Form 603 Corporations Act 2001 Section 671B

Notice of initial substantial holder					
To Company Name/Scheme Dick Smith Holdings Limited					
ACN/ARSN	166 237 841				
1. Details of substantial shareholder					
Name	Deutsche Bank AG and its related bodies corporate (together the "Deutsche Bank Group")				
ACN/ARSN (if applicable)	064 165 162				

The holder became a substantial holder on **8 September 2014**

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date of the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary shares	13,578,121	13,578,121	5.74%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities (Ordinary shares)
Deutsche Bank AG, Sydney Branch	Power to dispose of, or control the exercise of a power to dispose of, the securities held in capacity as principal	88,014
Deutsche Securities Australia Ltd	Power to dispose of, or control the exercise of a power to dispose of, the securities held pursuant to securities lending agreements Refer to Schedule 2	3,923,100
Deutsche Bank AG, London Branch	Power to dispose of, or control the exercise of a power to dispose of, the securities held pursuant to securities lending agreements Refer to Schedule 2	7,899,052
Deutsche Bank Securities Inc.	Power to dispose of, or control the exercise of a power to dispose of, the securities held pursuant to securities lending agreements Refer to Schedule 2	1,626,000

GmbH as investment manager	Deutsche Asset & Wealth Management Investment GmbH	Power to dispose of, or control the exercise of a power to dispose of, the securities held in capacity as investment manager	41,955
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4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities (Ordinary shares)
Deutsche Bank AG, Sydney Branch	Pan Australia Nominees Pty Ltd	Deutsche Bank AG, Sydney Branch	97
	Bainpro Nominees Pty Ltd	-	87,917
Deutsche Securities Australia Ltd	Unknown	Deutsche Securities Australia Ltd	3,923,100
Deutsche Bank AG, London Branch	Unknown	Deutsche Bank AG, London Branch	7,899,052
Deutsche Bank Securities Inc.	Unknown	Deutsche Bank Securities Inc.	1,626,000
Deutsche Asset & Wealth Management Investment GmbH	Unknown	Deutsche Asset & Wealth Management Investment GmbH	41,955

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please refer to Schedule 1				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Deutsche Securities Australia Ltd	The entity is ultimately controlled by Deutsche Bank AG
Deutsche Bank Securities Inc.	The entity is ultimately controlled by Deutsche Bank AG
Deutsche Asset & Wealth Management Investment GmbH	The entity is ultimately controlled by Deutsche Bank AG

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Deutsche Bank AG, Sydney Branch	126 Phillip Street, Sydney, NSW, Australia 2000
Deutsche Securities Australia Ltd	126 Phillip Street, Sydney, NSW, Australia 2000
Deutsche Bank AG, London Branch	Winchester House, 1 Great Winchester Street, London, United Kingdom EC2N 2DB
Deutsche Bank Securities Inc.	60 Wall Street, New York, USA 10005
Deutsche Asset & Wealth Management Investment GmbH	Mainzer Landstraße 178-190, Frankfurt, Hessen, Germany 60327
Pan Australia Nominees Pty Ltd	126 Phillip Street, Sydney, NSW, Australia 2000
Bainpro Nominees Pty Ltd	126 Phillip Street, Sydney, NSW, Australia 2000

