

Form 604Corporations Act 2001
Section 671B**Notice of change of interests of substantial holder**To Company Name/Scheme **Caravel Minerals Limited**ACN/ARSN **120 069 776****1. Details of substantial holder (1)**Name **Alasdair Campbell Cooke**

ACN/ARSN (if applicable)

There was a change in the interests of the
substantial holder on**20/08/2020**

The previous notice was given to the company on

20/09/2018

The previous notice was dated

20/09/2018**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ordinary shares	14,187,989	9.27%	20,176,358	8.12%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
		See Annexure A			

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
		See Annexure A			

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

6. Addresses

The addresses of persons named in this form are as follows:

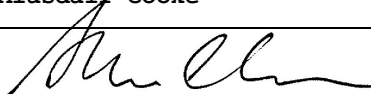
Name	Address
See Annexure A	

Signature

print name **Alasdair Cooke**

capacity

sign here



date **26/08/2020**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
 - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Annexure A

3. Changes in relevant interests

Date of Change	Person whose relevant Interest changed	Nature of Change	Consideration	Class and number of Securities Affected	Persons Votes Affected
5-8 October 2018	Hartree Pty Ltd	On-market acquisition	\$3,353	70,224 ordinary shares	70,224
10 October 2018	Alasdair Campbell Cooke	On-market acquisition	\$1,467	30,000 ordinary shares	30,000
10 October 2018	Hartree Pty Ltd	On-market acquisition	\$3,372	69,776 ordinary shares	69,776
1 March 2019	Hartree Pty Ltd	Acquisition in pro-rata entitlement issue	\$69,485.65	1,389,713 ordinary shares	1,389,713
1 March 2019	Glenlaren Pty Ltd <Glenlaren Super A/C>	Acquisition in pro-rata entitlement issue	\$28,571.45	571,429 ordinary shares	571,429
1 March 2019	Alasdair Campbell Cooke	Acquisition in pro-rata entitlement issue	\$4,500	90,000 ordinary shares	90,000
22 August 2019	Hartree Pty Ltd	On-market acquisition	\$4,300	100,000 ordinary shares	100,000
1 October 2019	Hartree Pty Ltd	Acquisition in pro-rata entitlement issue	\$64,101.16	1,602,529 ordinary shares	1,602,529
1 October 2019	Glenlaren Pty Ltd <Glenlaren Super A/C>	Acquisition in pro-rata entitlement issue	\$26,122.48	653,062 ordinary shares	653,062
1 October 2019	Alasdair Campbell Cooke	Acquisition in pro-rata entitlement issue	\$4,114.32	102,858 ordinary shares	102,858
30 July 2020	Hartree Pty Ltd	On-market acquisition	\$2,965	77,238 ordinary shares	77,238
20 August 2020	Hartree Pty Ltd	Participation in share purchase plan	\$30,000	750,000 ordinary shares	750,000
21 August 2020	Hartree Pty Ltd	On-market acquisition	\$13,147.67	292,120 ordinary shares	292,120
21 August 2020	Alasdair Campbell Cooke	On-market acquisition	\$5,747.04	127,690 ordinary shares	127,690
21 August 2020	Alasdair Campbell Cooke	On-market acquisition	\$2,992.94	61,729 ordinary shares	61,729

4. Present relevant interests

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Hartree Pty Ltd	Indirect holding	13,939,590 ordinary shares
Glenlaren Pty Ltd <Glenlaren Super A/C>	Indirect holding	5,224,491 ordinary shares
Alasdair Campbell Cooke	Direct holding	1,012,277 ordinary shares

7. Addresses

Name	Address
Hartree Pty Ltd	18 Mary Street, Claremont WA 6010
Glenlaren Pty Ltd <Glenlaren Super A/C>	18 Mary Street, Claremont WA 6010
Alasdair Campbell Cooke	18 Mary Street, Claremont WA 6010

This is Annexure "A" of 2 pages referred to in ASIC Form 604 (Notice of Change of Interests of Substantial Holder)

Signed:



Name: Alasdair Cooke

Date: 26 August 2020