

**Form 603**  
**Corporations Act 2001**  
**Section 671B**  
**Notice of initial substantial holder**

To: **Company Name/Scheme:** Pacific Energy Limited

ACN/ARSN: 009 191 744

**1. Details of substantial holder**

Name Mitsubishi UFJ Financial Group, Inc.

The holder became a substantial holder on: 04/10/2019

The holder became aware on 08/10/2019

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully Paid ordinary shares	22,066,772	22,066,772	5.12%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	5,572,728 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	167,812 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	7,586,375 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	83,891 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited.	8,655,966 Fully paid ordinary shares

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited	Not applicable	7,754,187 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited	Not applicable	83,891 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Unknown	Not applicable	5,572,728 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)	Not applicable	8,655,966 Fully paid ordinary shares

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

**8. Signature**


Dated the 9 October 2019

Kenji Takase

Authorised signatory

**Annexure A**

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 9 October 2019



Kenji Takase

Authorised signatory

Dated 9 October 2019

**SCHEDULE**

MUFG Bank, Ltd.  
Mitsubishi UFJ Trust and Banking Corporation  
Mitsubishi UFJ Securities Holdings Co., Ltd.  
MU Business Engineering, Ltd.  
The Mitsubishi UFJ Factors Limited  
Mitsubishi UFJ Jinji Service Co., Ltd.  
MU Techno-service Co., Ltd.  
Tokyo Credit Service, Ltd.  
Tokyo Associates Finance Corp.  
MU Business Service Co., Ltd.  
Mitsubishi UFJ Loan Business Co.,Ltd.  
Mitsubishi UFJ Information Technology, Ltd.  
MU Center Service Tokyo Co., Ltd.  
MU Center Service Nagoya Co., Ltd.  
MU Center Service Osaka Co., Ltd.  
MU Business Aid Co., Ltd.  
MU Property Reserch Company Limited  
Mitsubishi UFJ Home Loan CREDIT CO., LTD.  
The Diamond Home Credit Company Limited  
Mitsubishi UFJ Reserch & Consulting Co., Ltd.  
MU Frontier Servicer Co., Ltd.  
Otemachi Guarantee Co., Ltd.  
GD Holdings Company Limited  
MU Loan Administration Support Co., Ltd.  
MU Communications Co.,Ltd.  
Japan Electronic Monetary Claim Organization  
MU Business Partner Co., Ltd.  
MUT Business Outsourcing Co., Ltd.  
Mezzanine Solution II Limited Partnership  
Mezzanine Solution III Limited Partnership  
Mitsubishi UFJ Capital Co., Ltd.  
Mitsubishi UFJ Capital III, Limited Partnership  
Mitsubishi UFJ Capital IV, Limited Partnership  
Mitsubishi UFJ Capital V, Limited Partnership  
Mitsubishi UFJ Capital VI, Limited Partnership  
Mitsubishi UFJ Capital VII, Limited Partnership  
Mitsubishi UFJ Life Science 1, Limited Partnership  
Mitsubishi UFJ Life Science 2, Limited Partnership  
Tohoku Senary Industry Support, Limited Partnership  
OiDE Fund Investment Limited Partnership  
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.  
Mitsubishi UFJ Financial Partners Co.,Ltd.  
The Mitsubishi Asset Brains Company, Limited  
BOT Lease Co., Ltd.  
The Chukyo Bank, Ltd.  
Nippon Mutual Housing Loan Co., Ltd.  
JM Real Estate Co., Ltd.  
Jibun Bank Corporation  
JACCS CO.,LTD.  
JALCARD Inc.