Deer

Signature

 Name
 Fiona Cheng

 Deutsche Bank AG, Hong Kong Branch
 10 September 2014

12/05/2014 Deutsche Securities Australia Ltd Buy 2.16 3.279 3.279 13/05/2014 Deutsche Securities Australia Ltd Buy 2.11 7.260 7.25 12/05/2014 Deutsche Securities Australia Ltd Buy 2.12 3.260 3.25 23/05/2014 Deutsche Securities Australia Ltd Buy 2.16 1.000 1.000 27/05/2014 Deutsche Securities Australia Ltd Buy 2.11 2.000 2.000 29/05/2014 Deutsche Bank AG, London Branch Stock borrow from 0.115.89 9.116.89 9.16 29/05/2014 Deutsche Securities Australia Ltd Buy 2.14 2.000 2.000 30/05/2014 Deutsche Securities Australia Ltd Buy 2.07 64.193 64.193 30/05/2014 Deutsche Securities Australia Ltd Buy 2.00 8.500 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000 2.000						
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16/06/2014 Deutsche Securities Australia Ltd Buy 1.89 7,987 7,987 17/06/2014 Deutsche Securities Australia Ltd Buy 1.89 5,529 5,521 18/06/2014 Deutsche Securities Australia Ltd Buy 1.91 8,000 8,000 19/06/2014 Deutsche Securities Australia Ltd Buy 1.92 6,000 6,000 19/06/2014 Deutsche Securities Australia Ltd Buy 1.92 6,000 2,000 20/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 3,000 23/06/2014 Deutsche Securities Australia Ltd Buy 1.93 6 0 24/06/2014 Deutsche Securities Australia Ltd Buy 1.92 1,473 1,473 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 252 252 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 252 252 26/06/2014 Deutsche Securities Australia Ltd Buy 1.95 8,000 8,000	13/06/2014	Deutsche Securities Australia Ltd		1.86	8,513	8,513
17/06/2014 Deutsche Securities Australia Ltd Buy 1.89 5,529 5,529 18/06/2014 Deutsche Securities Australia Ltd Buy 1.91 8,000 8,000 19/06/2014 Deutsche Securities Australia Ltd Buy 1.92 6,000 6,000 19/06/2014 Deutsche Securities Australia Ltd Buy 1.94 3 3 20/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 2,000 23/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 3,000 24/06/2014 Deutsche Securities Australia Ltd Buy 1.92 1,473 1,477 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 252 252 26/06/2014 Deutsche Securities Australia Ltd Buy 1.90 79 77 30/06/2014 Deutsche Securities Australia Ltd Buy 1.95 8,000 8,000 02/07/2014 Deutsche Securities Australia Ltd Buy 1.95 1.9 2 2	16/06/2014	Deutsche Securities Australia Ltd	Buy	1.89		7,987
18/06/2014 Deutsche Securities Australia Ltd Buy 1.91 8,000 8,000 19/06/2014 Deutsche Securities Australia Ltd Buy 1.92 6,000 6,000 19/06/2014 Deutsche Securities Australia Ltd Buy 1.94 3 3 20/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 2,000 23/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 3,000 24/06/2014 Deutsche Securities Australia Ltd Buy 1.93 6 0 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 1,473 1,473 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 252 252 26/06/2014 Deutsche Securities Australia Ltd Buy 1.90 79 77 30/06/2014 Deutsche Securities Australia Ltd Buy 1.95 8,000 8,000 04/07/2014 Deutsche Securities Australia Ltd Buy 1.95 13 11 <t< td=""><td>17/06/2014</td><td>Deutsche Securities Australia Ltd</td><td>Buy</td><td>1.89</td><td>5,529</td><td>5,529</td></t<>	17/06/2014	Deutsche Securities Australia Ltd	Buy	1.89	5,529	5,529
19/06/2014Deutsche Securities Australia LtdBuy1.94320/06/2014Deutsche Securities Australia LtdBuy1.892,00023/06/2014Deutsche Securities Australia LtdBuy1.933,00024/06/2014Deutsche Securities Australia LtdBuy1.93625/06/2014Deutsche Securities Australia LtdBuy1.921,47326/06/2014Deutsche Securities Australia LtdBuy1.921,47326/06/2014Deutsche Securities Australia LtdBuy1.9225226/06/2014Deutsche Securities Australia LtdBuy1.9226226/06/2014Deutsche Securities Australia LtdBuy1.958,00002/07/2014Deutsche Securities Australia LtdBuy1.952002/07/2014Deutsche Securities Australia LtdBuy1.952004/07/2014Deutsche Securities Australia LtdBuy1.985,56804/07/2014Deutsche Securities Australia LtdBuy1.995407/07/2014Deutsche Securities Australia LtdBuy1.944,83908/07/2014Deutsche Securities Australia LtdBuy1.944,83909/07/2014Deutsche Securities Australia LtdBuy1.941.709/07/2014Deutsche Securities Australia LtdBuy1.944,83909/07/2014Deutsche Securities Australia LtdBuy1.941.709/07/2014Deutsche Securities Australia LtdBuy1.941.7 <td>18/06/2014</td> <td>Deutsche Securities Australia Ltd</td> <td></td> <td>1.91</td> <td></td> <td>8,000</td>	18/06/2014	Deutsche Securities Australia Ltd		1.91		8,000
