

Notice of Initial Substantial HolderForm **603**
Corporations Act 2001
Section 671B

ARI

To: Company Name/Scheme **The Secretary
Arrium Limited
Level 40
259 George Street
Sydney NSW 2000**

Ph: **(02) 9239 6666**
Fax: **(02) 9251 3042**

ACN **004 410 833**

1. Details of substantial shareholder

Name National Australia Bank Limited and its associated entities listed in Annexure A
ACN (if applicable) (ACN 004 044 937)

The holder became a substantial holder on 16/10/2014

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Persons' votes	Voting power
Fully paid ordinary shares	119,861,448	119,861,448	5.136%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
MLC Investments Limited	Power to control the voting and /or disposal of securities pursuant to its position as the responsible entity or trustee of various managed investment schemes and trusts.	Fully paid ordinary shares 11,351,336
MLC Wealth Management Limited	Power to control the voting and /or disposal of securities pursuant to its position as the responsible entity or trustee of various managed investment schemes and trusts.	Fully paid ordinary shares 88,924
MLC Wealth Management Limited	Power to exercise voting rights pursuant to position held as the Registrable Superannuation Entity licensee for the superannuation products it issues.	Fully paid ordinary shares 534,514
National Australia Bank Limited	Relevant interest arising as a result of National Australia Bank Limited having voting power above 20% in Pengana Holdings Pty Limited who controls Pengana Capital Limited, an investment manager of various unit trusts.	Fully paid ordinary shares 893,178
Antares Capital Partners Limited	Power to control the voting and /or disposal of securities pursuant to its position as the responsible entity, investment manager or trustee of various managed investment schemes or trusts.	Fully paid ordinary shares 39,877,496
Antares Capital Partners Limited	Power to control the voting and /or disposal of securities pursuant to its position as an investment manager in accordance with investment mandates and as an investment manager of Antares Capital Partners Limited trusts.	Fully paid ordinary shares 11,000,000

National Australia Bank Limited	Power to control the voting and /or disposal of securities pursuant to securities lending agreements.	Fully paid ordinary shares 36,837,000
National Australia Bank Limited	Power to control the voting and /or disposal of securities pursuant to securities lending agreements.	Fully paid ordinary shares 19,279,000
TOTAL		119,861,448

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
MLC Investments Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 11,351,336
MLC Wealth Management Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 88,924
MLC Wealth Management Limited	Navigator Australia Limited (ACN 006 302 987)		Fully paid ordinary shares 534,514
National Australia Bank Limited	BNP Paribas Nominees Pty Limited (ACN 084 150 023)		Fully paid ordinary shares 893,178
Antares Capital Partners Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 39,877,496
Antares Capital Partners Limited	UBS Nominees Pty Limited (ACN 001 450 522)		Fully paid ordinary shares 11,000,000
National Australia Bank Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 36,837,000
National Australia Bank Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 19,279,000
		TOTAL	119,861,448

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	Fully paid ordinary shares
MLC Investments Limited	26/09/2014	\$26,995.68		56,241
MLC Investments Limited	26/09/2014	\$11,969.76		24,937
MLC Investments Limited	26/09/2014	\$1,843.20		3,840
MLC Investments Limited	26/09/2014	\$854,288.16		1,779,767
MLC Investments Limited	26/09/2014	\$370,080.00		771,000
MLC Investments Limited	26/09/2014	\$52,824.00		110,050
MLC Investments Limited	26/09/2014	\$23,520.00		49,000
MLC Investments Limited	26/09/2014	\$796,689.12		1,659,769
MLC Investments Limited	26/09/2014	\$122,760.00		255,750
MLC Investments Limited	26/09/2014	\$677,210.88		1,410,856
MLC Investments Limited	26/09/2014	\$104,352.00		217,400
MLC Investments Limited	3/10/2014	\$2,767.61		7,580
MLC Wealth Management Limited	26/09/2014	\$28,154.40		58,655
Antares Capital Partners Limited	19/08/2014	\$3,773,118.83		4,500,482
Antares Capital Partners Limited	19/08/2014	\$78,012.20		93,051
Antares Capital Partners Limited	19/08/2014	\$87,178.22		103,984
Antares Capital Partners Limited	19/08/2014	\$34,122.11		40,700
Antares Capital Partners Limited	19/08/2014	\$11,460.67		13,670
Antares Capital Partners Limited	20/08/2014	\$3,188,051.61		3,659,232
Antares Capital Partners Limited	20/08/2014	\$65,915.04		75,657
Antares Capital Partners Limited	20/08/2014	\$73,660.32		84,547
Antares Capital Partners Limited	20/08/2014	\$28,830.92		33,092
Antares Capital Partners Limited	20/08/2014	\$9,683.78		11,115
Antares Capital Partners Limited	21/08/2014	\$7,893,764.50		9,126,533
Antares Capital Partners Limited	21/08/2014	\$163,208.71		188,697
Antares Capital Partners Limited	21/08/2014	\$182,385.82		210,869
Antares Capital Partners Limited	21/08/2014	\$71,387.43		82,536
Antares Capital Partners Limited	21/08/2014	\$23,977.45		27,722
Antares Capital Partners Limited	22/08/2014	\$1,105,791.71		1,325,931
Antares Capital Partners Limited	22/08/2014	\$22,862.56		27,414
Antares Capital Partners Limited	22/08/2014	\$25,549.62		30,636
Antares Capital Partners Limited	22/08/2014	\$10,000.19		11,991