Yume Shokei Fund No.2  
 Yume Shokei Fund No.3  
 Marunouchi Capital Fund II Limited Partnership  
 M·U·Trust Sougou Kanri Co., Ltd.  
 Mitsubishi UFJ Trust Business Co., Ltd.  
 Ryoshin Data Co., Ltd.  
 Mitsubishi UFJ Trust Systems Co., Ltd.  
 Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.  
 Mitsubishi UFJ Trust Hosyo Co., Ltd.  
 M·U·Trust·Apple Planning Company, Ltd.  
 Mitsubishi UFJ Real Estate Services Co., Ltd.  
 Mitsubishi UFJ Daiko Business Co., Ltd.  
 The Master Trust Bank of Japan, Ltd.  
 MU Investments Co., Ltd.  
 Japan Shareholder Services Ltd.  
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.  
 Tokumei-Kumiai (CPI)  
 Tokumei-Kumiai (GII)  
 Tokumei-Kumiai (Gate Bridge 1)  
 Tokumei-Kumiai (CENTOMILIARDO)  
 Tokumei-Kumiai (MEET)  
 Tokumei-Kumiai (FIELD)  
 Ippan Shadan Houjin Leone  
 Jointly Managed Monetary Trust Fund (Fund Number:550001)  
 MU Trust Property Management Co., Ltd.  
 Tokumei-Kumiai (RICE)  
 TOKKINGAI (Fund Number.900338)  
 TOKKINGAI (Fund Number.900327)  
 TOKKINGAI (Fund Number.900344)  
 AMP Capital Investors KK  
 MM Partnership  
 MUS Information Systems Co., Ltd.  
 MUS Business Service Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.  
 kabu.com Securities Co., Ltd.  
 Morgan Stanley MUFG Securities Co., Ltd.  
 Mitsubishi UFJ NICOS Co., Ltd.  
 Card Business Service Co.,Ltd.  
 MU NICOS Business Service Co., Ltd.  
 MU NICOS Credit Co.,Ltd.  
 Ryoshin DC Card Company Ltd.  
 JMS Co., Ltd.  
 Paygent Co., Ltd.  
 JA Card Co., Ltd  
 ACOM CO., LTD.  
 IR Loan Servicing, Inc.  
 MU Credit Guarantee Co., Ltd.  
 Japan Digital Design, Inc.  
 Crowd Money Inc.  
 MUMEC Visionary Design, Ltd.  
 Global Open Network, Inc.  
 Global Open Network Japan, Inc.  
 MUFG Innovation Partners Co., Ltd.  
 MUFG Innovation Partners No.1 Investment Partnership  
 Mitsubishi Research Institute DCS Co.,Ltd.  
 HR Solution DCS Co.,Ltd.  
 Mitsubishi UFJ Lease & Finance Company Limited  
 Hitachi Capital Corporation  
 Nihombashi TG Jigyo Kyodo Kumiai  
 NIHOMBASHI TG SERVICE CO., LTD.  
 SHIN-NIHOMBASHI TSUSHO CO., LTD.  
 Solution Design Co.,Ltd.  
 Nippon Record Keeping Network Co., Ltd.  
 Banco MUFG Brasil S.A.  
 9808680 Canada Inc.  
 BTMU (Curacao) Holdings N.V.  
 MUFG Bank (Europe) N.V.  
 MUFG Business Services (Holland) B.V.

MUFG Funding (UK) Limited  
MUFG Europe Lease (Deutschland) GmbH  
MUFG Bank (Malaysia) Berhad  
MUFG North America International, Inc.  
MUFG Bank Mexico, S.A.  
MUFG Nominees (HK) Limited  
MUFG Nominees (UK) Limited  
MUFG Americas Holdings Corporation  
MUFG Union Bank, N.A.  
Union Bank of California Leasing, Inc.  
UBOC Community Development Corporation  
UnionBanc Investment Services, LLC  
Bankers Commercial Corporation  
UnionBanCal Equities, Inc.  
UnionBanCal Leasing Corporation  
UnionBanCal Mortgage Corporation  
Mills-Ralston, Inc.  
HighMark Capital Management, Inc.  
BCC OX I, Inc.  
BCC OX II, Inc.  
SEMA OP9 LLC  
SEMA OP8 LLC  
MORGANTOWN OL6 LLC  
MORGANTOWN OL7 LLC  
MORGANTOWN OL5 LLC  
DICKERSON OL4 LLC  
TRL One A, LLC  
TRL One B, LLC  
TRL One, LP  
BM1, LLC  
UB Leasing Corporation  
Pacific Capital Statutory Trust I  
Shiloh IV Wind Project, LLC  
Shiloh IV Holdings Lessor Trust  
Green Union I Trust  
Green Union II Trust  
Green Union III Trust  
Tohlease Corporation  
MUFG Americas Funding Corporation  
MUFG Americas Financial & Leasing Corporation B-4  
U.B. Vehicle Leasing, Inc.  
MUFG Americas Leasing Corporation  
MUFG Americas Financial Services, Inc.  
MUFG Americas Leasing (Canada) Corporation  
MUFG Americas Leasing & Finance, Inc.  
MUFG Americas Capital Leasing & Finance, LLC  
MUFG Americas Corporate Advisory, Inc.  
MUFG Americas LF Capital LLC  
MUFG Americas Capital Company  
MUFG Fund Services (USA) LLC  
MUFG Securities Americas Inc.  
MUFG Capital Analytics LLC  
MUFG Investor Services (US), LLC  
Intrepid Investment Bankers LLC  
Southern California Business Development Corporation  
Catalina Solar Holdings Lessor Trust  
Catalina Solar, LLC  
Morgan Stanley MUFG Loan Partners, LLC  
PT U Finance Indonesia  
PT. MU Research and Consulting Indonesia  
MU Research and Consulting (Thailand) Co., Ltd.  
MUFG Participation (Thailand) Co., Ltd.  
AO MUFG Bank (Eurasia)  
MUFG Bank (China), Ltd.  
BTMU Preferred Capital 8 Limited  
BTMU Preferred Capital 9 Limited  
BTMU Liquidity Reserve Investment Limited  
MUFG Bank Turkey Anonim Sirketi  
Bank of Ayudhya Public Company Limited