19/06/2014 Deutsche Securities Australia Ltd Buy 1.94 3 3 20/06/2014 Deutsche Securities Australia Ltd Buy 1.89 2,000 2,000 23/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 3,000 24/06/2014 Deutsche Securities Australia Ltd Buy 1.93 6 6 25/06/2014 Deutsche Securities Australia Ltd Buy 1.92 1,473 1,477 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 262 255 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 262 255 26/06/2014 Deutsche Securities Australia Ltd Buy 1.90 79 77 30/06/2014 Deutsche Securities Australia Ltd Buy 1.95 8,000 8,000 02/07/2014 Deutsche Securities Australia Ltd Buy 1.95 20 22 04/07/2014 Deutsche Securities Australia Ltd Buy 1.98 5,568 5,568 04	19/06/2014	Deutsche Securities Australia Ltd	Buy	1.92	6,000	6,000
20/06/2014 Deutsche Securities Australia Ltd Buy 1.89 2,000 2,000 23/06/2014 Deutsche Securities Australia Ltd Buy 1.93 3,000 3,000 24/06/2014 Deutsche Securities Australia Ltd Buy 1.93 6 0 25/06/2014 Deutsche Securities Australia Ltd Buy 1.92 1,473 1,473 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 252 257 26/06/2014 Deutsche Securities Australia Ltd Buy 1.92 252 257 30/06/2014 Deutsche Securities Australia Ltd Buy 1.95 8,000 8,000 02/07/2014 Deutsche Securities Australia Ltd Buy 1.95 20 22 04/07/2014 Deutsche Securities Australia Ltd Buy 1.98 5,568 5,568 04/07/2014 Deutsche Securities Australia Ltd Buy 1.99 54 5 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839						3
23/06/2014Deutsche Securities Australia LtdBuy1.933,0003,00024/06/2014Deutsche Securities Australia LtdBuy1.936025/06/2014Deutsche Securities Australia LtdBuy1.921,4731,47326/06/2014Deutsche Securities Australia LtdBuy1.9225225226/06/2014Deutsche Securities Australia LtdBuy1.90797930/06/2014Deutsche Securities Australia LtdBuy1.90797930/06/2014Deutsche Securities Australia LtdBuy1.958,0008,00002/07/2014Deutsche Securities Australia LtdBuy1.95202204/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Securities Australia LtdBuy1.94171109/07/2014Deutsche Securities Australia LtdBuy1.94171109/07/2014Deutsche Securities Australia LtdBuy1.94171109/07/2014Deutsche Securities Australia LtdBuy1.94171109/07/2014Deutsche Securities Australia LtdBuy1.94171109/07/2014 <t< td=""><td>20/06/2014</td><td>Deutsche Securities Australia Ltd</td><td></td><td>1.89</td><td>2,000</td><td>2,000</td></t<>	20/06/2014	Deutsche Securities Australia Ltd		1.89	2,000	2,000
24/06/2014Deutsche Securities Australia LtdBuy1.93625/06/2014Deutsche Securities Australia LtdBuy1.921,4731,47326/06/2014Deutsche Securities Australia LtdBuy1.9225225226/06/2014Deutsche Securities Australia LtdBuy1.90797730/06/2014Deutsche Securities Australia LtdBuy1.958,0008,00002/07/2014Deutsche Securities Australia LtdBuy1.95202104/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83108/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83109/07/2014Deutsche Securities Australia LtdBuy1.94171109/07/2014Deutsche Securities Australia LtdBuy1.941.94171109/07/2014Deutsche Securities Australia LtdBuy1.941.71109/07/2014Deutsche Securities Australia LtdBuy1.941.71109/07/2014Deutsche Securities Australia LtdBuy1.941.71109/07/2014<	23/06/2014	Deutsche Securities Australia Ltd		1.93	3,000	3,000
25/06/2014Deutsche Securities Australia LtdBuy1.921.4731.47326/06/2014Deutsche Securities Australia LtdBuy1.9225225226/06/2014Deutsche Securities Australia LtdBuy1.90797930/06/2014Deutsche Securities Australia LtdBuy1.958,0008,00002/07/2014Deutsche Securities Australia LtdBuy1.95202204/07/2014Deutsche Securities Australia LtdBuy1.95202204/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Bank AG, London Branch2)N/A200,000200,00016/07/2014Deutsche Securities Australia LtdBuy1.9417109/07/2014Deutsche Securities Australia LtdBuy1.9417109/07/2014Deutsche Securities Australia LtdBuy1.9417109/07/2014Deutsche Securities Australia LtdBuy1.9417109/07/2	24/06/2014	Deutsche Securities Australia Ltd				6
26/06/2014Deutsche Securities Australia LtdBuy1.9225225326/06/2014Deutsche Securities Australia LtdBuy1.90797930/06/2014Deutsche Securities Australia LtdBuy1.958,0008,00002/07/2014Deutsche Securities Australia LtdBuy1.95202004/07/2014Deutsche Securities Australia LtdBuy1.985,5685,56804/07/2014Deutsche Securities Australia LtdBuy1.95131107/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Bank AG, London Branch2)N/A200,000200,00016/07/2014Deutsche Securities Australia LtdBuy1.941.71Stock borrow from JP Morgan Chase Bank N.A.Stock borrow from JP Morgan Chase Bank N.A.1.941.71			ž – – – – – – – – – – – – – – – – – – –		1,473	1,473
