



16 July 2015

**ASX Compliance Pty Ltd**  
152-158 St George's Terrace  
Perth WA 6000

Attention: Frieda Orr

Email: [Frieda.Orr@asx.com.au](mailto:Frieda.Orr@asx.com.au)

Dear Frieda

**Appendix 3Y for Michael Ruane lodged on 30 June 2015 ("Appendix 3Y")**

In response to your letter dated 16 July 2015, Metaliko Resources Ltd (the "Company") provides the following explanation regarding compliance with listing rule 3.19A and 3.19B.

**1. Please explain why the Appendix 3Y was lodged late**

Upon reviewing the Appendix 3Y a typographical error was found, the Date of change should read 24-29 June 2015 and not 12 June 2015. The 12 June 2015 date was carried over from the 3Y lodged on 15 June 2015. An amended 3Y is provided.

**2. What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?**

The Company's policy is for directors to inform the Company Secretary of any trading of shares in the Company immediately once the transactions have occurred. The Appendix 3Y forms are then prepared and lodged.

**3. If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?**

The Company considers the current arrangements to be adequate to ensure compliance with listing rule 3.19B in relation to the size of the Company and Board.

Please do not hesitate to contact me if you require any further information.

Yours faithfully,  
**METALIKO RESOURCES LTD**

*Bianca Taveira*  
**COMPANY SECRETARY**

159 Stirling Highway, Nedlands WA 6009  
PO Box 1104, Nedlands WA 6909  
Tel: +61 8 9386 9534  
Fax: +61 8 9386 9473  
E: [bianca@metaliko.com.au](mailto:bianca@metaliko.com.au)  
ACN 120 974 567



16 July 2015

Ms Bianca Taveira  
Company Secretary  
Metaliko Resources Limited  
159 Stirling Highway  
Nedlands WA 6009

By email: [bianca.taveira@intermin.com.au](mailto:bianca.taveira@intermin.com.au)

Dear Bianca

**METALIKO RESOURCES LIMITED ("COMPANY")**

We refer to the following.

1. The Company's announcement lodged with ASX Ltd ("ASX") on 30 June 2015 regarding a change of director's interest notice for Mr Michael Ruane ("Appendix 3Y").
2. Listing rule 3.19A which requires an entity to tell ASX the following.
  - 3.19A.1 *The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times:*
    - On the date that the entity is admitted to the official list.
    - On the date that a director is appointed.

*The entity must complete an Appendix 3X and give it to ASX no more than 5 business days after the entity's admission or a director's appointment.*
  - 3.19A.2 *A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust). The entity must complete an Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.*
  - 3.19A.3 *The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete an Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.*
3. Listing rule 3.19B which states as follows.

*An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.*

4. The Companies Update dated 27 June 2008, reminding listed entities of their obligation to notify ASX within 5 business days of the notifiable interests in securities held by each director and outlining the action that ASX would take in relation to breaches of listings rules 3.19A and 3.19B.

As the Appendix 3Y indicates that a change in Mr Ruane's notifiable interest occurred on 12 June 2015 the Appendix 3Y should have been lodged with the ASX by 19 June 2015. As the Appendix 3Y was lodged on 30 June 2015 it appears that the Company may be in breach of listing rules 3.19A and/or 3.19B.

Please note that ASX is required to record details of breaches of the listing rules by listed companies for its reporting requirements.

ASX reminds the Company of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Company make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: "Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities", we ask that you answer each of the following questions.

1. Please explain why the Appendix 3Y was lodged late.
2. What arrangements does the Company have in place with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Company intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail to [frieda.orr@asx.com.au](mailto:frieda.orr@asx.com.au). It should not be sent to the Company Announcements Office.

A response is requested as soon as possible and, in any event, not later than **3:00 pm WST on Monday 20 July 2015**.

Under listing rule 18.7A, a copy of this query and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked. If you have any queries or concerns, please contact me immediately.

Yours sincerely,

*[Sent electronically without signature]*

Frieda Orr  
Adviser, Listings Compliance (Perth)