Krungsri Ayudhya AMC Limited  
 Krungsri Factoring Company Limited  
 Ayudhya Development Leasing Company Limited  
 Ayudhya Capital Auto Lease Public Company Limited  
 Krungsriayudhya Card Company Limited  
 General Card Services Limited  
 Ayudhya Capital Services Company Limited  
 Krungsri General Insurance Broker Limited  
 Krungsri Life Assurance Broker Limited  
 Krungsri Asset Management Company Limited  
 Total Services Solutions Public Company Limited  
 Ngern Tid Lor Company Limited  
 Krungsri Securities Public Company Limited  
 Siam Realty and Services Security Co.,Ltd.  
 Krungsri Leasing Services Co., Ltd.  
 Hattha Kaksekar Limited  
 Krungsri Finnovate Co., Ltd.  
 Tesco Card Services Limited  
 BTMU Liquidity Reserve Investment 2 Limited  
 BTMU Liquidity Reserve Investment 3 Limited  
 PT Guna Dharma  
 PT Bank Danamon Indonesia, Tbk.  
 PT Adira Quantum Multifinance  
 PT Adira Dinamika Multi Finance Tbk  
 PT Asuransi Adira Dinamika  
 BOT Lease (HK) Co., Ltd.  
 PT Bumiputera - BOT Finance  
 BOT Lease Holding Philippines, Inc.  
 BOT Lease and Finance, Philippines, Inc.  
 BOT Lease (Thailand) Co., Ltd.  
 MUFG Holding (Thailand) Co., Ltd.  
 Bangkok MUFG Limited  
 BOT Lease(Eurasia)LLC  
 BOT Lease (Tianjin) Co., Ltd.  
 BOTL Factoring (Shanghai) Co., Ltd.  
 BOT LEASE MEXICO S.A. DE C.V.  
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.  
 GOLDEN ASIA FUND VENTURES LTD.  
 Lakefield Wind Project OP Trust  
 Lakefield Wind Project, LLC  
 Pacwind Holdings Lessor Trust  
 Pacific Wind, LLC  
 Vietnam Joint Stock Commercial Bank for Industry and Trade  
 GOLDEN ASIA FUND II, L.P.  
 Security Bank Corporation  
 Mitsubishi UFJ Trust International Limited  
 Mitsubishi UFJ Baillie Gifford Asset Management Limited  
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.  
 MUFG Lux Management Company S.A.  
 Mitsubishi UFJ Investment Services (HK) Limited  
 Mitsubishi UFJ Asset Management (UK) Ltd.  
 LUX J1 FUND  
 MUFG Investor Services Holdings Limited  
 MUFG Fund Services (Bermuda) Limited  
 MUFG Fund Services (Cayman) Limited  
 MUFG Fund Services (Cayman) Group Limited  
 MUFG Fund Services (Ireland) Limited  
 Fund Secretaries Limited  
 MUFG Fund Services Limited  
 MUFG Fund Services (Canada) Limited  
 MUFG Fund Services (UK) Limited  
 MUFG Fund Services (Halifax) Limited  
 MUFG Fund Services (Singapore) Pte. Ltd.  
 MUFG Fund Services (Hong Kong) Limited  
 MUFG Alternative Fund Services (Cayman) Limited  
 Firtown International Holdings Ltd.  
 General Secretaries Ltd.  
 AFS Controlled Subsidiary 1 Ltd.  
 AFS Controlled Subsidiary 2 Ltd.

