#### **Form 603**

Corporations Act 2001 Section 671B

#### Notice of initial substantial holder

To Company Name/Scheme	GALAXY RESOURCES LIMITED
ACN/ARSN	ACN 071 976 442

#### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder became a substantial holder on 4-Dec-2019

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	20,942,985	20,942,985	5.11%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
See Annexure "A"		

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
See Annexure "B"			

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	` '		Class and number of securities
		Cash	Non-cash	
See Annexure "C"				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See Annexure "D"	

#### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
See Annexure "E"	

print name Winston Loke capacity Authorised Signatory

sign here date 9-Dec-2019

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# Annexure "A"

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse Funds AG	Voting rights and right to dispose	335,328 Common Stock
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Holder of securities subject to an obligation to return under a securities lending agreement	320,111 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Voting rights and right to dispose	289 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Holder of securities subject to an obligation to return under a securities lending agreement	5,517,375 Common Stock
Credit Suisse Securities (USA) LLC	Holder of securities subject to an obligation to return under securities lending agreement	13,428,429 Common Stock
Credit Suisse (Schweiz) AG	Holder of securities subject to an obligation to return under a securities lending agreement	802,689 Common Stock
Credit Suisse AG	Holder of securities subject to an obligation to return under a securities lending agreement	538,764 Common Stock

This is Annexure "A" referred to in the Form 603 "Notice of initial substantial holder"

Signature		Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)			
	print name	Winston Loke	capacity	Authorised Signatory	
	sign here		date	9-Dec-2019	
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# Annexure "B"

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse Funds AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse Funds AG	335,328 Common Stock
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	320,111 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	5,517,664 Common Stock
Credit Suisse Securities (USA) LLC	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (USA) LLC	13,428,429 Common Stock
Credit Suisse (Schweiz) AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse (Schweiz) AG	802,689 Common Stock
Credit Suisse AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse AG	538,764 Common Stock

This is Annexure "B" referred to in the Form 603 "Notice of initial substantial holder"

Signature		Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)		
	print name	Winston Loke	capacity	Authorised Signatory
	sign here		date	9-Dec-2019
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# Annexure "C"

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Consideration (9)	
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	9-Oct-19	Refer to Annexure F of Notice	Stock borrowed under ASLA	120,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Oct-19	Refer to Annexure F of Notice	Stock returned under ASLA	-120,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Oct-19	Refer to Annexure F of Notice	Stock borrowed under ASLA	400,000 Common Stock
Credit Suisse Equities (Australia) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock returned under ASLA	-294,934 Common Stock
Credit Suisse Equities (Australia) Limited	1-Nov-19	Refer to Annexure F of Notice	Stock returned under ASLA	-105,066 Common Stock
Credit Suisse Equities (Australia) Limited	27-Nov-19	Refer to Annexure F of Notice	Stock borrowed under ASLA	62,000 Common Stock
Credit Suisse Equities (Australia) Limited	28-Nov-19	Refer to Annexure F of Notice	Stock borrowed under ASLA	94,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Dec-19	Refer to Annexure F of Notice	Stock borrowed under ASLA	164,111 Common Stock
Credit Suisse Securities (USA) LLC	21-Aug-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	151,930 Common Stock
Credit Suisse Securities (USA) LLC	21-Aug-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	23,806 Common Stock
Credit Suisse Securities (USA) LLC	21-Aug-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	824,264 Common Stock
Credit Suisse Securities (USA) LLC	25-Sep-19	Refer to Annexure F of Notice	Stock returned under OSLA	-151,930 Common Stock
Credit Suisse Securities (USA) LLC	25-Sep-19	Refer to Annexure F of Notice	Stock returned under OSLA	-23,806 Common Stock
Credit Suisse Securities (USA) LLC	8-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	200,000 Common Stock
Credit Suisse Securities (USA) LLC	14-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	400,000 Common Stock
Credit Suisse Securities (USA) LLC	14-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	250,000 Common Stock
Credit Suisse Securities (USA) LLC	14-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	30,000 Common Stock
Credit Suisse Securities (USA) LLC	15-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	100,000 Common Stock