Antares Capital Partners Limited	22/08/2014	\$3,359.24		4,028
Antares Capital Partners Limited	18/09/2014 - Short sell	\$1,289,668.53		3,000,000
Antares Capital Partners Limited	19/09/2014 - Short sell	\$379,173.33		1,000,000
Antares Capital Partners Limited	26/09/2014	\$8,933,845.44		18,612,178
Antares Capital Partners Limited	26/09/2014	\$184,713.12		384,819
Antares Capital Partners Limited	26/09/2014	\$61,764.96		128,677
Antares Capital Partners Limited	26/09/2014	\$11,420.64		23,793
Antares Capital Partners Limited	26/09/2014	\$27,090.24		56,438
Antares Capital Partners Limited	26/09/2014	\$37,417.44		77,953
Antares Capital Partners Limited	26/09/2014	\$206,417.28		430,036
Antares Capital Partners Limited	26/09/2014	\$6,240,000.00		13,000,000
Antares Capital Partners Limited	26/09/2014	\$80,793.12		168,319
Antares Capital Partners Limited	26/09/2014	\$27,136.80		56,535
MLC Limited	8/07/2014	\$5.00		6
MLC Limited	16/07/2014	\$0.75		1
MLC Limited	5/08/2014	\$27.37		34
MLC Limited	19/08/2014	\$4.18		5
MLC Limited	22/09/2014	\$488.40		1,221
MLC Wealth Management Limited	25/06/2014	\$643.50		780
MLC Wealth Management Limited	30/06/2014	\$16,222.00		20,000
MLC Wealth Management Limited	10/07/2014	\$16,022.00		20,000
MLC Wealth Management Limited	11/07/2014	\$15,522.00		20,000
MLC Wealth Management Limited	21/07/2014	\$6,019.86		8,000
MLC Wealth Management Limited	24/07/2014	\$30,277.50		37,500
MLC Wealth Management Limited	25/07/2014	\$15,622.00		20,000
MLC Wealth Management Limited	7/08/2014	\$27,646.65		35,821
MLC Wealth Management Limited	7/08/2014	\$355.74		462
MLC Wealth Management Limited	18/09/2014	\$8,372.00		20,000
MLC Wealth Management Limited	19/09/2014	\$29,999.81		68,524
MLC Wealth Management Limited	26/09/2014	\$1,603.73		4,190
MLC Wealth Management Limited	29/09/2014	\$798.00		2,100
MLC Wealth Management Limited	1/10/2014	\$3,597.00		10,000
National Australia Bank Limited	23/07/2014 - Short sell	\$362,535.34		462,613

National Australia Bank Limited	4/09/2014 - Short sell	\$25,351.07		33,802
National Australia Bank Limited	3/10/2014 - Short sell	\$34,879.31		96,645
National Australia Bank Limited	9/10/2014 - Short sell	\$54,391.07		154,781
National Australia Bank Limited	10/10/2014 - Short sell	\$68,502.30		200,000
National Australia Bank Limited	15/10/2014 - Short sell	\$150,499.49		435,209
National Australia Bank Limited	16/06/2014 to 16/10/2014 - Securities Lending	No consideration directly referable to the securities provided by NAB		Refer to Appendix 1 Table
National Australia Bank Limited	9/07/2014	\$4,902,000.00		5,700,000
National Australia Bank Limited	23/09/2014	\$20,000.00		50,000
National Australia Bank Limited	24/09/2014	\$2,793.18		7,162
National Australia Bank Limited	30/09/2014	\$49,999.60		57,162
National Australia Bank Limited	24/06/2014 - Stock borrow (refer Appendix 2(b))	No consideration directly referable to the securities provided by NAB		6,000,000
National Australia Bank Limited	25/06/2014 - Stock borrow (refer Appendix 2(b))	No consideration directly referable to the securities provided by NAB		3,000,000
National Australia Bank Limited	29/08/2014 - Stock borrow (refer Appendix 2(c))	No consideration directly referable to the securities provided by NAB		436,357
National Australia Bank Limited	4/09/2014 - Stock borrow (refer Appendix 2(a))	No consideration directly referable to the securities provided by NAB		2,400,000
National Australia Bank Limited	11/09/2014 - Stock borrow (refer Appendix 2(a))	No consideration directly referable to the securities provided by NAB		6,000,000
National Australia Bank Limited	19/09/2014 - Stock borrow (refer Appendix 2(a))	No consideration directly referable to the securities provided by NAB		4,890,000
National Australia Bank Limited	26/09/2014 - Stock borrow (refer Appendix 2(a))	No consideration directly referable to the securities provided by NAB		10,000,000

