# Form 603

## Corporations Act 2001 Section 671B Notice of initial substantial holder

To: Company Name/Scheme:

SOUTHERN CROSS ELECTRICAL ENGINEERING LIMITED

ACN/ARSN:

009 307 046

1. Details of substantial holder

Name

Mitsubishi UFJ Financial Group, Inc.

The holder became a substantial holder on:

2 August 2019

# 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully Paid ordinary shares	19,770,442	19,770,442	8.45%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities	
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	297,289 Fully paid ordinary shares	
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	107,270 Fully paid ordinary shares	
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Carol Australia Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in Carol Australia Holdings Pty Limited.	19,365,883 Fully paid ordinary shares	

# 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		297,289 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited		107,270 Fully paid ordinary
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)		19,365,883 Fully paid ordinary shares

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

# 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

	Nature of association
ISee anneyure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

# 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

# 8. Signature

Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

### Annexure A

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019

Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

### **SCHEDULE**

MUFG Bank, Ltd. Mitsubishi UFJ Trust and Banking Corporation Mitsubishi UFJ Securities Holdings Co., Ltd. MU Business Engineering, Ltd. The Mitsubishi UFJ Factors Limited Mitsubishi UFJ Jinji Service Co., Ltd. MU Techno-service Co., Ltd. Tokyo Credit Service, Ltd. Tokyo Associates Finance Corp. MU Business Service Co., Ltd. Mitsubishi UFJ Loan Business Co.,Ltd. Mitsubishi UFJ Information Technology, Ltd. MU Center Service Tokyo Co., Ltd. MU Center Service Nagoya Co., Ltd. MU Center Service Osaka Co., Ltd. MU Business Aid Co., Ltd. MU Property Reserch Company Limited Mitsubishi UFJ Home Loan CREDIT CO., LTD. The Diamond Home Credit Company Limited Mitsubishi UFJ Reserch & Consulting Co., Ltd. MU Frontier Servicer Co., Ltd. Otemachi Guarantee Co., Ltd. **GD Holdings Company Limited** MU Loan Administration Support Co., Ltd. MU Communications Co., Ltd. Japan Electronic Monetary Claim Organization MU Business Partner Co., Ltd. MUT Business Outsourcing Co., Ltd. Mezzanine Solution II Limited Partnership Mezzanine Solution III Limited Partnership Mitsubishi UFJ Capital Co., Ltd. Mitsubishi UFJ Capital III, Limited Partnership Mitsubishi UFJ Capital IV, Limited Partnership Mitsubishi UFJ Capital V, Limited Partnership Mitsubishi UFJ Capital VI, Limited Partnership Mitsubishi UFJ Capital VII, Limited Partnership Mitsubishi UFJ Life Science 1, Limited Partnership Mitsubishi UFJ Life Science 2, Limited Partnership Tohoku Senary Industry Support, Limited Partnership OiDE Fund Investment Limited Partnership Mitsubishi UFJ Personal Financial Advisers Co., Ltd. Mitsubishi UFJ Financial Partners Co., Ltd. The Mitsubishi Asset Brains Company, Limited BOT Lease Co., Ltd. The Chukyo Bank, Ltd. Nippon Mutual Housing Loan Co., Ltd. JM Real Estate Co., Ltd. Jibun Bank Corporation JACCS CO.,LTD. JALCARD Inc. Yume Shokei Fund No.2 Yume Shokei Fund No.3 Marunouchi Capital Fund II Limited Partnership M·U·Trust Sougou Kanri Co., Ltd. Mitsubishi UFJ Trust Business Co., Ltd. Ryoshin Data Co., Ltd. Mitsubishi UFJ Trust Systems Co., Ltd. Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd. Mitsubishi UFJ Trust Hosyo Co., Ltd.

M·U·Trust·Apple Planning Company, Ltd.

Mitsubishi UFJ Real Estate Services Co., Ltd.

Mitsubishi UFJ Daiko Business Co., Ltd.

The Master Trust Bank of Japan, Ltd.

MU Investments Co., Ltd.

Japan Shareholder Services Ltd.

Mitsubishi UFJ Kokusai Asset Management Co., Ltd.

Tokumei-Kumiai (CPI)

Tokumei-Kumiai (GII)

Tokumei-Kumiai (Gate Bridge 1)

Tokumei-Kumiai (CENTOMILIARDO)

Tokumei-Kumiai (MEET)

Tokumei-Kumiai (FIELD)

Ippan Shadan Houjin Leone

Jointly Managed Monetary Trust Fund (Fund Number:550001)

MU Trust Property Management Co., Ltd.