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16-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-1,000,000 Common Stock
17-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	250,000 Common Stock
17-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	150,000 Common Stock
17-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	100,000 Common Stock
18-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	30,000 Common Stock
21-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	50,000 Common Stock
22-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-2,000,000 Common Stock
28-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	100,000 Common Stock
30-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	60,000 Common Stock
30-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,500,000 Common Stock
1-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,256,835 Common Stock
1-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	593,000 Common Stock
1-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	121,000 Common Stock
1-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	643,165 Common Stock
6-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,000,000 Common Stock
8-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-100,000 Common Stock
8-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-60,000 Common Stock
8-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	2,000,000 Common Stock
18-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,000,000 Common Stock
20-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-1,000,000 Common Stock
28-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-104,500 Common Stock
2-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	2,000,000 Common Stock
3-Dec-19	Refer to Annexure F of Notice	Stock returned under OSLA	-1,256,835 Common Stock
3-Dec-19	Refer to Annexure F of Notice	Stock returned under OSLA	-593,000 Common Stock
	17-Oct-19 17-Oct-19 17-Oct-19 17-Oct-19 18-Oct-19 21-Oct-19 22-Oct-19 30-Oct-19 30-Oct-19 1-Nov-19 1-Nov-19 1-Nov-19 8-Nov-19 8-Nov-19 18-Nov-19 20-Nov-19 22-Oct-19	16-Oct-19 Notice  17-Oct-19 Refer to Annexure F of Notice  17-Oct-19 Refer to Annexure F of Notice  17-Oct-19 Refer to Annexure F of Notice  18-Oct-19 Refer to Annexure F of Notice  21-Oct-19 Refer to Annexure F of Notice  22-Oct-19 Refer to Annexure F of Notice  28-Oct-19 Refer to Annexure F of Notice  30-Oct-19 Refer to Annexure F of Notice  30-Oct-19 Refer to Annexure F of Notice  1-Nov-19 Refer to Annexure F of Notice  8-Nov-19 Refer to Annexure F of Notice  8-Nov-19 Refer to Annexure F of Notice  8-Nov-19 Refer to Annexure F of Notice  18-Nov-19 Refer to Annexure F of Notice  20-Nov-19 Refer to Annexure F of Notice	16-Oct-19 Notice  17-Oct-19 Refer to Annexure F of Notice  17-Oct-19 Refer to Annexure F of Stock borrowed under OSLA  17-Oct-19 Notice  17-Oct-19 Refer to Annexure F of Notice  18-Oct-19 Refer to Annexure F of Notice  18-Oct-19 Refer to Annexure F of Notice  21-Oct-19 Refer to Annexure F of Stock borrowed under OSLA  22-Oct-19 Refer to Annexure F of Stock borrowed under OSLA  22-Oct-19 Refer to Annexure F of Stock borrowed under OSLA  30-Oct-19 Refer to Annexure F of Notice  30-Oct-19 Refer to Annexure F of Notice  30-Oct-19 Refer to Annexure F of Stock borrowed under OSLA  30-Oct-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  1-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  8-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  20-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  20-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  20-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  20-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  20-Nov-19 Refer to Annexure F of Stock borrowed under OSLA  20-Nov-19 Refer to Annexure F of Stock bor