26/06/2014Deutsche Securities Australia LtdBuy1.90797930/06/2014Deutsche Securities Australia LtdBuy1.958,0008,00002/07/2014Deutsche Securities Australia LtdBuy1.95202004/07/2014Deutsche Securities Australia LtdBuy1.985,5685,56804/07/2014Deutsche Securities Australia LtdBuy1.95131307/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83309/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83309/07/2014Deutsche Securities Australia LtdBuy1.9417109/07/2014Deutsche Bank AG, London Branch2)N/A200,000200,00016/07/2014Deutsche Securities Australia LtdBuy1.94171Stock borrow from JP Morgan Chase Bank N.A.	26/06/2014	Deutsche Securities Australia Ltd		1.92		252
30/06/2014Deutsche Securities Australia LtdBuy1.958,0008,00002/07/2014Deutsche Securities Australia LtdBuy1.95202004/07/2014Deutsche Securities Australia LtdBuy1.985,5685,56804/07/2014Deutsche Securities Australia LtdBuy1.95131307/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83908/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Bank AG, London Branch2)N/A200,000200,00016/07/2014Deutsche Securities Australia LtdBuy1.94171Stock borrow from JP Morgan Chase Bank N.A.Stock borrow from JP Morgan Chase Bank N.A.1.94171						79
02/07/2014Deutsche Securities Australia LtdBuy1.95202004/07/2014Deutsche Securities Australia LtdBuy1.985,5685,56804/07/2014Deutsche Securities Australia LtdBuy1.95131307/07/2014Deutsche Securities Australia LtdBuy1.99545-08/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83908/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83908/07/2014Deutsche Bank AG, London Branch2)N/A200,000200,00016/07/2014Deutsche Securities Australia LtdBuy1.94171Stock borrow from JP Morgan Chase Bank N.A.	30/06/2014	Deutsche Securities Australia Ltd	· · · · · · · · · · · · · · · · · · ·		8,000	8,000
04/07/2014Deutsche Securities Australia LtdBuy1.985,5685,56804/07/2014Deutsche Securities Australia LtdBuy1.95131307/07/2014Deutsche Securities Australia LtdBuy1.99545508/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83908/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83908/07/2014Deutsche Securities Australia LtdBuy1.944,8394,83909/07/2014Deutsche Bank AG, London Branch2)N/A200,000200,00016/07/2014Deutsche Securities Australia LtdBuy1.941711Stock borrow from JP Morgan Chase Bank N.A.				1.95		20
04/07/2014 Deutsche Securities Australia Ltd Buy 1.95 13 13 07/07/2014 Deutsche Securities Australia Ltd Buy 1.99 54 55 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 09/07/2014 Deutsche Bank AG, London Branch 2) N/A 200,000 200,000 16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 11 Stock borrow from JP Morgan Chase Bank N.A.						5,568
07/07/2014 Deutsche Securities Australia Ltd Buy 1.99 54 56 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 09/07/2014 Deutsche Bank AG, London Branch 2) N/A 200,000 200,000 16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 11 Stock borrow from JP Morgan Chase Bank N.A. Stock borrow from JP Morgan Chase Bank N.A. 10 10						13
08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 08/07/2014 Deutsche Securities Australia Ltd Buy 1.94 4,839 4,839 09/07/2014 Deutsche Bank AG, London Branch 2) N/A 200,000 200,000 16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 11 Stock borrow from JP Morgan Chase Bank N.A.			ž – – – – – – – – – – – – – – – – – – –			54
09/07/2014 Deutsche Bank AG, London Branch 2) N/A 200,000 16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 17 Stock borrow from JP Morgan Chase Bank N.A. Stock borrow from JP Morgan Chase Bank N.A. 10 10			ž – – – – – – – – – – – – – – – – – – –			4,839
09/07/2014 Deutsche Bank AG, London Branch Dexia Investor Services Trust (Refer to Schedule 2) N/A 200,000 16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 Stock borrow from JP Morgan Chase Bank N.A. Stock Bank N.A. Image: Comparison of the securities Australia Ltd Image: Compar					.,	.,
09/07/2014 Deutsche Bank AG, London Branch Trust (Refer to Schedule 2) N/A 200,000 16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 Stock borrow from JP Morgan Chase Bank N.A. Stock Bank N.A. Image: Comparison of the securities Australia Ltd Stock Bank N.A.						
09/07/2014Deutsche Bank AG, London Branch2)N/A200,00016/07/2014Deutsche Securities Australia LtdBuy1.94171Stock borrow from JP Morgan Chase Bank N.A.						
16/07/2014 Deutsche Securities Australia Ltd Buy 1.94 17 17 Stock borrow from JP Morgan Chase Bank N.A.	09/07/2014	Deutsche Bank AG. London Branch		N/A	200 000	200 000
Stock borrow from JP Morgan Chase Bank N.A.						200,000
Morgan Chase Bank N.A.	10/01/2014		Duy	1.04	17	17
Morgan Chase Bank N.A.			Stock borrow from JP			
	1					
	18/07/2014	Deutsche Bank AG, London Branch	(Refer to Schedule 2)	N/A	638,000	638,000