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
MLC Investments Limited	MLC Investments Limited is a wholly owned subsidiary of MLC Holdings Limited whose ultimate parent is National Australia Bank Limited.
MLC Limited	MLC Limited is a wholly owned subsidiary of MLC Holdings Limited whose ultimate parent is National Australia Bank Limited.
MLC Wealth Management Limited	MLC Wealth Management Limited is a wholly owned subsidiary of National Wealth Management Holdings Limited whose ultimate parent is National Australia Bank Limited.
Antares Capital Partners Limited	Antares Capital Partners Limited is a wholly owned subsidiary of National Wealth Management Holdings Limited whose ultimate parent is National Australia Bank Limited.

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
MLC Investments Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
MLC Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
MLC Wealth Management Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
National Australia Bank Limited	Level 1 800 Bourke Street DOCKLANDS VIC 3008
Antares Capital Partners Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
National Nominees Limited	Level 12 500 Bourke Street MELBOURNE VIC 3000
Navigator Australia Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
UBS Nominees Pty Limited	Level 16 Chifley Tower 2 Chifley Square SYDNEY NSW 2000
BNP Paribas Nominees Pty Limited	Level 6 60 Castlereagh Street SYDNEY NSW 2000

Signature		
Name	Fiona Last	Capacity Company Secretary for and on behalf of National Australia Bank Limited
Signature		21/10/2014

Appendix 1 - ARI

Type of agreement and parties to agreement	National Australia Bank Limited and Securities Borrowing Agreement (SBA) clients (i) UNISUPER LIMITED (ii) TELSTRA SUPER PTY LTD MOTOR TRADES ASSOCIATION OF AUSTRALIA (iv) SUPERANNUATION FUND PTY LIMITED (v) CARE SUPER PTY LTD (vi) QIC LIMITED (vii) VICSUPER PTY LTD	National Australia Bank Limited and AMSLA Counterparties (a) DEUTSCHE SECURITIES AUSTRALIA LIMITED (b) UBS SECURITIES AUSTRALIA LTD (c) MACQUARIE BANK LTD (d) MERRILL LYNCH EQUITIES (AUSTRALIA) LTD (e) JP MORGAN SECURITIES AUSTRALIA LIMITED (f) CREDIT SUISSE (g) INSTINET AUSTRALIA PTY LTD (h) MORGAN STANLEY AUSTRALIA SECURITIES LIMITED
Transfer dates	16/6/2014 to 16/10/2014 Specific dates for each transfer can be found by matching the number reference in the 'Type of agreement and parties to agreement' field to the accompanying list of transactions.	16/6/2014 to 16/10/2014 Specific dates for each transfer can be found by matching the number reference in the 'Type of agreement and parties to agreement' field to the accompanying list of transactions.
Holder of voting rights	Registered owner	Registered owner
Are there any restrictions on voting	The registered owner holds voting rights. However, in certain circumstances the lender may request for the borrower to use best endeavours to arrange for voting instructions to be exercised in accordance with the instructions from the lender.	The registered owner holds voting rights. However, in certain circumstances the lender may request for the borrower to use best endeavours to arrange for voting instructions to be exercised in accordance with the instructions from the lender.
Scheduled date of return (if any)	On demand	On demand
Does the borrower have the right to return early?	Yes - at notice	Yes - at notice
Does the lender have the right to recall early?	Yes - at notice	Yes - at notice
Will the securities be returned on settlement?	Yes, or equivalent protection under Securities Borrowing Agreement.	Yes, or equivalent protection under AMSLA.
National Australia Bank Limited will, if required by the company or responsible entity to whom the prescribed form must be given or ASIC give a copy of the agreements to the company, responsible entity or ASIC.		