Credit Suisse Securities (USA) LLC	3-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	40,000 Common Stock
Credit Suisse Securities (USA) LLC	3-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	135,000 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,170,000 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,424,200 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	665,100 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	19,400 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	151,600 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	29,500 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	121,400 Common Stock
Credit Suisse Securities (USA) LLC	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	23,800 Common Stock
Credit Suisse Securities (Europe) Limited	16-Aug-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	149,763 Common Stock
Credit Suisse Securities (Europe) Limited	16-Aug-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	92,237 Common Stock
Credit Suisse Securities (Europe) Limited	19-Aug-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	1,000,000 Common Stock
Credit Suisse Securities (Europe) Limited	22-Aug-19	Refer to Annexure F of Notice	Stock returned under OSLA	-1,000,000 Common Stock
Credit Suisse Securities (Europe) Limited	19-Sep-19	Refer to Annexure F of Notice	Stock returned under OSLA	-82,178 Common Stock
Credit Suisse Securities (Europe) Limited	24-Sep-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	154,071 Common Stock
Credit Suisse Securities (Europe) Limited	25-Sep-19	Refer to Annexure F of Notice	Stock returned under OSLA	-92,237 Common Stock
Credit Suisse Securities (Europe) Limited	25-Sep-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	400,000 Common Stock
Credit Suisse Securities (Europe) Limited	30-Sep-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	29,000 Common Stock
Credit Suisse Securities (Europe) Limited	3-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	939,000 Common Stock
Credit Suisse Securities (Europe) Limited	4-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	460,000 Common Stock
Credit Suisse Securities (Europe) Limited	7-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	200,000 Common Stock
Credit Suisse Securities (Europe) Limited	9-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-200,000 Common Stock
Credit Suisse Securities (Europe) Limited	9-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	200,000 Common Stock

Credit Suisse Securities (Europe) Limited	11-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	200,000 Common Stock
Credit Suisse Securities (Europe) Limited	11-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	8,000 Common Stock
Credit Suisse Securities (Europe) Limited	15-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	50,000 Common Stock
Credit Suisse Securities (Europe) Limited	17-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	200,000 Common Stock
Credit Suisse Securities (Europe) Limited	17-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	37,000 Common Stock
Credit Suisse Securities (Europe) Limited	18-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-166,000 Common Stock
Credit Suisse Securities (Europe) Limited	18-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-55,000 Common Stock
Credit Suisse Securities (Europe) Limited	18-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	400,000 Common Stock
Credit Suisse Securities (Europe) Limited	23-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	75,000 Common Stock
Credit Suisse Securities (Europe) Limited	23-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-400,000 Common Stock
Credit Suisse Securities (Europe) Limited	23-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	400,000 Common Stock
Credit Suisse Securities (Europe) Limited	28-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-20,000 Common Stock
Credit Suisse Securities (Europe) Limited	28-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-285,066 Common Stock
Credit Suisse Securities (Europe) Limited	28-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-294,934 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-106,387 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-460,000 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	303,785 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	156,215 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock returned under OSLA	-29,000 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	16,671 Common Stock
Credit Suisse Securities (Europe) Limited	1-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-100,000 Common Stock
Credit Suisse Securities (Europe) Limited	4-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-1,000,000 Common Stock
Credit Suisse Securities (Europe) Limited	4-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-339,000 Common Stock
Credit Suisse Securities (Europe) Limited	4-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	100,000 Common Stock

Credit Suisse Securities (Europe) Limited	4-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	239,000 Common Stock
Credit Suisse Securities (Europe) Limited	6-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-156,215 Common Stock
Credit Suisse Securities (Europe) Limited	6-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	21,444 Common Stock
Credit Suisse Securities (Europe) Limited	6-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	134,771 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-193,613 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-303,785 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-100,000 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	400,000 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	40,995 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	151,482 Common Stock
Credit Suisse Securities (Europe) Limited	7-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	4,921 Common Stock
Credit Suisse Securities (Europe) Limited	8-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-21,444 Common Stock
Credit Suisse Securities (Europe) Limited	12-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	12,329 Common Stock
Credit Suisse Securities (Europe) Limited	12-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-151,482 Common Stock
Credit Suisse Securities (Europe) Limited	12-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	151,482 Common Stock
Credit Suisse Securities (Europe) Limited	12-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-4,921 Common Stock
Credit Suisse Securities (Europe) Limited	12-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	4,921 Common Stock
Credit Suisse Securities (Europe) Limited	25-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-500,000 Common Stock
Credit Suisse Securities (Europe) Limited	25-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	500,000 Common Stock
Credit Suisse Securities (Europe) Limited	25-Nov-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	500,000 Common Stock
Credit Suisse Securities (Europe) Limited	26-Nov-19	Refer to Annexure F of Notice	Stock returned under OSLA	-1,000,000 Common Stock
Credit Suisse Securities (Europe) Limited	2-Dec-19	Refer to Annexure F of Notice	Stock returned under OSLA	-161,301 Common Stock
Credit Suisse Securities (Europe) Limited	2-Dec-19	Refer to Annexure F of Notice	Stock returned under OSLA	-100,791 Common Stock
Credit Suisse Securities (Europe) Limited	2-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	370,000 Common Stock