AFS Controlled Subsidiary 3 Ltd.  
 MUFG Alternative Fund Services (Ireland) Limited  
 MUFG Alternative Fund Services (Jersey) Limited  
 MUFG Jersey Management Company Limited  
 MUFG Controlled Subsidiary 1 (Cayman) Limited  
 SWS MU FUND MANAGEMENT CO.,LTD.  
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED  
 AMP Capital Holdings Limited  
 MUFG Global Fund SICAV  
 MUFG Securities EMEA plc  
 MUFG Securities (Europe) N.V.  
 MUFG Securities Asia (Singapore) Limited  
 MUFG Securities Asia Limited  
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.  
 MUFG Securities (Canada), Ltd.  
 EASY BUY Public Company Limited  
 ACOM CONSUMER FINANCE CORPORATION  
 MUFG Capital Finance 8 Limited  
 MUFG Capital Finance 9 Limited  
 GOLDEN ASIA FUND, L.P.  
 DCS Information Technology (Shanghai) Co., Ltd  
 MRIDCS Americas, Inc.  
 Morgan Stanley  
 Purple Finance (Cayman) International Ltd.  
 Bangkok Mitsubishi UFJ Lease Co., Ltd  
 The California-Sansome Corporation  
 First Sentier Investors Holdings Pty Limited  
 First Sentier Investors (Australia) IP Holdings Pty Limited  
 First Sentier Investors (Australia) Services Pty Limited  
 CFSPA EUROPE CO LIMITED (COMPANY CODE C41267)  
 CFSPA EUROPE HOLDCO LIMITED (COMPANY CODE C41260)  
 COLONIAL FIRST STATE ASSET MANAGEMENT (AUSTRALIA) LIMITED (ACN 114 194 311)  
 COLONIAL FIRST STATE INFRASTRUCTURE HOLDINGS LIMITED (ACN 085 313 926)  
 COLONIAL FIRST STATE INFRASTRUCTURE MANAGERS (AUSTRALIA) PTY LTD (ACN 101 384 294)  
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)  
 COLONIAL FIRST STATE MANAGED INFRASTRUCTURE LIMITED (ACN 006 464 428)  
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)  
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)  
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)  
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)  
 First Sentier Investors Asia Holdings Limited  
 FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)  
 FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)  
 FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)  
 FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)  
 FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)  
 FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)  
 FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)  
 FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)  
 FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)  
 FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)  
 FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)  
 FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)  
 FSIB LTD  
 REALINDEX INVESTMENTS PTY LIMITED (ACN 133 312 017)  
 SI HOLDINGS LIMITED (COMPANY NO. SC109439)  
 TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

## Annexure B

This is annexure B of 4 pages referred to in Form 603, Notice of initial substantial holder dated 9 October 2019

Kenji Takase

Authorised signatory

Dated 9 October 2019

Holder of relevant interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	5 June 2019	610.05	N/A	980 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 July 2019	688.62	N/A	998 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 July 2019	1,395.35	N/A	2,037 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 July 2019	225.08	N/A	331 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 July 2019	367.16	N/A	536 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 July 2019	4,019.93	N/A	5,890 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 July 2019	887.93	N/A	1,301 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 July 2019	60.75	N/A	90 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 July 2019	4,957.88	N/A	7,345 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 July 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	464,495 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 July 2019	1,343.93	N/A	1,991 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 July 2019	495.72	N/A	729 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 July 2019	74,367.27	N/A	109,187 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16 July 2019	74,367.27	N/A	109,187 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17 July 2019	23,145.82	N/A	34,546 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17 July 2019	23,145.82	N/A	34,546 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	18 July 2019	9,678.15	N/A	14,445 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	19 July 2019	30,584.25	N/A	44,325 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 July 2019	1,121.73	N/A	1,614 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 July 2019	30,076.97	N/A	44,891 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	22 July 2019	3,780.92	N/A	5,363 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 July 2019	31,470.75	N/A	44,325 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 July 2019	373,098.86	N/A	546,665 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23 July 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	464,495 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 July 2019	42,047.22	N/A	60,938 Ordinary shares