Appendix 1- ARI

Date	Nature of Change	Consideration	No. of Securities	Class	AMSLA Counterparty
20/06/2014	Collateral Return Security		-7015000	ORD	(c)
20/06/2014	Collateral Return Security		-6000000	ORD	(c)
20/06/2014	Collateral Return Security		-920000	ORD	(c)
23/06/2014	Settle Sec Loan		6300000	ORD	(c)
23/06/2014	Collateral Return Security		-4175000	ORD	(c)
24/06/2014	Return Security		-6300000	ORD	(c)
25/06/2014	Settle Sec Loan		2000	ORD	(g)
25/06/2014	Settle Sec Loan		537000	ORD	(c)
26/06/2014	Settle Sec Loan		30000	ORD	(g)
27/06/2014	Settle Sec Loan		2200000	ORD	(e)
27/06/2014	Settle Sec Loan		330000	ORD	(c)
30/06/2014	Return Security		-2000	ORD	(g)
30/06/2014	Return Security		-30000	ORD	(g)
30/06/2014	Settle Sec Loan		248000	ORD	(c)
30/06/2014	Settle Sec Loan		2500000	ORD	(c)
30/06/2014	Settle Sec Loan		184754	ORD	(b)
1/07/2014	Settle Sec Loan		1053000	ORD	(c)
1/07/2014	Settle Sec Loan		3000000	ORD	(c)
1/07/2014	Return Security		-184754	ORD	(b)
1/07/2014	Settle Sec Loan		839000	ORD	(b)
1/07/2014	Collateral Return Security		-185000	ORD	(b)
2/07/2014	Return Security		-537000	ORD	(c)
2/07/2014	Return Security		-330000	ORD	(c)
2/07/2014	Return Security		-248000	ORD	(c)
2/07/2014	Return Security		-2500000	ORD	(c)
2/07/2014	Return Security		-839000	ORD	(b)
2/07/2014	Collateral Return Security		-536000	ORD	(b)
2/07/2014	Collateral Return Security		-464000	ORD	(b)
3/07/2014	Return Security		-1053000	ORD	(c)
3/07/2014	Return Security		-3000000	ORD	(c)
3/07/2014	Settle Sec Loan		1597	ORD	(b)
3/07/2014	Collateral Return Security		-992000	ORD	(b)
4/07/2014	Settle Sec Loan		40000	ORD	(b)
4/07/2014	Collateral Return Security		-420000	ORD	(b)
7/07/2014	Return Security		-1597	ORD	(b)
7/07/2014	Return Security		-40000	ORD	(b)
7/07/2014	Collateral Return Security		-1665000	ORD	(b)
7/07/2014	Collateral Return Security		-40000	ORD	(b)
8/07/2014	Settle Sec Loan		33772	ORD	(b)
8/07/2014	Collateral Return Security		-1000000	ORD	(e)
8/07/2014	Collateral Return Security		-233000	ORD	(b)
9/07/2014	Settle Sec Loan		29273	ORD	(b)
9/07/2014	Collateral Return Security		-125000	ORD	(b)
10/07/2014	Collateral Return Security		-777000	ORD	(b)
11/07/2014	Settle Sec Loan		1378000	ORD	(b)
11/07/2014	Settle Sec Loan		90000	ORD	(b)
11/07/2014	Settle Sec Loan		2300000	ORD	(b)

11/07/2014	Collateral Return Security		-2861000	ORD	(b)
14/07/2014	Settle Sec Loan		150000	ORD	(b)
15/07/2014	Return Security		-33772	ORD	(b)
15/07/2014	Return Security		-29273	ORD	(b)
15/07/2014	Settle Sec Loan		2913943	ORD	(b)
15/07/2014	Collateral Return Security		-4500000	ORD	(e)
16/07/2014	Return Security		-5000	ORD	(e)
16/07/2014	Return Security		-10000	ORD	(e)
16/07/2014	Return Security		-20000	ORD	(e)
16/07/2014	Return Security		-30000	ORD	(e)
16/07/2014	Return Security		-60000	ORD	(e)
16/07/2014	Return Security		-20000	ORD	(e)
16/07/2014	Return Security		-10000	ORD	(e)
16/07/2014	Return Security		-2200000	ORD	(e)
16/07/2014	Return Security		-1378000	ORD	(b)
16/07/2014	Return Security		-150000	ORD	(b)
16/07/2014	Return Security		-90000	ORD	(b)
16/07/2014	Settle Sec Loan		2300000	ORD	(b)
16/07/2014	Return Security		-980000	ORD	(b)
16/07/2014	Settle Sec Loan		1034000	ORD	(b)
17/07/2014	Return Security		-820000	ORD	(b)
17/07/2014	Collateral Settle Sec Loan		1320000	ORD	(c)
18/07/2014	Settle Sec Loan		1238000	ORD	(c)
18/07/2014	Settle Sec Loan		400000	ORD	(b)
18/07/2014	Collateral Return Security		-1320000	ORD	(c)
21/07/2014	Settle Sec Loan		490000	ORD	(b)
21/07/2014	Settle Sec Loan		24372	ORD	(b)
22/07/2014	Return Security		-2913943	ORD	(b)
22/07/2014	Return Security		-2300000	ORD	(b)
22/07/2014	Return Security		-500000	ORD	(b)
22/07/2014	Return Security		-1034000	ORD	(b)
22/07/2014	Return Security		-400000	ORD	(b)
22/07/2014	Return Security		-490000	ORD	(b)
22/07/2014	Return Security		-24372	ORD	(b)
22/07/2014	Settle Sec Loan		960000	ORD	(b)
22/07/2014	Settle Sec Loan		1100000	ORD	(b)
23/07/2014	Settle Sec Loan		415000	ORD	(c)
24/07/2014	Return Security		-10000	ORD	(c)
24/07/2014	Settle Sec Loan		290000	ORD	(b)
24/07/2014	Settle Sec Loan		4614	ORD	(b)
25/07/2014	Settle Sec Loan		756000	ORD	(c)
25/07/2014	Settle Sec Loan		80000	ORD	(b)
25/07/2014	Settle Sec Loan		85007	ORD	(b)
28/07/2014	Settle Sec Loan		253000	ORD	(c)
28/07/2014	Settle Sec Loan		140000	ORD	(b)
28/07/2014	Settle Sec Loan		455166	ORD	(b)
29/07/2014	Return Security		-1228000	ORD	(c)
29/07/2014	Return Security		-415000	ORD	(c)
30/07/2014	Settle Sec Loan		3000000	ORD	(e)
30/07/2014	Return Security		-1861	ORD	(b)

