

# Form 604

Corporations Law  
Section 671B

## Notice of change of interests of substantial holder

To: Company Name/Scheme **GALAXY RESOURCES LIMITED**

ACN/ARSN **071 976 442**

### 1. Details of substantial holder

Name **UBS Group AG and its related bodies corporate**

ACN/ARSN (if applicable): \_\_\_\_\_

There was a change in the interests of the substantial holder on **30 January 2018**

The previous notice was given to the company on **19 January 2018**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's Votes	Voting Power (5)	Person's Votes	Voting Power (5)
Ordinary	26,710,073	6.56%	22,377,962	5.49%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and Number of securities affected	Person's votes affected
Please see Appendix C.					

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS Asset Management (Australia) Ltd	Various Custodians	UBS Asset Management (Australia) Ltd	Fund Manager with power to exercise control over voting shares	1,522,322 Ordinary	1,522,322
UBS Asset Management (UK) Limited	Various Custodians	UBS Asset Management (UK) Limited	Fund Manager with power to exercise control over voting shares	47,142 Ordinary	47,142

UBS Fund Management (Switzerland) AG	Various Custodians	UBS Fund Management (Switzerland) AG	Fund Manager with power to exercise control over voting shares	148,462 Ordinary	148,462
UBS Third Party Management Company S.A.	Various Custodians	UBS Third Party Management Company S.A.	Fund Manager with power to exercise control over voting shares	740,000 Ordinary	740,000
UBS AG London Branch	Various Custodians	UBS AG London Branch	Power to control disposal over shares pursuant to stock borrowing and lending activities (see Appendix A)	12,214,900 Ordinary	12,214,900
	Various Custodians	UBS AG London Branch	Beneficial Owner	242,183 Ordinary	242,183
UBS Securities Australia Ltd	Warbont Nominees Pty Ltd	UBS Securities Australia Ltd	Power to control disposal over shares pursuant to stock borrowing and lending activities (see Appendix A)	4,752,361 Ordinary	4,752,361
UBS Securities LLC	Various Custodians	UBS Securities LLC	Power to control disposal over shares pursuant to stock borrowing and lending activities (see Appendix A)	956,000 Ordinary	956,000
UBS Financial Services Inc	Various Custodians	UBS Financial Services Inc	Broker with power to exercise discretion over account	3,000 Ordinary	3,000
UBS Trustees (Jersey) Limited	Various Custodians	UBS Trustees (Jersey) Limited	Trustee with power to exercise discretion over account	140,000 Ordinary	140,000
UBS Trustees (Singapore) Limited	Various Custodians	UBS Trustees (Singapore) Limited	Trustee with power to exercise discretion over account	88,380 Ordinary	88,380
UBS AG Australia Branch	UBS Nominees Pty Ltd	UBS AG Australia Branch	Prime Broker that has exercised its borrowing right in respect of shares pursuant to a Prime Broking Agreement (see Appendix A)	947,820 Ordinary	947,820
UBS Switzerland AG	Various Custodians	UBS Switzerland AG	Broker with power to exercise discretion over account	392 Ordinary	392
	Various Custodians	UBS Switzerland AG	Power to control disposal over shares pursuant to stock borrowing and lending activities (see Appendix B)	575,000 Ordinary	575,000

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
N/A	N/A

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Details of all UBS offices can be found through the following link: <a href="http://apps2.ubs.com/locationfinder/">http://apps2.ubs.com/locationfinder/</a>	

**SIGNATURE**

Print Name: Philip Alexander

Capacity: Authorised signatory

Sign Here:



Date: 1 February 2018

Print Name: Serene Peh

Capacity: Authorised signatory

Sign Here:



Date: 1 February 2018

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Contact details for this notice:

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Serene Peh  
Group Risk Control  
(T) +65 6495 5283  
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**Appendix A**