			Consideration	Class and number of	
Date of			given in relation	securities affected	Person's votes
change	Holder of relevant interest	Nature of change	to change	(Ordinary Shares)	affected
		Stock borrow from			
		Citibank, N.A., London			
		Branch (Refer to			
	Deutsche Bank AG, London Branch	Schedule 2)	N/A	190,000	190,000
	Deutsche Securities Australia Ltd	Buy	1.97	1,145	1,145
21/07/2014	Deutsche Securities Australia Ltd	Buy	1.96	4,350	4,350
		Stock borrow from CIBC			
		Toronto (Refer to			
	Deutsche Bank AG, London Branch	Schedule 2)	N/A	100,000	100,000
	Deutsche Securities Australia Ltd	Buy	2.01	18,475	18,475
24/07/2014	Deutsche Securities Australia Ltd	Buy	2.00	330	330
		Stock borrow from JP			
04/07/0044	Devite she Devil AQ, Levelar Device	Morgan Chase Bank N.A.	N1/A	000.000	000.000
31/07/2014	Deutsche Bank AG, London Branch	(Refer to Schedule 2) Stock borrow from JP	N/A	200,000	200,000
		Morgan Chase Bank N.A. Sydney Branch			
05/00/2014	Deutsche Securities Australia Ltd		N1/A	4 070 000	4 070 000
05/06/2014		(Refer to Schedule 2) Stock borrow from BNP	N/A	1,278,300	1,278,300
		Paribas Fund Services			
		Securities Pty Limited			
06/08/2014	Deutsche Securities Australia Ltd	(Refer to Schedule 2)	N/A	396,000	396,000
00/00/2014	Deutsche Securities Australia Liu	Stock borrow from	IN/A	390,000	390,000
		BlackRock Advisors (UK)			
		Limited (Refer to			
07/08/2014	Deutsche Bank Securities Inc	Schedule 2)	N/A	92,000	92,000
	Deutsche Securities Australia Ltd	Buy	1.97	268	268
	Deutsche Securities Australia Ltd	Buy	1.92	371	371
	Deutsche Securities Australia Ltd	Buy	1.92	67	67
	Deutsche Securities Australia Ltd	Buy	1.91	7	7
	Deutsche Securities Australia Ltd	Buy	1.97	137	137
	Deutsche Securities Australia Ltd	Buy	1.98	27	27
18/08/2014	Deutsche Securities Australia Ltd	Buy	1.97	17	17
20/08/2014	Deutsche Securities Australia Ltd	Buy	2.23	150,000	150,000
20/08/2014	Deutsche Securities Australia Ltd	Buy	2.17	26	26
		Stock borrow from JP			
		Morgan Chase Bank N.A.			
	Deutsche Bank AG, London Branch	(Refer to Schedule 2)	N/A	700,000	700,000
	Deutsche Securities Australia Ltd	Buy	2.23	1,720	1,720
22/08/2014	Deutsche Securities Australia Ltd	Buy	2.23	43	43
		Stock borrow from JP			
		Morgan Chase Bank N.A.			
		Sydney Branch			
	Deutsche Securities Australia Ltd	(Refer to Schedule 2)	N/A	62,000	62,000
	Deutsche Securities Australia Ltd	Buy	2.28	42	42
28/08/2014	Deutsche Securities Australia Ltd	Buy	2.29	81	81
		Oteck here to D			
		Stock borrow from Brown			
00/00/001	Deuteste Deut O	Brothers Harriman & Co		F / / 0.55	F / / 0
29/08/2014	Deutsche Bank Securities Inc	(Refer to Schedule 2)	N/A	544,000	544,000
		Stock borrow from State			
00/00/001		Street Bank and Trust		100.000	100.000
	Deutsche Securities Australia Ltd	(Refer to Schedule 2)	N/A	130,000	130,000
29/08/2014	Deutsche Securities Australia Ltd	Buy	2.28	61	61