30/07/2014	Settle Sec Loan		300000	ORD	(b)
31/07/2014	Return Security		-4551	ORD	(b)
31/07/2014	Settle Sec Loan		200000	ORD	(b)
1/08/2014	Return Security		-120000	ORD	(c)
1/08/2014	Settle Sec Loan		20000	ORD	(b)
5/08/2014	Return Security		-636000	ORD	(c)
5/08/2014	Return Security		-253000	ORD	(c)
5/08/2014	Settle Sec Loan		1620000	ORD	(b)
6/08/2014	Return Security		-626826	ORD	(b)
6/08/2014	Settle Sec Loan		20000	ORD	(b)
7/08/2014	Settle Sec Loan		959000	ORD	(c)
7/08/2014	Return Security		-2070	ORD	(b)
7/08/2014	Settle Sec Loan		690000	ORD	(b)
8/08/2014	Return Security		-2577	ORD	(b)
8/08/2014	Settle Sec Loan		150000	ORD	(b)
8/08/2014	Settle Sec Loan		2090000	ORD	(b)
11/08/2014	Return Security		-322115	ORD	(b)
11/08/2014	Return Security		-290000	ORD	(b)
11/08/2014	Return Security		-4614	ORD	(b)
11/08/2014	Return Security		-80000	ORD	(b)
11/08/2014	Return Security		-85007	ORD	(b)
11/08/2014	Return Security		-140000	ORD	(b)
11/08/2014	Return Security		-455166	ORD	(b)
11/08/2014	Return Security		-300000	ORD	(b)
11/08/2014	Return Security		-200000	ORD	(b)
11/08/2014	Return Security		-20000	ORD	(b)
11/08/2014	Return Security		-822364	ORD	(b)
11/08/2014	Return Security		-1100000	ORD	(b)
11/08/2014	Return Security		-1100000	ORD	(b)
11/08/2014	Unsett Sec Rtn		1100000	ORD	(b)
12/08/2014	Return Security		-40000	ORD	(c)
12/08/2014	Settle Sec Loan		50000	ORD	(b)
12/08/2014	Settle Sec Loan		10382	ORD	(b)
13/08/2014	Return Security		-2524	ORD	(b)
13/08/2014	Settle Sec Loan		40000	ORD	(b)
14/08/2014	Return Security		-465000	ORD	(c)
14/08/2014	Return Security		-27686	ORD	(b)
15/08/2014	Return Security		-454000	ORD	(c)
15/08/2014	Settle Sec Loan		110000	ORD	(b)
15/08/2014	Settle Sec Loan		50000	ORD	(b)
18/08/2014	Settle Sec Loan		69000	ORD	(b)
18/08/2014	Settle Sec Loan		75000	ORD	(b)
18/08/2014	Settle Sec Loan		50000	ORD	(b)
18/08/2014	Settle Sec Loan		520000	ORD	(b)
19/08/2014	Return Security		-30652	ORD	(b)
19/08/2014	Settle Sec Loan		72000	ORD	(b)
20/08/2014	Return Security		-22382	ORD	(b)
20/08/2014	Settle Sec Loan		72000	ORD	(b)
21/08/2014	Return Security		-714392	ORD	(b)
21/08/2014	Return Security		-20000	ORD	(b)