Credit Suisse Securities (Europe) Limited	2-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	190,000 Common Stock
Credit Suisse Securities (Europe) Limited	2-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	60,000 Common Stock
Credit Suisse Securities (Europe) Limited	3-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	94,081 Common Stock
Credit Suisse Securities (Europe) Limited	3-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	100 Common Stock
Credit Suisse Securities (Europe) Limited	3-Dec-19	Refer to Annexure F of Notice	Stock returned under OSLA	-94,181 Common Stock
Credit Suisse Securities (Europe) Limited	3-Dec-19	Refer to Annexure F of Notice	Stock returned under OSLA	-143,727 Common Stock
Credit Suisse Securities (Europe) Limited	4-Dec-19	Refer to Annexure F of Notice	Stock borrowed under OSLA	250,000 Common Stock
Credit Suisse Securities (Europe) Limited	15-Aug-19	9.76 AUD		-8 Common Stock
Credit Suisse Securities (Europe) Limited	19-Aug-19	9.28 AUD		-8 Common Stock
Credit Suisse Securities (Europe) Limited	27-Aug-19	8.19 AUD		7 Common Stock
Credit Suisse Securities (Europe) Limited	3-Sep-19	1.16 AUD		-1 Common Stock
Credit Suisse Securities (Europe) Limited	24-Sep-19	35.52 AUD		32 Common Stock
Credit Suisse Securities (Europe) Limited	2-Oct-19	8,755.96 AUD		8,399 Common Stock
Credit Suisse Securities (Europe) Limited	17-Oct-19	20.46 AUD		-22 Common Stock
Credit Suisse Securities (Europe) Limited	28-Oct-19	.84 AUD		-1 Common Stock
Credit Suisse Securities (Europe) Limited	12-Nov-19	1.08 AUD		1 Common Stock
Credit Suisse Securities (Europe) Limited	22-Nov-19	108.48 AUD		113 Common Stock
Credit Suisse Securities (Europe) Limited	27-Nov-19	108.48 AUD		-113 Common Stock
Credit Suisse Securities (Europe) Limited	2-Dec-19	955.80 AUD		1,062 Common Stock
Credit Suisse Securities (Europe) Limited	4-Dec-19	952.20 AUD		-1,058 Common Stock
Credit Suisse (Schweiz) AG	8-Aug-19	Free of payment	Transfer in	25,551 Common Stock
Credit Suisse (Schweiz) AG	29-Oct-19	Free of payment	Transfer out	-20,000 Common Stock
Credit Suisse (Schweiz) AG	6-Nov-19	Free of payment	Transfer in	10,000 Common Stock
Credit Suisse (Schweiz) AG	7-Nov-19	Free of payment	Transfer in	20,000 Common Stock

Credit Suisse (Schweiz) AG	12-Nov-19	Free of payment	Transfer out	-24,689 Common Stock
Credit Suisse (Schweiz) AG	3-Dec-19	Free of payment	Transfer out	-23,000 Common Stock
Credit Suisse (Schweiz) AG	4-Dec-19	Free of payment	Transfer out	24,689 Common Stock
Credit Suisse AG	7-Aug-19	Free of payment	Transfer in	24,449 Common Stock
Credit Suisse AG	23-Sep-19	Free of payment	Transfer in	68,000 Common Stock
Credit Suisse AG	29-Oct-19	Free of payment	Transfer out	-10,000 Common Stock
Credit Suisse AG	30-Oct-19	Free of payment	Transfer out	-10,000 Common Stock
Credit Suisse AG	31-Oct-19	Free of payment	Transfer out	-150,000 Common Stock
Credit Suisse AG	7-Nov-19	Free of payment	Transfer out	-30,000 Common Stock
Credit Suisse AG	12-Nov-19	Free of payment	Transfer out	-465,429 Common Stock
Credit Suisse AG	2-Dec-19	Free of payment	Transfer in	538,764 Common Stock