			Consideration	Class and number of	
Date of			given in relation		Person's votes
change	Holder of relevant interest	Nature of change	to change	(Ordinary Shares)	affected
	Deutsche Securities Australia Ltd	Buy	2.28	26	26
	Deutsche Securities Australia Ltd	Buy	2.29	55	55
		, , , , , , , , , , , , , , , , , , ,			
		Stock borrow from The			
		Northern Trust Company			
02/09/2014	Deutsche Bank Securities Inc	(Refer to Schedule 2)	N/A	850,000	850,000
02/09/2014	Deutsche Securities Australia Ltd	Buy	2.24	9	9
03/09/2014	Deutsche Securities Australia Ltd	Buy	2.20	600	600
03/09/2014	Deutsche Securities Australia Ltd	Buy	2.24	125	125
		Stock borrow from JP			
		Morgan Chase Bank N.A.			
		Sydney Branch			
04/09/2014	Deutsche Securities Australia Ltd	(Refer to Schedule 2)	N/A	249,000	249,000
		Stock borrow from The			
		Northern Trust Company			
	Deutsche Bank Securities Inc	(Refer to Schedule 2)	N/A	140,000	140,000
04/09/2014	Deutsche Securities Australia Ltd	Buy	2.26	7	7
		Stock borrow from			
		Barclays Capital			
		Securities Limited			
	Deutsche Bank AG, London Branch	(Refer to Schedule 2)	N/A	200,152	200,152
	Deutsche Securities Australia Ltd	Buy	2.26	1,079	1,079
05/09/2014	Deutsche Securities Australia Ltd	Buy	2.28	5	5
		Stock borrow from JP			
		Morgan Chase Bank N.A.			
		Sydney Branch			
08/09/2014	Deutsche Bank AG, London Branch	(Refer to Schedule 2)	N/A	3,000,000	3,000,000
		Stock borrow from BNP			
		Paribas Fund Services			
00/00/0011	Deutecke Oceanities Australia III	Securities Pty Limited	N1/A	000.000	000 000
	Deutsche Securities Australia Ltd	(Refer to Schedule 2)	N/A	298,000	298,000
	Deutsche Securities Australia Ltd	Buy	2.26	240	240
08/09/2014	Deutsche Securities Australia Ltd	Buy	2.26	31	31