Tokumei-Kumiai (RICE)

TOKKINGAI (Fund Number.900338)

TOKKINGAI (Fund Number.900327)

TOKKINGAI (Fund Number.900344)

AMP Capital Investors KK

MM Partnership

MUS Information Systems Co., Ltd.

MUS Business Service Co., Ltd.

Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.

Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.

kabu.com Securities Co., Ltd.

Morgan Stanley MUFG Securities Co., Ltd.

Mitsubishi UFJ NICOS Co., Ltd.

Card Business Service Co., Ltd.

MU NICOS Business Service Co., Ltd.

MU NICOS Credit Co., Ltd.

Ryoshin DC Card Company Ltd.

JMS Co., Ltd.

Paygent Co., Ltd.

JA Card Co., Ltd

ACOM CO., LTD.

IR Loan Servicing, Inc.

MU Credit Guarantee Co., Ltd.

Japan Digital Design, Inc.

Crowd Money Inc.

MUMEC Visionary Design, Ltd.

Global Open Network, Inc.

Global Open Network Japan, Inc.

MUFG Innovation Partners Co., Ltd.

MUFG Innovation Partners No.1 Investment Partnership

Mitsubishi Research Institute DCS Co.,Ltd.

HR Solution DCS Co.,Ltd.

Mitsubishi UFJ Lease & Finance Company Limited

Hitachi Capital Corporation

Nihombashi TG Jigyo Kyodo Kumiai

NIHOMBASHI TG SERVICE CO., LTD.

SHIN-NIHOMBASHI TSUSHO CO., LTD.

Solution Design Co.,ltd.

Nippon Record Keeping Network Co., Ltd.

Banco MUFG Brasil S.A.

9808680 Canada Inc.

BTMU (Curacao) Holdings N.V.

MUFG Bank (Europe) N.V.

MUFG Business Services (Holland) B.V.

MUFG Funding (UK) Limited

MUFG Europe Lease (Deutschland) GmbH

MUFG Bank (Malaysia) Berhad

MUFG North America International, Inc.

MUFG Bank Mexico, S.A.

MUFG Nominees (HK) Limited

MUFG Nominees (UK) Limited MUFG Americas Holdings Corporation

MUFG Union Bank, N.A.

Union Bank of California Leasing, Inc.

**UBOC Community Development Corporation** 

UnionBanc Investment Services, LLC

Bankers Commercial Corporation

UnionBanCal Equities, Inc.

UnionBanCal Leasing Corporation

UnionBanCal Mortgage Corporation

Mills-Ralston, Inc.

HighMark Capital Management, Inc.

BCC OX I, Inc. BCC OX II, Inc. SEMA OP9 LLC SEMA OP8 LLC MORGANTOWN OL6 LLC MORGANTOWN OL7 LLC MORGANTOWN OL5 LLC **DICKERSON OL4 LLC** TRL One A, LLC TRL One B, LLC TRL One, LP BM1,LLC **UB Leasing Corporation** Pacific Capital Statutory Trust I Shiloh IV Wind Project, LLC Shiloh IV Holdings Lessor Trust Green Union I Trust Green Union II Trust Green Union III Trust **Tohlease Corporation MUFG Americas Funding Corporation** MUFG Americas Financial & Leasing Corporation B-4 U.B. Vehicle Leasing, Inc. **MUFG Americas Leasing Corporation** MUFG Americas Financial Services, Inc. MUFG Americas Leasing (Canada) Corporation MUFG Americas Leasing & Finance, Inc. MUFG Americas Capital Leasing & Finance, LLC MUFG Americas Corporate Advisory, Inc. MUFG Americas LF Capital LLC MUFG Americas Capital Company MUFG Fund Services (USA) LLC MUFG Securities Americas Inc. MUFG Capital Analytics LLC MUFG Investor Services (US), LLC Intrepid Investment Bankers LLC Southern California Business Development Corporation Catalina Solar Holdings Lessor Trust Catalina Solar, LLC Morgan Stanley MUFG Loan Partners, LLC PT U Finance Indonesia PT. MU Research and Consulting Indonesia MU Research and Consulting (Thailand) Co., Ltd. MUFG Participation (Thailand) Co., Ltd. AO MUFG Bank (Eurasia) MUFG Bank (China), Ltd. **BTMU Preferred Capital 8 Limited BTMU Preferred Capital 9 Limited** BTMU Liquidity Reserve Investment Limited MUFG Bank Turkey Anonim Sirketi Bank of Ayudhya Public Company Limited Krungsri Ayudhya AMC Limited Krungsri Factoring Company Limited Ayudhya Development Leasing Company Limited Ayudhya Capital Auto Lease Public Company Limited Krungsriayudhya Card Company Limited General Card Services Limited Ayudhya Capital Services Company Limited Krungsri General Insurance Broker Limited Krungsri Life Assurance Broker Limited Krungsri Asset Management Company Limited Total Services Solutions Public Company Limited Ngern Tid Lor Company Limited Krungsri Securities Public Company Limited Siam Realty and Services Security Co., Ltd. Krungsri Leasing Services Co., Ltd. Hattha Kaksekar Limited Krungsri Finnovate Co., Ltd. Tesco Card Services Limited BTMU Liquidity Reserve Investment 2 Limited BTMU Liquidity Reserve Investment 3 Limited PT Guna Dharma PT Bank Danamon Indonesia, Tbk. PT Adira Quantum Multifinance