<b>Holder of relevant interest</b>	<b>UBS AG, London Branch</b>	<b>UBS Securities Australia Ltd</b>	<b>UBS Securities LLC</b>
<b>Type of agreement</b>	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
<b>Parties to agreement</b>	(i) UBS AG, London Branch ("UBS AG")/ UBS Limited  (ii) Client (Please refer to Appendix A-1.)	(i) UBS AG, Australia Branch ("UBS AG")/ UBS Securities Australia Limited  (ii) Client (Please refer to Appendix A-1.)	(i) UBS Securities LLC ("UBS Sec LLC")  (ii) Client (Please refer to Appendix A-1.)
<b>Transfer date</b>	Please refer to Appendix A-1.	Please refer to Appendix A-1.	Please refer to Appendix A-1.
<b>Holder of voting rights</b>	UBS AG/ UBS Limited	UBS AG/ UBS Securities Australia Limited	UBS Sec LLC
<b>Are there any restrictions on voting rights?</b>	Please refer to the details below.	Please refer to the details below.	No.
<b>If yes, detail</b>	Since all right and title in the securities passes to the transferee (i.e. UBS AG/ UBS Limited), the transferee has the right to vote. However, in certain circumstances, the transferee may seek to arrange for voting rights to be exercised in accordance with the instructions of the transferor (i.e. Client).	Since all right and title in the securities passes to the transferee (i.e. UBS AG/ UBS Securities Australia Limited), the transferee has the right to vote. However, in certain circumstances, the transferee may seek to arrange for voting rights to be exercised in accordance with the instructions of the transferor (i.e. Client).	N/A
<b>Scheduled return date (if any)</b>	None.	None, subject to the terms of the relevant loan.	None unless agreed to by the parties.
<b>Does the borrower have the right to return early?</b>	Yes.	Yes.	Yes. Either party can terminate the loan upon notice unless otherwise agreed.
<b>If yes, detail</b>	The borrower (i.e. UBS AG/ UBS Limited) has the right to return at its discretion subject to the terms of the relevant loan.	The borrower (i.e. UBS AG/ UBS Securities Australia Limited) has the right to return at its discretion.	The borrower (i.e. UBS Sec LLC) has the right to return at its discretion.
<b>Does the lender have the right to recall early?</b>	Yes.	Yes.	Yes. Either party can terminate the loan upon notice unless otherwise agreed.
<b>If yes, detail</b>	The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.	The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.	The lender has the right to return at its discretion.
<b>Will the securities be returned on settlement?</b>	Yes.	Yes.	Yes (equivalent securities).
<b>If yes, detail any exceptions</b>	None.	None.	None.

The information in this appendix is based on the relevant standard UBS agreement.

A copy of the agreement is available to the company, or responsible entity to whom the prescribed form must be given, or to ASIC, upon request.

<b>Holder of relevant interest</b>	<b>UBS AG, Australia Branch</b>
<b>Type of agreement</b>	Prime Brokerage Agreement
<b>Parties to agreement</b>	(i) UBS AG, Australia Branch ("UBS AG")  (ii) Client (Please refer to Appendix A-1.)
<b>Transfer date</b>	Please refer to Appendix A-1.
<b>Holder of voting rights</b>	UBS AG
<b>Are there any restrictions on voting rights?</b>	Please refer to the details below.
<b>If yes, detail</b>	Since all right and title in the securities passes to the transferee (i.e. UBS AG), the transferee has the right to vote. However, in certain circumstances, the transferee may seek to arrange for voting rights to be exercised in accordance with the instructions of the transferor (i.e. Client).
<b>Scheduled return date (if any)</b>	None, subject to the terms of the relevant loan.
<b>Does the borrower have the right to return early?</b>	Yes.
<b>If yes, detail</b>	The borrower (i.e. UBS AG) has the right to return at its discretion.
<b>Does the lender have the right to recall early?</b>	Yes.
<b>If yes, detail</b>	The lender (i.e. Client) can recall at its request subject to compliance with margin requirements and the terms of the relevant loan.
<b>Will the securities be returned on settlement?</b>	Yes.
<b>If yes, detail any exceptions</b>	None.

## Securities Lending Agreement - UBS AG, London Branch / UBS Limited

Parties to agreement	Transfer date
Blackrock ITC NA as an agent on behalf of its clients	(i) 8 January 2018
	(ii) 17 January 2018
Brown Brothers Harriman & Co. as an agent acting on behalf of its clients	(i) 9 January 2018
	(ii) 10 January 2018
	(iii) 16 January 2018
Citibank NA as an agent acting on behalf of its clients	(i) 8 January 2018
	(ii) 10 January 2018
	(iii) 17 January 2018
	(iv) 24 January 2018
Credit Suisse AG	(i) 24 January 2018
State Street Bank & Trust Company as an agent acting on behalf of its clients	(i) 9 January 2018
The Bank of New York Mellon as an agent acting on behalf of its clients	(i) 9 January 2018
	(ii) 10 January 2018
	(iii) 17 January 2018

