12 / 08 / 17</u>

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	25,486,033	11.00%	27,858,565	12.03%
			A. A	

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See attac	ched				

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
GXMC	Global X Uranium ETF	Global X Uranium ET	F n/a	27,858,565	27,858,565
				Common St	ock

July 2000

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604

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Global X Management Co	Advisor to the fund
Global X Uranium ETF	Exchange traded fund

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Global X Management Co	600 Lexington Ave, 20th floor, New York, NY, 10022
Global X Uranium ETF	1 Freedom Valley Drive, Oaks, PA 19456, USA

## Signature

print name	James Ong	capacity Portfolio Manager
sign here		date 1 / 05 / 2018

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Law.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Law.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Law.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, becom'e entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eq. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.