

21 July 2020

Company Announcement Office Australian Securities Exchange Level 6, 20 Bridge Street SYDNEY NSW 2000

**By Electronic Lodgement** 

Dear Sir/Madam

## Change of Director's Interest Notices – Mr I Gandel and Mr N Earner ASIC Form 604 – Mr I Gandel

I attach an Appendix 3Y for Mr Ian Gandel and Mr Nic Earner relating to changes in the relevant interests in the Company's securities held by each of them.

Mr Earner was recently issued with Alkane shares in accordance with the Company's Long Term Incentive Plan. The trading conducted by Mr Earner was to assist with managing his personal tax commitments that crystalized upon the issue of the shares.

Also attached is Form 604 'Notice of change of interests of substantial holder' for Mr Gandel.

Further details are provided in the attached documents.

For the purposes of ASX Listing Rule 15.5, Alkane confirms this document is authorised for release to the market by the Company Secretary.

Yours faithfully

Dennis Wilkihs Company Secretary ALKANE RESOURCES LIMITED

Rule 3.19A.2

# **Appendix 3Y**

## **Change of Director's Interest Notice**

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/9/01. Amended 01/01/11

Name of entity	Alkane Resources Ltd
ABN	35 000 689 216

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Ian Jeffrey GANDEL
Date of last notice	14 January 2020

#### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Direct or indirect interest	Indirect interest
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	<ul> <li>a) Abbotsleigh Pty Ltd – a company in which the Director is a director and shareholder</li> <li>b) Citicorp Nominees Pty Ltd – custodian nominee holding for and on behalf of Abbotsleigh Pty Ltd</li> </ul>
Date of change	16-20 July 2020
No. of securities held prior to change	a) 127,921,143 ALK b) 8,100,000 ALK
Class	Ordinary fully paid shares ALK
Number acquired	a) 11,371,363 ALK
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	a) \$1.21 per share (average price)
No. of securities held after change	a) 139,292,506 ALK b) 8,100,000 ALK
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market trades.

<sup>+</sup> See chapter 19 for defined terms.

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder	
(if issued securities)	
Date of change	
No. and class of securities to which	
interest related prior to change	
Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration	
Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

## Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	Not applicable
If prior written clearance was provided, on what date was this provided?	Not applicable

<sup>+</sup> See chapter 19 for defined terms.

Rule 3.19A.2

# **Appendix 3Y**

## **Change of Director's Interest Notice**

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/9/01. Amended 01/01/11

Name of entity	Alkane Resources Ltd
ABN	35 000 689 216

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Nicholas Paul EARNER
Date of last notice	16 July 2020

#### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Direct or indirect interest	Direct Interest
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	N/A
Date of change	17 July 2020
No. of securities held prior to change	<ul> <li>i) 8,627,496 ALK</li> <li>ii) 1,622,252 LTI FY2020 performance rights</li> </ul>
Class	<ul> <li>i) Ordinary fully paid shares ALK</li> <li>ii) Performance rights subject to financial year 2020 long term incentive program (LTI FY2020)</li> </ul>
Number acquired	Nil
Number disposed	i) 5,000,000
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$1.22 per share
No. of securities held after change	<ul> <li>i) 3,627,496 ALK</li> <li>ii) 1,622,252 LTI FY2020 performance rights</li> </ul>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market trade

<sup>+</sup> See chapter 19 for defined terms.

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder	
(if issued securities)	
Date of change	
No. and class of securities to which	
interest related prior to change	
Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration	
Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

## Part 3 – +Closed period

Were the interests in the securities or contracts detailed	No
above traded during a <sup>+</sup> closed period where prior written	
clearance was required?	
If so, was prior written clearance provided to allow the	Not applicable
trade to proceed during this period?	
If prior written clearance was provided, on what date was	Not applicable
this provided?	

<sup>+</sup> See chapter 19 for defined terms.

## Form 604

Corporations Act 2001 Section 671B

## Notice of change of interests of substantial holder

ACN/ARSN ABN 35 000 689 216	To Company Name/Scheme ACN/ARSN	Alkane Resources Limited ABN 35 000 689 216
-----------------------------	------------------------------------	--

## **1.** Details of substantial holder<sup>(1)</sup>

Name	lan Jeffrey Gandel	
ACN/ARSN		
There was a change in the inte	erests of the substantial holder on	17/07/202

There was a change in the interests of the substantial holder on<br/>The previous notice was given to the company on17/07/2020The previous notice was given to the company on14/01/2020The previous notice was dated14/01/2020

## 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3)

in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary Shares	136,021,143	23.451%	147,392,506	24.762%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of Change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
16/07/20	Abbotsleigh Proprietary Limited	On-market trade	\$2,463,325	2,084,384 Ord Shares	Abbotsleigh Proprietary Limited
17/07/20	Abbotsleigh Proprietary Limited	On-market trade	\$10,121,751	8,323,124 Ord Shares	Abbotsleigh Superannuation Fund
20/07/20	Abbotsleigh Proprietary Limited	On-market trade	\$1,173,783	963,855 Ord Shares	Abbotsleigh Proprietary Limited

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holders	Registered	Person entitled	Nature of relevant interest	Class and	Person's
relevant	holder of	to be registered	(6)	number of	votes
interest	securities	as holder (8)		securities	
Abbotsleigh	Abbotsleigh	Abbotsleigh		131,184,324 –	22.039%
Proprietary	Proprietary	Proprietary		Ord Shares	
Limited	Limited	Limited			
Abbotsleigh	Citicorp	Abbotsleigh		8,100,000 -	1.361%
Proprietary	Nominees Pty	Proprietary		Ord Shares	
Limited	Ltd	Limited			
Abbotsleigh	Abbotsleigh	Abbotsleigh		8,108,182 -	1.362%
Proprietary	Proprietary	Superannuation		Ord Shares	
Limited	Limited	Fund			
			TOTAL	147,392,506	24.762%

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Ian Jeffrey Gandel	Suite 3, 51-55 City Road, Southbank, VIC, 3006
Abbotsleigh Proprietary Limited	Suite 3, 51-55 City Road, Southbank, VIC, 3006
Citicorp Nominees Pty Ltd	GPO Box 764G Melbourne, VIC, 3001

Signature

Print Name Sign Here



lan Gandel

Capacity Individual Date 21/07/2020

#### DIRECTIONS

(1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.

(2) See the definition of "associate" in section 9 of the Corporations Act 2001.

(3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.

(4) The voting shares of a company constitute one class unless divided into separate classes.

(5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.

(6) Include details of:

(a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and

(b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies). See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

(7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

(8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".

(9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.