



28 October 2019

Madeleine Green  
Senior Advisor, Listings Compliance  
ASX  
Level 40  
152-158 St Georges Terrace  
PERTH WA 6000

**Email: [madeleine.green@asx.com.au](mailto:madeleine.green@asx.com.au)**

Dear Madeleine,

**RE: RESPONSE LETTER TO CHANGE OF DIRECTORS'S INTEREST NOTICES**

We refer to your request for information dated 28 October 2019 in relation to the late lodgement of Appendices 3X and 3Z in relation to the appointment of Mr David Rolf Welch and resignation of Mr Uwe Henke Von Parpart as directors of the Company.

Please find below our responses to your office.

*1. Please explain why the Appendix 3X and 3Z notices were lodged late.*

The Appendix 3X for David Welch and the Appendix 3Z for Uwe Parpart, were lodged late due to an internal administrative oversight within the Company's corporate office. Upon being identified by the Company, the notices were lodged as soon as possible.

*2. What arrangements does BCK have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A?*

The Company and the Directors are aware of their obligations under ASX Listing Rule 3.19A. All the directors are advised of their obligation to keep the Company informed of any changes to their interests in the Company or circumstances giving rise to a disclosure obligation under Listing Rule 3.19A within 5 business days of such change occurring. Upon joining the Board, each new director is made aware of their obligations to notify the Company should there be a change in their notifiable interest (both direct and indirect) so that the Company can make the appropriate disclosures. The Company's Trading Policy also sets out this requirement.

*3. If the current arrangements are inadequate or not being enforced, what additional steps does BCK intend to take to ensure compliance with Listing Rule 3.19B?*

The Company believes that its current practices are adequate to ensure compliance with Listing Rule 3.19B. However, in light of the oversight on this occasion the Company will review its administrative procedures to ensure that it meets its obligations in the future.

We trust the responses above address your queries.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Jason Chan', with a stylized, cursive script.

**Jason Chan**  
**Company Secretary**

# Appendix 3Z

## Final Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b> Brockman Mining Limited
<b>ABN</b> 143 211 867

We (the entity) give ASX the following information under listing rule 3.19A.3 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of director</b>	Uwe Henke Von Parpart
<b>Date of last notice</b>	25 October 2019
<b>Date that director ceased to be director</b>	15 October 2019

### Part 1 – Director's relevant interests in securities of which the director is the registered holder

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Number &amp; class of securities</b>
1,500,000 options exercisable at HK\$0.124 expiring 31 December 2020.

+ See chapter 19 for defined terms.

## Appendix 3Z

### Final Director's Interest Notice

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#### Part 2 – Director's relevant interests in securities of which the director is not the registered holder

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Name of holder & nature of interest Note: Provide details of the circumstances giving rise to the relevant interest	Number & class of securities

#### Part 3 – Director's interests in contracts

Detail of contract	Nil
Nature of interest	
Name of registered holder (if issued securities)	
No. and class of securities to which interest relates	

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+ See chapter 19 for defined terms.