## **Form 604**

#### **Corporations Act 2001** Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme	ADVANCED BRAKING TECHNOLOGY LTD			
ACN/ARSN	99 107 623			
1. Details of substantial holder(1)				
Name	KEITH KNOWLES			
ACN/ARSN (if applicable)				
There was a change in the interests of the holder on	substantial			
The previous notice was given to the comp	pany on <u>04/02/2020</u>			
The previous notice was dated	_03/02/2020			

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest

(3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes Voting power (5)		Person's votes	Voting power (5)
ORDINARY SHARES	73,972,993	19.51%	79,632,961	21%

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected			
	See attached							

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Mr Keith Knowles	Mr Keith Knowles	Mr Keith Knowles	Mr Keith Knowles	ORDINARY	8.01%
Parks Australia Pty Ltd	Parks Australia Pty Ltd	Parks Australia Pty Ltd	Parks Australia Pty Ltd	ORDINARY	12.99%

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Nature of association		
	Nature of association	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name Address		
Mr Keith Knowles	70 Roy Terrace Christies beach SA 5165	
Parks Australia Pty Ltd	49 O'Sullivan Beach Road Lonsdale SA 5160	

Signature			
o.ga.a.	print name	Keith Knowles	Capacity Beneficial Owner
	sign here	· Kluccolo.	date (// 7/ 2020

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manage and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresse of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of an contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of th securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, of may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even they are not paid directly to the person from whom the relevant interest was acquired.
- 8) If the substantial holder is unable to determine the identify of the person (eg. if the relevant interest arises because of an option) write "unknown"
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

		Class	Qty	Balance	Consideration
MR KEITH KNOWLES	Opening Balance		28,582,000	28,582,000	
13/02/2020	On market purchase	Ordinary Shares	124,600	28,706,600 \$	3,987.20
17/02/2020	On market purchase	Ordinary Shares	382,672	29,089,272 \$	12,245.50
5/03/2020	On market purchase	Ordinary Shares	207,782	29,297,054 \$	5,838.67
20/03/2020	On market purchase	Ordinary Shares	76,185	29,373,239 \$	1,318.00
24/03/2020	On market purchase	Ordinary Shares	18,815	29,392,054 \$	319.86
27/04/2020	On market sale	Ordinary Shares -	121,184	29,270,870 \$	3,029.60
1/05/2020	On market sale	Ordinary Shares -	125,204	29,145,666 \$	2,754.49
24/06/2020	On market sale	Ordinary Shares -	420,441	28,725,225 \$	8,829.26
25/06/2020	On market sale	Ordinary Shares -	1,100,000	27,625,225 \$	22,000.00
26/06/2020	On market sale	Ordinary Shares -	136,530	27,488,695 \$	3,003.66
2/07/2020	On market purchase	Ordinary Shares	500,000	27,988,695 \$	11,000.00
6/07/2020	On market purchase	Ordinary Shares	48,083	28,036,778 \$	1,105.91
6/07/2020	On market purchase	Ordinary Shares	3,084,856	31,121,634 \$	77,121.40
7/07/2020	On market sale	Ordinary Shares -	736,555	30,385,079 \$	25,042.87
				8.01%	
PARKS AUSTRALIA PTY LTD	Opening Balance		45,390,993	45,390,993	
	On market purchase	Ordinary Shares	167,640	45,558,633 \$	5,196.84
	On market purchase	Ordinary Shares	66,000	45,624,633 \$	*
• •	On market purchase	Ordinary Shares	432,360	46,056,993 \$	*
	On market purchase	Ordinary Shares	850,000	46,906,993 \$	23,800.00
18/06/2020	On market purchase	Ordinary Shares	1,000,000	47,906,993 \$	20,000.00
19/06/2020	On market purchase	Ordinary Shares	175,389	48,082,382 \$	3,507.78
23/06/2020	On market purchase	Ordinary Shares	5,500	48,087,882 \$	110.00
29/06/2020	On market purchase	Ordinary Shares	940,306	49,028,188 \$	19,746.43
2/07/2020	On market purchase	Ordinary Shares	85,390	49,113,578 \$	1,963.97
3/07/2020	On market purchase	Ordinary Shares	134,304	49,247,882 \$	3,223.30
				12.99%	