PT Adira Dinamika Multi Finance Tbk PT Asuransi Adira Dinamika BOT Lease (HK) Co., Ltd. PT Bumiputera - BOT Finance

BOT Lease Holding Philippines, Inc.

BOT Lease and Finance, Philippines, Inc.

BOT Lease (Thailand) Co., Ltd.

MUFG Holding (Thailand) Co., Ltd.

Bangkok MUFG Limited

BOT Lease(Eurasia)LLC

BOT Lease (Tianjin) Co., Ltd.

BOTL Factoring (Shanghai) Co., Ltd. BOT LEASE MEXICO S.A. DE C.V.

BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.

GOLDEN ASIA FUND VENTURES LTD.

Lakefield Wind Project OP Trust

Lakefield Wind Project, LLC

Pacwind Holdings Lessor Trust

Pacific Wind, LLC

Vietnam Joint Stock Commercial Bank for Industry and Trade

GOLDEN ASIA FUND II, L.P.

Security Bank Corporation

Mitsubishi UFJ Trust International Limited

Mitsubishi UFJ Baillie Gifford Asset Management Limited

Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.

MUFG Lux Management Company S.A.

Mitsubishi UFJ Investment Services (HK) Limited

Mitsubishi UFJ Asset Management (UK) Ltd.

**LUX J1 FUND** 

MUFG Investor Services Holdings Limited

MUFG Fund Services (Bermuda) Limited

MUFG Fund Services (Cayman) Limited

MUFG Fund Services (Cayman) Group Limited

MUFG Fund Services (Ireland) Limited

Fund Secretaries Limited

MUFG Fund Services Limited

MUFG Fund Services (Canada) Limited

MUFG Fund Services (UK) Limited

MUFG Fund Services (Halifax) Limited

MUFG Fund Services (Singapore) Pte. Ltd.

MUFG Fund Services (Hong Kong) Limited

MUFG Alternative Fund Services (Cayman) Limited

Firtown International Holdings Ltd.

General Secretaries Ltd.

AFS Controlled Subsidiary 1 Ltd.

AFS Controlled Subsidiary 2 Ltd.

AFS Controlled Subsidiary 3 Ltd.

MUFG Alternative Fund Services (Ireland) Limited

MUFG Alternative Fund Services (Jersey) Limited

MUFG Jersey Management Company Limited

MUFG Controlled Subsidiary 1 (Cayman) Limited

SWS MU FUND MANAGEMENT CO.,LTD.

SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED

AMP Capital Holdings Limited

MUFG Global Fund SICAV

MUFG Securities EMEA plc

MUFG Securities (Europe) N.V.

MUFG Securities Asia (Singapore) Limited

MUFG Securities Asia Limited

Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.

MUFG Securities (Canada), Ltd.

EASY BUY Public Company Limited

ACOM CONSUMER FINANCE CORPORATION

MUFG Capital Finance 8 Limited

MUFG Capital Finance 9 Limited

GOLDEN ASIA FUND, L.P.

DCS Information Technology (Shanghai) Co., Ltd

MRIDCS Americas, Inc.

Morgan Stanley

Purple Finance (Cayman) International Ltd.

Bangkok Mitsubishi UFJ Lease Co., Ltd

The California-Sansome Corporation

CAROL AUSTRALIA HOLDINGS PTY LIMITED (ACN 630 725 558)

CFSGAM IP HOLDINGS PTY LIMITED (ACN 625 765 399)

CFSGAM SERVICES PTY LTD (ACN 624 305 595)

CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)

CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)

COLONIAL FIRST STATE ASSET MANAGEMENT (AUSTRALIA) LIMITED (ACN 114 194 311)

COLONIAL FIRST STATE INFRASTRUCTURE HOLDINGS LIMITED (ACN 085 313 926)