## Securities Lending Agreement - UBS AG, Australia Branch/ UBS Securities Australia Limited

Parties to agreement	Transfer date
BNP Paribas Securities Services Australia Branch	(i) 12 December 2017
	(ii) 15 December 2017
	(iii) 12 January 2018
	(iv) 22 January 2018
Citibank NA as an agent acting on behalf of its clients	(i) 10 January 2018
Macquarie Bank Ltd	(i) 12 January 2018
National Australia Bank Limited	(i) 18 January 2018
State Street Bank & Trust Company as an agent acting on behalf of its clients	(i) 29 January 2018

## Securities Lending Agreement - UBS Securities LLC

Parties to agreement	Transfer date
Barclays Global Investment NA as an agent acting on behalf of its clients	(i) 17 January 2018
Boston Global Advisors as an agent acting on behalf of its clients	(i) 9 January 2018
JPMorgan Chase Bank, N.A. as an agent acting on behalf of its clients	(i) 23 January 2018
State Street Bank & Trust Company as an agent acting on behalf of its clients	(i) 10 January 2018
The Bank of New York Mellon as an agent acting on behalf of its clients	(i) 9 January 2018

**Prime Brokerage Agreement - UBS AG, Australia Branch**

<b>Parties to agreement</b>	<b>Transfer date</b>
Regal Funds Management Pty Ltd as trustee and manager of Atlantic Absolute Return Fund	(i) 29 January 2018 (ii) 30 January 2018
Regal Funds Management Pty Limited as trustee and manager of Tasman Market Neutral Fund	(i) 29 January 2018
Regal Funds Management Pty Limited as Trustee of the Regal Australian Small Companies Fund	(i) 29 January 2018
Blue Lake Partners Pty Ltd	(i) 30 January 2018

<b>Holder of relevant interest</b>	<b>UBS Switzerland AG <sup>#</sup></b>
<b>Type of agreement</b>	Securities Lending Agreement
<b>Parties to agreement</b>	(i) UBS Switzerland AG (ii) Information not available
<b>Transfer date</b>	Information not available
<b>Holder of voting rights</b>	UBS Switzerland AG
<b>Are there any restrictions on voting rights?</b>	Please refer to the details below.
<b>If yes, detail</b>	None, subject to deviating agreements between the parties.
<b>Scheduled return date (if any)</b>	None (subject to the terms of the relevant loan)
<b>Does the borrower have the right to return early?</b>	Yes.
<b>If yes, detail</b>	The borrower has the right to return at any time (subject to the terms of the relevant loan).
<b>Does the lender have the right to recall early?</b>	Yes.
<b>If yes, detail</b>	The lender can recall at any time (subject to the terms of the relevant loan).
<b>Will the securities be returned on settlement?</b>	Yes.
<b>If yes, detail any exceptions</b>	Restitution will take place within a period which is customary at the primary exchange.

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<sup>#</sup> The information in this appendix is based on a standard UBS securities lending agreement



GSY - Appendix C									
Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class				
18-Jan-18	UBS Securities Australia Ltd	Sell	493	(122)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	901	(223)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	2,355	(593)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	4,848	(1,200)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	4,888	(1,210)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	4,908	(1,215)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	4,924	(1,216)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	5,252	(1,300)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	5,479	(1,344)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	8,079	(2,000)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	9,243	(2,288)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	11,350	(2,812)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	12,119	(3,000)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	24,590	(6,112)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	12	(3)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	145	(36)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	15	4	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	18,805	4,815	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	9,580	2,500	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	49,072	12,505	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	763,800	198,663	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	766,161	(204,543)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	489,960	(118,918)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	569,334	145,744	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	1,032,336	265,731	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	45,085	(12,506)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	1,927,861	(493,779)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	1,150,488	(298,518)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	2,436,894	624,800	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	329,597	(85,264)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	337,938	86,890	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	790	(200)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	302,761	78,175	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	31,440	(8,000)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Sell	127,087	(32,593)	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	53,426	14,078	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Buy	2,833	750	Ordinary				
18-Jan-18	UBS Securities Australia Ltd	Stock borrowed	N/A	250,000	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	11	3	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	29	(8)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	10,676	(3,021)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	416,572	(117,968)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	3,959,711	(943,739)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	635,093	(162,577)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	1,615,024	409,383	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	535,337	(177,652)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	2,992,084	680,594	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	491,905	(138,348)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	1,527,683	(437,393)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	5,092	(1,447)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	3,251	(849)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	4,351,716	(1,225,959)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	10,770	(3,051)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	4,435,093	(1,265,073)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Sell	13,256	(3,800)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	362,592	103,950	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	20,962	(6,014)	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	403,766	114,200	Ordinary				
19-Jan-18	UBS Securities Australia Ltd	Buy	212,820	60,000	Ordinary				

Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class
19-Jan-18	UBS Securities Australia Ltd	Sell	211,584	(60,000)	Ordinary
19-Jan-18	UBS Securities Australia Ltd	Sell	456,123	(129,300)	Ordinary
19-Jan-18	UBS Securities Australia Ltd	Buy	65,800	18,777	Ordinary
19-Jan-18	UBS Securities Australia Ltd	Buy	124	35	Ordinary
19-Jan-18	UBS Securities Australia Ltd	Sell	46,232	(12,885)	Ordinary
19-Jan-18	UBS Securities Australia Ltd	Sell	263,957	(75,607)	Ordinary
19-Jan-18	UBS Securities Australia Ltd	Sell	77,935	(21,703)	Ordinary
19-Jan-18	UBS AG Australia Branch	Stock received	N/A	74,795	Ordinary
19-Jan-18	UBS AG London Branch	Sell	11,146	(3,032)	Ordinary
19-Jan-18	UBS AG London Branch	Sell	27,866	(7,582)	Ordinary
19-Jan-18	UBS Fund Management (Switzerland) AG	Buy	188,732	53,651	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	3	1	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	24	(7)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	39,172	10,996	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	43,806	11,213	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	5,285	(1,500)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	143,429	41,651	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	222,893	(64,500)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	1,000,217	288,838	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	61,157	(17,041)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	698,080	(202,836)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	549,746	(159,209)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	802,969	(232,792)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	1,024,732	297,041	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	208,738	(59,895)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	2,065,611	(596,943)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	1,488,025	431,072	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	59,816	17,083	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	134,974	(38,854)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	1,825	(526)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	29,963	8,519	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	84,896	(24,300)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Buy	749	215	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	29,870	(8,702)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	12,920	(5,265)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Sell	131,629	(36,250)	Ordinary
22-Jan-18	UBS Securities Australia Ltd	Stock borrowed	N/A	325,000	Ordinary
22-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(199,368)	Ordinary
22-Jan-18	UBS AG London Branch	Sell	79,719	(22,712)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	951,993	265,000	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	27,050	(7,500)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	150,882	36,550	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	563,021	(152,709)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	593,229	156,383	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	671,899	(181,197)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	1,049,138	(296,007)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	705,244	(197,658)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	1,131,108	317,495	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	354,365	(99,354)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	137,208	(38,354)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	2,626,016	738,267	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	1,048,288	(293,817)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	254,580	(71,170)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	1,666	472	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	36,100	(10,000)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Buy	175,388	49,886	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	6,239,447	(1,745,886)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	48,400	(13,670)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	21,036	(7,660)	Ordinary
23-Jan-18	UBS Securities Australia Ltd	Sell	52	(172)	Ordinary
23-Jan-18	UBS Securities LLC	Stock borrowed	N/A	(70,000)	Ordinary
23-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(40,000)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	6,239,447	1,745,886	Ordinary