21/08/2014	Return Security		-150000	ORD	(b)
21/08/2014	Return Security		-690000	ORD	(b)
21/08/2014	Return Security		-414127	ORD	(b)
22/08/2014	Settle Sec Loan		2300000	ORD	(e)
22/08/2014	Return Security		-13667	ORD	(b)
22/08/2014	Settle Sec Loan		72000	ORD	(b)
26/08/2014	Settle Sec Loan		470000	ORD	(b)
26/08/2014	Settle Sec Loan		180000	ORD	(b)
27/08/2014	Return Security		-562206	ORD	(b)
27/08/2014	Return Security		-50000	ORD	(b)
27/08/2014	Return Security		-10382	ORD	(b)
27/08/2014	Return Security		-40000	ORD	(b)
27/08/2014	Return Security		-68209	ORD	(b)
27/08/2014	Return Security		-50000	ORD	(b)
27/08/2014	Return Security		-110000	ORD	(b)
27/08/2014	Return Security		-50000	ORD	(b)
27/08/2014	Return Security		-520000	ORD	(b)
27/08/2014	Return Security		-470000	ORD	(b)
27/08/2014	Return Security		-110000	ORD	(b)
27/08/2014	Return Security		-1100000	ORD	(b)
27/08/2014	Unsett Sec Rtn		1100000	ORD	(b)
28/08/2014	Settle Sec Loan		280000	ORD	(e)
28/08/2014	Return Security		-69000	ORD	(b)
28/08/2014	Return Security		-6791	ORD	(b)
28/08/2014	Return Security		-341600	ORD	(b)
29/08/2014	Settle Sec Loan		120000	ORD	(e)
29/08/2014	Settle Sec Loan		429759	ORD	(b)
29/08/2014	Settle Sec Loan		280000	ORD	(b)
29/08/2014	Settle Sec Loan		150000	ORD	(b)
29/08/2014	Settle Sec Loan		580000	ORD	(b)
29/08/2014	Settle Sec Loan		47025	ORD	(b)
1/09/2014	Settle Sec Loan		1120000	ORD	(b)
1/09/2014	Settle Sec Loan		107419	ORD	(b)
1/09/2014	Settle Sec Loan		1100000	ORD	(b)
2/09/2014	Settle Sec Loan		20000	ORD	(g)
2/09/2014	Return Security		-114229	ORD	(b)
2/09/2014	Settle Sec Loan		1300000	ORD	(b)
3/09/2014	Settle Sec Loan		146000	ORD	(b)
3/09/2014	Settle Sec Loan		71000	ORD	(b)
3/09/2014	Settle Sec Loan		140000	ORD	(b)
3/09/2014	Settle Sec Loan		8270	ORD	(b)
4/09/2014	Return Security		-20000	ORD	(g)
4/09/2014	Settle Sec Loan		40000	ORD	(h)
4/09/2014	Return Security		-72000	ORD	(b)
4/09/2014	Return Security		-72000	ORD	(b)
4/09/2014	Return Security		-72000	ORD	(b)
4/09/2014	Return Security		-180000	ORD	(b)
4/09/2014	Return Security		-280000	ORD	(b)
4/09/2014	Return Security		-150000	ORD	(b)
4/09/2014	Return Security		-580000	ORD	(b)

4/09/2014	Settle Sec Loan		50000	ORD	(b)
4/09/2014	Settle Sec Loan		105000	ORD	(b)
4/09/2014	Settle Sec Loan		130000	ORD	(b)
4/09/2014	Return Security		-12100	ORD	(b)
4/09/2014	Return Security		-429759	ORD	(b)
4/09/2014	Return Security		-470000	ORD	(b)
4/09/2014	Return Security		-107419	ORD	(b)
4/09/2014	Return Security		-47025	ORD	(b)
4/09/2014	Return Security		-1100000	ORD	(b)
5/09/2014	Settle Sec Loan		186000	ORD	(c)
5/09/2014	Return Security		-135530	ORD	(b)
5/09/2014	Return Security		-70000	ORD	(b)
5/09/2014	Return Security		-64171	ORD	(b)
5/09/2014	Return Security		-613970	ORD	(b)
5/09/2014	Return Security		-505674	ORD	(b)
5/09/2014	Return Security		-288400	ORD	(b)
8/09/2014	Settle Sec Loan		225000	ORD	(c)
8/09/2014	Settle Sec Loan		65000	ORD	(h)
8/09/2014	Settle Sec Loan		69000	ORD	(b)
8/09/2014	Settle Sec Loan		120000	ORD	(b)
8/09/2014	Settle Sec Loan		10000	ORD	(b)
8/09/2014	Settle Sec Loan		540000	ORD	(b)
9/09/2014	Settle Sec Loan		100000	ORD	(e)
9/09/2014	Settle Sec Loan		160000	ORD	(h)
9/09/2014	Settle Sec Loan		200000	ORD	(b)
9/09/2014	Settle Sec Loan		50000	ORD	(b)
9/09/2014	Settle Sec Loan		40000	ORD	(b)
9/09/2014	Settle Sec Loan		720000	ORD	(b)
9/09/2014	Settle Sec Loan		105000	ORD	(b)
10/09/2014	Return Security		-71000	ORD	(b)
10/09/2014	Unsett Sec Rtn		71000	ORD	(b)
10/09/2014	Return Security		-8270	ORD	(b)
10/09/2014	Settle Sec Loan		200000	ORD	(b)
10/09/2014	Settle Sec Loan		60000	ORD	(b)
10/09/2014	Settle Sec Loan		170000	ORD	(b)
10/09/2014	Settle Sec Loan		160000	ORD	(b)
11/09/2014	Return Security		-280000	ORD	(e)
11/09/2014	Settle Sec Loan		73000	ORD	(b)
11/09/2014	Settle Sec Loan		126000	ORD	(b)
12/09/2014	Return Security		-120000	ORD	(e)
12/09/2014	Return Security		-186000	ORD	(c)
12/09/2014	Return Security		-225000	ORD	(c)
12/09/2014	Return Security		-146000	ORD	(b)
12/09/2014	Unsett Sec Rtn		146000	ORD	(b)
12/09/2014	Return Security		-71000	ORD	(b)
12/09/2014	Unsett Sec Rtn		71000	ORD	(b)
12/09/2014	Return Security		-35926	ORD	(b)
12/09/2014	Return Security		-23344	ORD	(b)
12/09/2014	Settle Sec Loan		73000	ORD	(b)
12/09/2014	Settle Sec Loan		127000	ORD	(b)