COLONIAL FIRST STATE INFRASTRUCTURE MANAGERS (AUSTRALIA) PTY LTD (ACN

COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. COLONIAL FIRST STATE MANAGED INFRASTRUCTURE LIMITED (ACN 006 464 428) EDIF II GP S.A.R.L. (COMPANY NO. B204413) FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708) FIRST STATE INVESTMENT MANAGERS (ASIA) LIMITED (ACN 054 571 701) FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320) FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616) FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)
FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090) FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743) FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310) FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)
FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)
FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117) FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063) FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615) **FSIRITD** REALINDEX INVESTMENTS PTY LIMITED (ACN 133 312 017) SI HOLDINGS LIMITED (COMPANY NO. SC109439) TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

### Annexure B

This is annexure B of 1 page referred to in Form 603, Notice of initial substantial holder dated 8 August 2019

Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

This disclosure is being made as a consequence of Mitsubishi UFJ Trust and Banking Corporation, a consolidated subsidiary of Mitsubishi UFJ Financial Group, Inc., completing its acquisition the global asset management business of Colonial First State Global Asset Management, and aggregates the trade data in respect of Mitsubishi UFJ Financial Group, Inc., Carol Australia Holdings Pty Limited and each of their respective associates.

		SATISFIED COMMISSION IN CO.		Class and humber of
Holder of relevant interest	Date of acquisition	Consideration cash	Consideration non-cash	securities affected
Mitsubishi UFJ Financial	. *	ł	1	
Group, Inc.	24/04/2019	459.98	N/A	844 Ordinary Shares
Mitsubishi UFJ Financial		- "		
Group, Inc.	29/04/2019	493.35	N/A	897 Ordinary Shares
Mitsubishi UFJ Financial			1	
Group, Inc.	30/04/2019	540.54	N/A	1,001 Ordinary Shares
Mitsubishi UFJ Financial				
Group, Inc.	30/04/2019	1764.97	N/A	3,299 Ordinary Shares
Mitsubishi UFJ Financial				
Group, Inc.	1/05/2019	642.6	N/A	1,260 Ordinary Shares
Mitsubishi UFJ Financial				
Group, Inc.	3/05/2019	837.4	N/A	1,580 Ordinary Shares
Mitsubishi UFJ Financial			İ	
Group, Inc.	7/05/2019	470.6	N/A	905 Ordinary Shares
Mitsubishi UFJ Financial		1		
Group, Inc.	7/05/2019	1133.6	N/A	2,180 Ordinary Shares
Mitsubishi UFJ Financial				1
Group, Inc.	8/05/2019	794.58	N/A	1,558 Ordinary Shares
Mitsubishi UFJ Financial		1 .	1	L
Group, Inc.	9/05/2019	914.43	N/A	1,793 Ordinary Shares
Mitsubishi UFJ Financial			l	
Group, Inc.	14/05/2019	1812.03	N/A	3,553 Ordinary Shares
Mitsubishi UFJ Financial	l		<b>1</b>	1 707 0 11 01
Group, Inc.	17/05/2019	945.35	N/A	1,767 Ordinary Shares
Mitsubishi UFJ Financial	00/05/0040		 	4 545 0-45 05
Group, Inc.	20/05/2019	818.1	N/A	1,515 Ordinary Shares
Mitsubishi UFJ Financial	00/05/0040	450444	N/A	2 000 Ordinary Shares
Group, Inc. Mitsubishi UFJ Financial	22/05/2019	1504.14	N/A	2,838 Ordinary Shares
1	27/05/2019	811.43	N/A	1,531 Ordinary Shares
Group, Inc. Mitsubishi UFJ Financial	27/03/2019	1011.43	IN/A	1,551 Ordinary Strates
Group, Inc.	28/05/2019	821.43	N/A	1,595 Ordinary Shares
Mitsubishi UFJ Financial	20/03/2019	021.43	INA	1,090 Ordinary chares
Group, Inc.	28/05/2019	695.64	N/A	1,364 Ordinary Shares
Mitsubishi UFJ Financial	20,00,2010	1000.07	1.30	,,co. ordinary oriards
Group, Inc.	30/05/2019	689.52	N/A	1,352 Ordinary Shares
Mitsubishi UFJ Financial				1,111 0.0
Group, Inc.	5/06/2019	354.71	N/A	663 Ordinary Shares
Mitsubishi UFJ Financial		1		_
Group, Inc.	27/06/2019	406	N/A	812 Ordinary Shares
Mitsubishi UFJ Financial				<u> </u>
Group, Inc.	17/07/2019	15264.62	N/A	28,532 Ordinary Shares
				<u> </u>
Carol Australia Holdings Pty		1	1	
Limited	10/04/2019	277500	N/A	500,000 Ordinary shares