This is Annexure "C" referred to in the Form 603 "Notice of initial substantial holder"

Signature		Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)		
	print name	Winston Loke	capacity	Authorised Signatory
	sign here		date	9-Dec-2019
		M _		

# Annexure "D"

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Related Body Corporate
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Related Body Corporate
Credit Suisse (Schweiz) AG	Related Body Corporate
Credit Suisse AG	Related Body Corporate
Credit Suisse Funds AG	Related Body Corporate
Credit Suisse Securities (USA) LLC	Related Body Corporate

This is Annexure "D" referred to in the Form 603 "Notice of initial substantial holder"

Signatur	·e	Credit Suisse Holdings (Australi	a) Limited (AC	N 008 496 713)
	print name	Winston Loke	capacity	Authorised Signatory
	sign here		date	9-Dec-2019
		M		

# Annexure "E"

#### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square,London,E14 4QJ
Credit Suisse (Schweiz) AG	Paradeplatz 8 Zurich, 8001 Switzerland
Credit Suisse AG	Paradeplatz 8 Zurich, 8001 Switzerland
Credit Suisse Funds AG	Giesshübelstrasse 30,Zurich,8045
Credit Suisse Securities (USA) LLC	11 Madison Avenue, New York, NY 10010

This is Annexure "E" referred to in the Form 603 "Notice of initial substantial holder"

Signatur	е	Credit Suisse Holdings (Australia) Li	mited (AC	CN 008 496 713)
	print name	Winston Loke	capacity	Authorised Signatory
	sign here		date	9-Dec-2019
		1/1/4		

# Annexure "F"

This is Annexure "F" referred to in the Form 603 "Notice of initial substantial holder"

Signature		Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)		
	print name	Winston Loke	capacity	Authorised Signatory
	sign here		date	9-Dec-2019
		M		

### Prescribed information Schedule Type of agreement Australian Securities Lending Agreement Credit Suisse Equities (Australia) Limited and Parties to agreement JP Morgan Nominees (Australia) Limited Transfer date 27-Nov-2019 Borrower Holder of voting rights Are there any restrictions on voting rights? Yes Voting rights to be exercised in accordance If yes, detail with lender's instructions provided such instructions are received 7 days prior. Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes At will. If yes, detail Does the lender have the right to recall early? Yes With 4 business days prior notice or earlier If yes, detail upon agreement. Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

#### **Prescribed information**

#### Schedule

Type of agreement Australian Securities Lending Agreement

Parties to agreement Credit Suisse Equities (Australia) Limited and

Citibank NA Sydney Branch

Transfer date 2-Dec-2019

Holder of voting rights Borrower

Are there any restrictions on voting rights?

Yes

If yes, detail Voting rights to be exercised in accordance

with lender's instructions provided such instructions are received 7 days prior.

Scheduled return date (if any) N/A

Does the borrower have the right to return early? Yes

If yes, detail At Will.

Does the lender have the right to recall early? Yes

If yes, detail With 4 business days prior notice or earlier

upon agreement

Will the securities be returned on settlement? Yes

> the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the

## Prescribed information Schedule Australian Securities Lending Agreement Type of agreement Parties to agreement Credit Suisse Equities (Australia) Limited and National Australia Bank Limited Transfer date 28-Nov-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? Yes Voting rights to be exercised in accordance If yes, detail with lender's instructions provided such instructions are received 7 days prior Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail Early return is subject to the lender's