12/09/2014	Return Security		-758400	ORD	(b)
12/09/2014	Settle Sec Loan		400000	ORD	(b)
15/09/2014	Settle Sec Loan		650000	ORD	(e)
15/09/2014	Settle Sec Loan		74000	ORD	(b)
15/09/2014	Settle Sec Loan		134000	ORD	(b)
15/09/2014	Settle Sec Loan		2000000	ORD	(b)
15/09/2014	Settle Sec Loan		750000	ORD	(b)
15/09/2014	Settle Sec Loan		2000000	ORD	(b)
24/09/2014	Return Security		-600000	ORD	(e)
24/09/2014	Return Security		-40000	ORD	(h)
24/09/2014	Return Security		-65000	ORD	(h)
24/09/2014	Return Security		-160000	ORD	(h)
24/09/2014	Return Security		-134000	ORD	(b)
24/09/2014	Unsett Sec Rtn		134000	ORD	(b)
24/09/2014	Return Security		-2000000	ORD	(b)
24/09/2014	Unsett Sec Rtn		2000000	ORD	(b)
24/09/2014	Return Security		-750000	ORD	(b)
24/09/2014	Unsett Sec Rtn		750000	ORD	(b)
25/09/2014	Return Security		-1000000	ORD	(e)
25/09/2014	Return Security		-1300000	ORD	(e)
25/09/2014	Settle Sec Loan		1300000	ORD	(e)
25/09/2014	Return Security		-134000	ORD	(b)
25/09/2014	Return Security		-2000000	ORD	(b)
25/09/2014	Return Security		-750000	ORD	(b)
26/09/2014	Settle Sec Loan		265000	ORD	(h)
26/09/2014	Settle Sec Loan		1199470	ORD	(b)
29/09/2014	Return Security		-265000	ORD	(h)
29/09/2014	Collateral Settle Sec Loan		2840000	ORD	(c)
29/09/2014	Collateral Return Security		-2840000	ORD	(c)
30/09/2014	Settle Sec Loan		6050000	ORD	(e)
30/09/2014	Return Security		-3000000	ORD	(e)
30/09/2014	Settle Sec Loan		3000000	ORD	(e)
30/09/2014	Return Security		-540000	ORD	(b)
30/09/2014	Return Security		-720000	ORD	(b)
30/09/2014	Return Security		-1199470	ORD	(b)
30/09/2014	Return Security		-400000	ORD	(b)
30/09/2014	Return Security		-2000000	ORD	(b)
30/09/2014	Collateral Settle Sec Loan		14000000	ORD	(b)
1/10/2014	Return Security		-146000	ORD	(b)
1/10/2014	Return Security		-71000	ORD	(b)
1/10/2014	Return Security		-50000	ORD	(b)
1/10/2014	Return Security		-105000	ORD	(b)
1/10/2014	Return Security		-130000	ORD	(b)
1/10/2014	Return Security		-69000	ORD	(b)
1/10/2014	Return Security		-120000	ORD	(b)
1/10/2014	Return Security		-116656	ORD	(b)
1/10/2014	Return Security		-10000	ORD	(b)
1/10/2014	Return Security		-200000	ORD	(b)
1/10/2014	Return Security		-50000	ORD	(b)
1/10/2014	Return Security		-40000	ORD	(b)

1/10/2014	Return Security		-200000	ORD	(b)
1/10/2014	Return Security		-60000	ORD	(b)
1/10/2014	Return Security		-73000	ORD	(b)
1/10/2014	Return Security		-126000	ORD	(b)
1/10/2014	Return Security		-73000	ORD	(b)
1/10/2014	Return Security		-127000	ORD	(b)
1/10/2014	Return Security		-105000	ORD	(b)
1/10/2014	Return Security		-74000	ORD	(b)
1/10/2014	Return Security		-170000	ORD	(b)
1/10/2014	Return Security		-160000	ORD	(b)
2/10/2014	Return Security		-1300000	ORD	(e)
2/10/2014	Return Security		-1300000	ORD	(e)
2/10/2014	Collateral Return Security		-1050000	ORD	(b)
3/10/2014	Settle Sec Loan		3210000	ORD	(b)
3/10/2014	Settle Sec Loan		1200000	ORD	(b)
3/10/2014	Settle Sec Loan		4350000	ORD	(b)
3/10/2014	Collateral Return Security		-12950000	ORD	(b)
7/10/2014	Settle Sec Loan		1500000	ORD	(a)
9/10/2014	Return Security		-1500000	ORD	(a)
9/10/2014	Unsett Sec Rtn		1500000	ORD	(a)
9/10/2014	Settle Sec Loan		750000	ORD	(a)
10/10/2014	Return Security		-1500000	ORD	(a)
10/10/2014	Return Security		-3500000	ORD	(b)
13/10/2014	Settle Sec Loan		677000	ORD	(d)
14/10/2014	Return Security		-750000	ORD	(a)
14/10/2014	Pending Loan		1000000	ORD	(c)
14/10/2014	Pending Loan		3000000	ORD	(d)
16/10/2014	Settle Sec Loan		1000000	ORD	(d)
16/10/2014	Collateral Settle Sec Loan		18000000	ORD	(f)