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Mitsubishi UFJ Financial Group, Inc.	24 July 2019	146,085.62	N/A	148,915 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 July 2019	160,121.74	N/A	164,519 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 July 2019	4,932.34	N/A	5,033 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 July 2019	7,491.91	N/A	7,606 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 July 2019	2,772.42	N/A	2,829 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 July 2019	77,386.19	N/A	78,885 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 July 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	464,495 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 July 2019	236,914.44	N/A	241,503 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 July 2019	12,581.90	N/A	12,806 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 July 2019	164,601.01	N/A	167,789 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	420,812 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 August 2019	551,703.61	N/A	562,389 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 August 2019	263,933.15	N/A	269,045 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	14,591 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 August 2019	6,306.30	N/A	6,468 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	14,591 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 August 2019	2,037,000.00	N/A	2,100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 August 2019	970,000.00	N/A	1,000,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 August 2019	2,037,000.00	N/A	2,100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 August 2019	6,446.70	N/A	6,612 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 August 2019	947,757.90	N/A	977,070 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	456,372 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 August 2019	51,410.00	N/A	53,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 August 2019	2,827.55	N/A	2,915 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 August 2019	3,876.12	N/A	3,996 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 August 2019	970.00	N/A	1,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 August 2019	773,984.52	N/A	799,984 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 August 2019	1,670.87	N/A	1,727 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 August 2019	2,080.65	N/A	2,145 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 August 2019	858.45	N/A	885 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 August 2019	6,531.01	N/A	6,733 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 August 2019	1,853.77	N/A	1,921 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	569,569 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 August 2019	3,386.25	N/A	3,482 Ordinary Shares

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Mitsubishi UFJ Financial Group, Inc.	15 August 2019	5,772.47	N/A	5,951 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 August 2019	5,798.33	N/A	5,947 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	5,432,278 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 August 2019	5,800.16	N/A	5,995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 August 2019	1,579.16	N/A	1,628 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 August 2019	7,907,121.11	N/A	8,172,735 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	495,280 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 August 2019	5,448.49	N/A	5,617 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 August 2019	747.87	N/A	771 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	12,899 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 August 2019	5,361.19	N/A	5,527 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 August 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	2,109,493 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 August 2019	6,497.73	N/A	6,716 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 August 2019	3,003,900.00	N/A	3,100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 August 2019	27,595.53	N/A	28,449 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 August 2019	16,057.38	N/A	16,554 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 August 2019	1,928.23	N/A	1,993 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 August 2019	21,642.64	N/A	22,312 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 August 2019	25,471.23	N/A	26,259 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 August 2019	435.38	N/A	450 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 August 2019	1,684.89	N/A	1,746 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 August 2019	10,642.84	N/A	10,972 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 August 2019	27,260.88	N/A	28,104 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 August 2019	41,654.71	N/A	42,943 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 August 2019	19,193.39	N/A	19,787 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 August 2019	1,932.90	N/A	2,003 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 September 2019	40,724.48	N/A	41,984 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 September 2019	77,699.91	N/A	80,103 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 September 2019	2,927.23	N/A	3,010 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 September 2019	21,428.55	N/A	21,978 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 September 2019	2,152.80	N/A	2,208 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 September 2019	3,203.42	N/A	3,294 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 September 2019	3,404.70	N/A	3,492 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 September 2019	5,938.09	N/A	6,106 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 September 2019	38,838.15	N/A	39,834 Ordinary Shares

