



1 April 2020

Company Announcements Office  
ASX Limited  
Sydney, NSW  
Australia

**Grant of Waiver by ASX – Listing Rule 4.5.1**

CAQ Holdings Limited (“CAQ” or “the Company”) advise that a ASX has granted CAQ a waiver from ASX Listing Rule 4.5.1.

The decision by ASX provides CAQ relief from lodging audited Financial Statements for the financial year ended 31 December 2019 by 31 March 2020 (“Relief”).

The Relief requires that CAQ must lodge with ASX audited Financial Statements for the financial year ended 31 December 2019 on or before 30 April 2020.

Further to the announcement by CAQ on 31 March 2019 on ASX Market Announcements Platform, titled “ASIC Relief Order – Audit Report” (the “Announcement”), CAQ confirms that the Company is in compliance with the conditions contained within the ASIC relief instrument issued to CAQ as disclosed in the Announcement.

While challenges continue for the Company’s auditor in obtaining certain information from PRC financial institutions the position is improving. Based upon discussions with CAQ’s auditor, Ernst & Young, CAQ reasonably anticipates being in a position to lodge its audited Financial Statements on or before 21 April 2020.

CAQ confirms that it is in compliance with its disclosure obligations under ASX Listing Rule 3.1.

In the event that there is a material difference between the unaudited results lodged with ASX and its audited financial statement CAQ will immediately notify ASX.

Further, CAQ confirms that the closed period under CAQ’s securities dealing policy has been extended from 31 March 2020 until the lodgement of the audited Financial Statements and thereafter in accordance with the policy.

*Further Information*

Should any shareholder have any question related to the Waiver granted by ASX please direct your question to the Company Secretary, Mark Maine, by email to [mark@symcap.com.au](mailto:mark@symcap.com.au) or on mobile +61416107244.

*Ends*

This announcement has been authorised for release to ASX by Mark Maine, Company Secretary.

For further information contact:

**Mark Maine**  
Company Secretary