Type of Agreement:	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
Parties to Agreement:	(1) Deutsche Securities Australia Limited			
	(2) BNP Paribas Fund Services	(2) JP Morgan Chase Bank N.A.	(2) State Street Bank and Trust	(2) Merrill Lynch Equities
	Securities Pty Limited	Sydney Branch	Company - Sydney	(Australia) Limited
Transfer date:	28 February 2014 6 August 2014 8 September 2014	5 August 2014 26 August 2014	29 August 2014	4 September 2014
Holder of voting rights:	Registered holder	Registered holder	Registered holder	Registered holder
Are there any restrictions on voting rights:	No	No	No	No
If yes, detail:	N/A	N/A	N/A	N/A
Scheduled return date (if any):	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)
Does the borrower have the right to return early:	Yes	Yes	Yes	Yes
If yes, detail:	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)
Does the lender have the right to recall early:	Yes	Yes	Yes	Yes
lf yes, detail:	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)
Will the securities be returned on settlement:	Yes	Yes	Yes	Yes
If yes, detail any exceptions:	N/A	N/A	N/A	N/A

Type of Agreement:	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
Parties to Agreement:	(1) Deutsche Bank AG, London Branch			
	(2) Barclays Capital Securities Limited	(2) CIBC Toronto	(2) Citibank, N.A.,London Branch	(2) HSBC Securities Services
Transfer date:	5 September 2014	11 April 2014 22 July 2014	11 April 2014 29 April 2014 29 May 2014 18 July 2014	11 April 2014
Holder of voting rights:	Registered holder	Registered holder	Registered holder	Registered holder
Are there any restrictions on voting rights:	No	No	No	No
If yes, detail:	N/A	N/A	N/A	N/A
Scheduled return date (if any):	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)
Does the borrower have the right to return early:	Yes	Yes	Yes	Yes
	The borrower has the right to return at any time (subject to	The borrower has the right to return at any time (subject to	The borrower has the right to return at any time (subject to	The borrower has the right to return at any time (subject to
If yes, detail:	the terms of the relevant loan)			
Does the lender have the right to recall early:	Yes	Yes	Yes	Yes
lf yes, detail:	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)
Will the securities be returned on settlement:	Yes	Yes	Yes	Yes
If yes, detail any exceptions:	N/A	N/A	N/A	N/A

Type of Agreement:	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
Parties to Agreement:	(1) Deutsche Bank AG, London	(1) Deutsche Bank AG, London	(1) Deutsche Bank Securities Inc.	1) Deutsche Bank Securities Inc.
	Branch	Branch	(2) Brown Brothers Harriman &	(2) BlackRock Advisors (UK)
	(2) JP Morgan Chase Bank N.A.	(2) RBC Dexia Investor Services	Со	Limited
		Trust		
Transfer date:	18 July 2014	9 July 2014	29 August 2014	7 August 2014
	31 July 2014			
	21 August 2014			
	8 September 2014			
Holder of voting rights:	Registered holder	Registered holder	Registered holder	Registered holder
Are there any restrictions on	No	No	No	No
voting rights:				
If yes, detail:	N/A	N/A	N/A	N/A
Scheduled return date (if	None (subject to the terms of			
any):	the relevant loan)	the relevant loan)	the relevant loan)	the relevant loan)
Does the borrower have the	Yes	Yes	Yes	Yes
right to return early:				
	The borrower has the right to			
	return at any time (subject to			
If yes, detail:	the terms of the relevant loan)			
Does the lender have the	Yes	Yes	Yes	Yes
right to recall early:				
	The lender has the right to recall			
If yes, detail:	at any time (subject to the terms			
	of the relevant loan)			
Will the securities be	Yes	Yes	Yes	Yes
returned on settlement:				
If yes, detail any exceptions:	N/A	N/A	N/A	N/A

Type of Agreement:	Securities Lending Agreement		
Parties to Agreement:	1) Deutsche Bank Securities		
	Inc.		
	(2) The Northern trust		
	Company		
Transfer date:	2 September 2014		
	4 September 2014		
Holder of voting rights:	Registered holder		
Are there any restrictions on	No		
voting rights:			
If yes, detail:	N/A		
Scheduled return date (if	None (subject to the terms of		
any):	the relevant loan)		
Does the borrower have the	Yes		
right to return early:			
	The borrower has the right to		
	return at any time (subject to		
If yes, detail:	the terms of the relevant loan)		
Does the lender have the	Yes		
right to recall early:			
	The lender has the right to		
If yes, detail:	recall at any time (subject to		
	the terms of the relevant loan)		
Will the securities be	Yes		
returned on settlement:			
If yes, detail any exceptions:	N/A		