24-Jan-18	UBS Securities Australia Ltd	Buy	18	5	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	11	3	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	28	(8)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	1,808	(7)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	237,703	(510)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	26,475	(7,500)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	112,597	(31,926)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	84,758	(23,672)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	80,443	27,753	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	628,535	177,879	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	592,542	(167,285)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	736,076	(207,911)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	810,273	229,072	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	38,538	(10,931)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	77,224	(21,826)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	2,863,800	(836,843)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	1,549,494	438,627	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	284,110	(79,082)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	68,956	19,526	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	19,280	(5,400)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	73,171	(20,217)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	605,464	169,547	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	31,300	(9)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	232,859	(66,098)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	102,831	(28,654)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	40,961	(11,541)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	5,019	1,426	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	15,082	4,279	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	16,551	4,708	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	201,027	56,728	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	6,238,447	(1,746,866)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	1,924,775	(427,958)	Ordinary
24-Jan-18	UBS Switzerland AG	Collateral returned	N/A	(14,550)	Ordinary
24-Jan-18	UBS Switzerland AG	Collateral returned	N/A	(25,450)	Ordinary
24-Jan-18	UBS AG London Branch	Stock borrowed	N/A	980,000	Ordinary
24-Jan-18	UBS AG London Branch	Stock borrowed	N/A	210,000	Ordinary
24-Jan-18	UBS Asset Management	Sell	243,261	(69,574)	Ordinary
24-Jan-18	UBS Asset Management	Sell	341,392	(97,574)	Ordinary
24-Jan-18	UBS Asset Management	Sell	179,740	(51,386)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	7	2	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	21	6	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	1,755	500	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	30,252	8,772	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	208,278	(59,838)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	295,465	84,787	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	936,513	(289,693)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	858,665	246,522	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	22,467	(6,418)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	432,256	(124,696)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	542,656	156,115	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	68,005	(19,483)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	70,575	(20,265)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	1,441,223	(328,759)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	756,070	217,806	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	10,481	3,028	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	75,934	(21,817)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	21,659	6,279	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	34,700	(10,900)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	61,046	(17,558)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Sell	5,584	(1,600)	Ordinary
24-Jan-18	UBS Securities Australia Ltd	Buy	17,667	3,627	Ordinary
24-Jan-18	UBS Switzerland AG	Collateral received	N/A	35,999	Ordinary
24-Jan-18	UBS Switzerland AG	Collateral returned	N/A	(698)	Ordinary
24-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(40,306)	Ordinary

25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(215,877)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(73,579)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(240,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(25,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(1,843)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(23,157)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(10,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(30,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(18,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(68,007)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(22,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(10,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(10,000)	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock returned	N/A	(74,786)	Ordinary
25-Jan-18	UBS AG London Branch	Sell	67,157	(19,298)	Ordinary
25-Jan-18	UBS Switzerland AG	Collateral received	N/A	89,051	Ordinary
25-Jan-18	UBS Asset Management	Sell	1,177,035	(335,034)	Ordinary
25-Jan-18	UBS Asset Management	Sell	1,051,926	(470,208)	Ordinary
25-Jan-18	UBS Asset Management	Sell	869,969	(247,630)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	377	(109)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	28	8	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	39,451	(11,338)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	84	(24)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	2,843,920	(800,000)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	87,979	24,943	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	375,604	(106,054)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	352,451	99,599	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	1,164,059	(330,957)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	104,260	(29,511)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	601,293	(170,541)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	1,441,414	407,559	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	659,805	(188,725)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	42,538	11,953	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	18,176	5,158	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	1,788,032	506,941	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	51,713	(14,663)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	82,800	(23,492)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	284,288	80,569	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	7,188	(2,019)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	7,508	2,160	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	30,203	(8,516)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	8,509	(2,388)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	299	(84)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Stock borrowed	N/A	150,541	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Stock borrowed	N/A	849,459	Ordinary
25-Jan-18	UBS AG Australia Branch	Stock received	N/A	284,548	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	35,312	(10,104)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	69,600	20,000	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	23,815	(2,400)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	37,513	(10,846)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	36,020	10,438	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	521,535	266,178	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	14,815	(4,197)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	582,186	(162,546)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	15,143	(4,293)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	887,248	198,220	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	787,705	(226,880)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	48,130	(13,596)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	411,454	118,200	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	41,468	(11,916)	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	2,447	700	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	3	1	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Buy	289,887	83,884	Ordinary
25-Jan-18	UBS Securities Australia Ltd	Sell	45,763	(13,000)	Ordinary

30-Jan-18	UBS Securities Australia Ltd	Sell	386,152	(111,129)	Ordinary
30-Jan-18	UBS Securities Australia Ltd	Buy	19,955	5,726	Ordinary
30-Jan-18	UBS Securities Australia Ltd	Sell	59	(17)	Ordinary
30-Jan-18	UBS Securities Australia Ltd	Sell	17,985	(5,296)	Ordinary
30-Jan-18	UBS AG Australia Branch	Stock received	N/A	83,272	Ordinary
30-Jan-18	UBS AG London Branch	Stock returned	N/A	(295,000)	Ordinary
30-Jan-18	UBS AG London Branch	Stock returned	N/A	(980,000)	Ordinary
30-Jan-18	UBS AG London Branch	Stock returned	N/A	(80,000)	Ordinary
30-Jan-18	UBS AG London Branch	Stock returned	N/A	(1,000,000)	Ordinary