Does the lender have the right to recall early? Yes

If yes, detail With 4 business days prior notice or earlier

upon agreement

acceptance

Will the securities be returned on settlement? Yes

> the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the

### Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Parties to agreement Credit Suisse Securities (USA) LLC and Brown Brothers Harriman and Co. Transfer date 4-Dec-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No N/A If yes, detail Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail With 4 business days prior notice, unless Borrower and Lender agree to the contrary. Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the

lender to return securities or cash held by the

### Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Parties to agreement Credit Suisse Securities (USA) LLC and Deutsche Bank AG Transfer date 14-Oct-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No N/A If yes, detail Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement. Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

### Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Parties to agreement Credit Suisse Securities (USA) LLC and State Street Bank and Trust and Company Transfer date 4-Dec-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No N/A If yes, detail Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the

lender to return securities or cash held by the

### Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Credit Suisse Securities (USA) LLC and The Parties to agreement Bank of New York Mellon Transfer date 3-Dec-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No If yes, detail N/A Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will, unless Borrower and Lender agree to the contrary. Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Parties to agreement Credit Suisse Securities (USA) LLC and ESEC Transfer date 28-Nov-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No N/A If yes, detail Scheduled return date (if any) N/A Does the borrower have the right to return early? If yes, detail At Will, unless Borrower and Lender agree to the contrary. Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Parties to agreement Credit Suisse Securities (USA) LLC and BlackRock Institutional Trust Company Transfer date 8-Nov-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No If yes, detail N/A Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes With 4 business days prior notice, unless If yes, detail Borrower and Lender agree to the contrary. Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Credit Suisse Securities (Europe) Limited and Parties to agreement Chase Manhattan Bank (London Branch) Transfer date 3-Dec-2019 Holder of voting rights Borrower Yes Are there any restrictions on voting rights? If yes, detail Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior. Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

#### Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Credit Suisse Securities (Europe) Limited and Parties to agreement Citibank N.A. 2-Dec-2019 Transfer date Holder of voting rights Borrower Yes Are there any restrictions on voting rights? If yes, detail Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior. N/A Scheduled return date (if any) Does the borrower have the right to return early? Yes At Will If yes, detail Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Overseas Securities Lending Agreement Type of agreement Credit Suisse Securities (Europe) Limited and Parties to agreement **HSBC Bank PLC** Transfer date 18-Oct-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No If yes, detail N/A Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement. Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Overseas Securities Lending Agreement Type of agreement Credit Suisse Securities (Europe) Limited and Parties to agreement Bank of New York Mellon Transfer date 23-Oct-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No If yes, detail N/A Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement. Will the securities be returned on settlement? Yes If an event of default occurs in respect of either If yes, detail any exceptions the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Credit Suisse Securities (Europe) Limited and Parties to agreement Canadian Imperial Bank of Commerce Transfer date 2-Dec-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? Yes If yes, detail Borrower must use best endeavours to vote in accordance with the Lender's instructions until completion of the corporate action. Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will. Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

### Prescribed information Schedule Type of agreement Overseas Securities Borrowing Agreement Parties to agreement Credit Suisse Securities (Europe) Limited and The Northern Trust Company Transfer date 4-Dec-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? Yes Borrower must use best endeavours to vote in If yes, detail accordance with the Lender's instructions until completion of the corporate action. Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes At Will. If yes, detail Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

## Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Parties to agreement Credit Suisse Securities (Europe) Limited and State Street Trust and Banking Company Transfer date 17-Oct-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No N/A If yes, detail Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes If yes, detail At Will Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice or earlier upon agreement. Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

#### Prescribed information Schedule Type of agreement Overseas Securities Lending Agreement Credit Suisse Securities (Europe) Limited and Parties to agreement RBC Dexia Investor Services Trust. Transfer date 15-Oct-2019 Holder of voting rights Borrower Are there any restrictions on voting rights? No If yes, detail N/A Scheduled return date (if any) N/A Does the borrower have the right to return early? Yes At Will If yes, detail Does the lender have the right to recall early? Yes If yes, detail With 4 business days prior notice. Will the securities be returned on settlement? Yes If yes, detail any exceptions If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.