## Annexure B

Mitsubishi UFJ Financial Group, Inc.	5 September 2019	4,257.61	N/A	4,378 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 September 2019	25,896.98	N/A	26,561 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 September 2019	10,518.68	N/A	10,844 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 September 2019	30,982.58	N/A	31,777 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 September 2019	9,087.57	N/A	8,187 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 September 2019	849.75	N/A	769 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 September 2019	94.78	N/A	85 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 September 2019	2.24	N/A	2 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 September 2019	176.96	N/A	158 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 September 2019	866.80	N/A	788 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 September 2019	526.90	N/A	479 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 September 2019	952,009.30	N/A	865,463 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20 September 2019	1,824.97	N/A	1,682 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 September 2019	3,250,500.00	N/A	3,000,000 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23 September 2019	2,035,144.51	N/A	1,861,810 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23 September 2019	5,952.49	N/A	5,461 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 September 2019	1,622,550.00	N/A	1,500,000 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24 September 2019	1,476.69	N/A	1,361 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 September 2019	3,456.81	N/A	3,186 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 September 2019	305.97	N/A	282 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 September 2019	270.17	N/A	249 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 September 2019	2,943.61	N/A	2,713 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 September 2019	630.39	N/A	581 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 September 2019	814.84	N/A	751 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 September 2019	1.09	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 October 2019	541.42	N/A	499 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 October 2019	434.00	N/A	400 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 October 2019	4,502.79	N/A	4,131 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 October 2019	324.82	N/A	298 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 October 2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	5,740,540 Ordinary Shares

## Annexure C

This is annexure C of 3 pages referred to in Form 603, Notice of initial substantial holder dated 9 October 2019



Kenji Takase

Authorised signatory

Dated 9 October 2019

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

<b>Schedule</b>	
<b>Type of Agreement</b>	Global Master Securities Lending Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc and SPECIFIED MONEY TRUST FUND NO.400076220 FOR NINTENDO CO., LTD. ENTRUSTED TO THE MASTER TRUST BANK OF JAPAN, LTD.
<b>Transfer Date</b>	20190920; 20190924; 20190925; 20190926; 20190927; 20190930; 20191001; 20191002; 20191003; 20191004;
<b>Holder of Voting Rights</b>	Borrower
<b>Are there any restrictions on voting rights?</b>	<del>Yes</del> /No
<b>If yes, detail</b>	Not applicable
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	The Borrower is entitled at any time to terminate a Loan and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.
<b>Does the lender have the right to recall early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	The Lender is entitled to terminate a Loan and to call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the Loaned Securities were originally delivered.
<b>Will the securities be returned on settlement?</b>	Yes/ <del>No</del>
<b>If yes, detail any exceptions</b>	If the Borrower does not redeliver Equivalent Securities in accordance with the Agreement, the Lender may by written notice to Borrower terminate the Loan forthwith and the Parties' delivery and payment obligations in respect thereof.

<b>Schedule</b>	
<b>Type of Agreement</b>	Global Master Securities Lending Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc and SPECIFIED MONEY TRUST FUND NO.400076167 FOR TREND MICRO INCORPORATED ENTRUSTED TO THE MASTER TRUST BANK OF JAPAN, LTD.
<b>Transfer Date</b>	20190920; 20190923; 20190924;
<b>Holder of Voting Rights</b>	Borrower
<b>Are there any restrictions on voting rights?</b>	<del>Yes</del> /No
<b>If yes, detail</b>	Not applicable
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	The Borrower is entitled at any time to terminate a Loan and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.
<b>Does the lender have the right to recall early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	The Lender is entitled to terminate a Loan and to call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the Loaned Securities were originally delivered.
<b>Will the securities be returned on settlement?</b>	Yes/ <del>No</del>
<b>If yes, detail any exceptions</b>	If the Borrower does not redeliver Equivalent Securities in accordance with the Agreement, the Lender may by written notice to Borrower terminate the Loan forthwith and the Parties' delivery and payment obligations in respect thereof.

<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement

## Annexure C

Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and METRICA ASIA EVENT DRIVEN MASTER FUND
Transfer Date	20191004;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

<b>Schedule</b>	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and BRAHMAN PURE ALPHA PTE LTD
Transfer Date	20191004;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

<b>Schedule</b>	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and LAKEVILLE CAPITAL INVESTORS LIMITED
Transfer Date	20191004;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

<b>Schedule</b>	
Type of Agreement	International Prime Brokerage Agreement

## Annexure C

<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and LMA SPC-MAP 250 SEGREGATED PORTFOLIO
<b>Transfer Date</b>	20191004;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	Yes/No
<b>If yes, detail</b>	Not applicable
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/No
<b>If yes, detail</b>	Prime broker may return shares which were rehypothecated from the client at any time.
<b>Does the lender have the right to recall early?</b>	Yes/No
<b>If yes, detail</b>	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
<b>Will the securities be returned on settlement?</b>	Yes/No
<b>If yes, detail any exceptions</b>	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.