



8 February 2019

## Update of Director Interest Notice and Substantial Holder Notice

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Cougar Metals NL (**Cougar** or **the Company**) (ASX: CGM) provides the following updated Director Interest Notice for Mr David Symons and several Substantial Holder Notices received by the Company in relation to past dealings.

These notices are updated to include administrative errors dating back a number of years.

The Company notes that it has been correctly reporting the total number of securities held by all directors in its Annual Reports to Shareholders, and a recent review has highlighted errors dating back several years by past personnel.

The Company believes that the processes and procedures it has in place to make disclosure under Listing Rule 3.19A are sufficient and being enforced, and that the errors in this case were due to administrative oversight at the time.

Yours Sincerely,

**Ben Donovan**  
**Company Secretary**

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Cougar Metals NL
<b>ACN</b>	100 684 053

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr David Symons
<b>Date of last notice</b>	1/9/2015

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Symons Investment Holdings Pty Ltd <Symons Family Trust A/c> Mr Symons is a director and beneficiary
<b>Date of change</b>	31/8/2017
<b>No. of securities held prior to change</b>	<u>Direct</u> David Theodore Symons and Steven James McGhee  54,065 fully paid shares
<b>Class</b>	Fully paid ordinary shares

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+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

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<b>Number acquired</b>	<u>Symons Investment Holdings Pty Ltd &lt;Symons Family Trust A/c&gt;</u>  3,333,368 Fully paid ordinary shares
<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	<u>Symons Investment Holdings Pty Ltd &lt;Symons Family Trust A/c&gt;</u>  Nil
<b>No. of securities held after change</b>	<u>Symons Investment Holdings Pty Ltd &lt;Symons Family Trust A/c&gt;</u>  3,333,368 Fully paid ordinary shares  <u>(David Theodore Symons and Steven James McGhee)</u>  54,065 fully paid shares
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Approved by shareholders under Resolution 7 of the EGM held on 31 July 2017.

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A

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+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
<b>Interest after change</b>	N/A

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<sup>+</sup> See chapter 19 for defined terms.

# Form 605

Corporations Act 2001  
Section 671B

## Notice of ceasing to be a substantial holder

To Company Name/Scheme Cougar Metals NL

ACN/ARSN 100 684 053

### 1. Details of substantial holder (1)

Name Roseanne Thelma Swick

ACN/ARSN (if applicable) \_\_\_\_\_

The holder ceased to be a substantial holder on 26/07/2018  
The previous notice was given to the company on 11/05/2012  
The previous notice was dated 11/05/2012

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
26 July 2018	Roseanne Thelma Swick	Savvy Capital Management Pty Ltd <Savvy Family A/C> is the registered holder of 275,250,000 Shares. Previously Roseanne Thelma Swick controlled the <Savvy Family A/C> by virtue of being the appointor in relation to the Trust. From the Date of Change Mrs Swick ceased to be the appointor and controller of the trust	N/A	275,250,000 fully paid ordinary shares	Savvy Capital Management Pty Ltd <Savvy Family A/C>

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

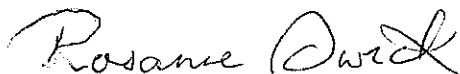
Name and ACN/ARSN (if applicable)	Nature of association
Savvy Capital Management Pty Ltd <Savvy Family A/C>	Cessation of association as described in 2 above.

### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Savvy Capital Management Pty Ltd <Savvy Family A/C>	C/- ENTRUST PMS PO BOX 5637, PERTH ST GEORGES TCE WA 6831
Roseanne Thelma Swick	64 GREAT EASTERN HIGHWAY SOUTH GUILDFORD WA 6055

Signature



print name Roseanne Swick

sign here

date 6/2/2019

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#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 4 of the form.
- (2) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (3) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (4) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (5) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (6) The voting shares of a company constitute one class unless divided into separate classes.
- (7) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice

**Form 604**

Corporations Act 2001  
Section 671B

**Notice of change of interests of substantial holder**

To Company Name/Scheme      Cougar Metals NL

ACN/ARSN                              27 100 684 053

**1. Details of substantial holder (1)**

Name                                      Randal Swick and Savvy Capital Management Pty Ltd <Savvy Family A/c>

ACN/ARSN (if applicable)      \_\_\_\_\_

There was a change in the interests of the  
substantial holder on                              14/05/2013

The previous notice was given  
to the company on                              16/05/2012

The previous notice was dated              11/05/2012

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORD FULLY PAID	138,366,224	30.12%	414,366,224	42.38%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
14/05/2013	Marcia Swick	Subscription in underwritten entitlements offer	\$1,950,000	Fully paid ordinary shares 195,000,000	195,000,000

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Marcia Swick Randal Swick Savvy Capital Management Pty Ltd <Savvy Family A/C>	Marcia Swick	Marcia Swick	Registered holder Associate of the registered holder (spouse) Associate of, and controlled by, the registered holder	Fully paid ordinary shares 275,250,000	275,250,000

Marcia Swick Randal Swick Savvy Capital Management Pty Ltd <Savvy Family A/C>	Savvy Capital Management Pty Ltd <Savvy Family A/C>	Savvy Capital Management Pty Ltd <Savvy Family A/C>	Associate and controller of Registered holder  Associate and controller of Registered holder  Registered holder	Fully paid ordinary shares 138,366,224	138,366,224
Marcia Swick Randal Swick Savvy Capital Management Pty Ltd <Savvy Family A/C>	Randal Swick <Swick Family Super Fund>	Randal Swick <Swick Family Super Fund>	Associate of the registered holder (spouse)  Registered holder  Associate of, and controlled by, the registered holder	Fully paid ordinary shares 750,000	750,000

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Roseanne Thelma Swick	Ceased to be an associate as she ceased to be an appointor of the <Savvy Family A/C> on 26 July 2018

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Randal Lloyd Swick	C/- 16 Ord St, West Perth WA 6005
Savvy Capital Management Pty Ltd <Savvy Family A/c>	C/- ENTRUST PMS PO BOX 5637, PERTH ST GEORGES TCE WA 6831
Marcia Swick	C/- 16 Ord St, West Perth WA 6005

## Signature

print name RANDAL SWICK capacity

sign here Randal Swick date 6 / 2 / 2019

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a