Appendix 1- ARI

Date	Nature of Change	Consideration	No. of Securities	Class	SBA Counterparty
23/06/2014	Re-Alloc Rtn		-10000	ORD	(iv)
23/06/2014	Re-Alloc Loan		10000	ORD	(v)
23/06/2014	Settle Sec Loan		565646	ORD	(v)
23/06/2014	Settle Sec Loan		194110	ORD	(ii)
23/06/2014	Settle Sec Loan		246538	ORD	(ii)
23/06/2014	Settle Sec Loan		1461329	ORD	(i)
23/06/2014	Settle Sec Loan		817688	ORD	(vii)
23/06/2014	Settle Sec Loan		3014689	ORD	(vii)
24/06/2014	Return Security		-565646	ORD	(v)
24/06/2014	Return Security		-194110	ORD	(ii)
24/06/2014	Return Security		-246538	ORD	(ii)
24/06/2014	Return Security		-1461329	ORD	(i)
24/06/2014	Return Security		-817688	ORD	(vii)
24/06/2014	Return Security		-3014689	ORD	(vii)
25/06/2014	Settle Sec Loan		2000	ORD	(i)
25/06/2014	Settle Sec Loan		537000	ORD	(i)
26/06/2014	Settle Sec Loan		30000	ORD	(i)
27/06/2014	Settle Sec Loan		2200000	ORD	(vi)
27/06/2014	Settle Sec Loan		194110	ORD	(ii)
27/06/2014	Settle Sec Loan		135890	ORD	(i)
30/06/2014	Return Security		-2000	ORD	(i)
30/06/2014	Return Security		-30000	ORD	(i)
30/06/2014	Settle Sec Loan		248000	ORD	(vii)
30/06/2014	Settle Sec Loan		569688	ORD	(vii)
30/06/2014	Settle Sec Loan		1930312	ORD	(vii)
30/06/2014	Settle Sec Loan		184754	ORD	(i)
1/07/2014	Settle Sec Loan		1053000	ORD	(vii)
1/07/2014	Settle Sec Loan		299070	ORD	(vii)
1/07/2014	Settle Sec Loan		544869	ORD	(v)
1/07/2014	Settle Sec Loan		32000	ORD	(i)
1/07/2014	Settle Sec Loan		2124061	ORD	(i)
1/07/2014	Return Security		-184754	ORD	(i)
1/07/2014	Settle Sec Loan		571685	ORD	(i)
1/07/2014	Settle Sec Loan		246538	ORD	(ii)
1/07/2014	Settle Sec Loan		20777	ORD	(v)
2/07/2014	Return Security		-537000	ORD	(i)
2/07/2014	Return Security		-194110	ORD	(ii)
2/07/2014	Return Security		-135890	ORD	(i)
2/07/2014	Return Security		-248000	ORD	(vii)
2/07/2014	Return Security		-569688	ORD	(vii)
2/07/2014	Return Security		-1930312	ORD	(vii)
2/07/2014	Return Security		-571685	ORD	(i)
2/07/2014	Return Security		-246538	ORD	(ii)
2/07/2014	Return Security		-20777	ORD	(v)
3/07/2014	Return Security		-1053000	ORD	(vii)
3/07/2014	Return Security		-299070	ORD	(vii)
3/07/2014	Return Security		-544869	ORD	(v)

3/07/2014	Return Security		-32000	ORD	(i)
3/07/2014	Return Security		-2124061	ORD	(i)
3/07/2014	Settle Sec Loan		1597	ORD	(vii)
4/07/2014	Settle Sec Loan		40000	ORD	(ii)
7/07/2014	Return Security		-1597	ORD	(vii)
7/07/2014	Return Security		-40000	ORD	(ii)
8/07/2014	Settle Sec Loan		33772	ORD	(i)
9/07/2014	Settle Sec Loan		29273	ORD	(i)
11/07/2014	Settle Sec Loan		1378000	ORD	(i)
11/07/2014	Settle Sec Loan		90000	ORD	(i)
11/07/2014	Settle Sec Loan		241538	ORD	(i)
11/07/2014	Settle Sec Loan		2058462	ORD	(vii)
14/07/2014	Settle Sec Loan		150000	ORD	(i)
15/07/2014	Return Security		-33772	ORD	(i)
15/07/2014	Return Security		-29273	ORD	(i)
15/07/2014	Settle Sec Loan		2913943	ORD	(i)
16/07/2014	Return Security		-5000	ORD	(i)
16/07/2014	Return Security		-10000	ORD	(vii)
16/07/2014	Return Security		-20000	ORD	(i)
16/07/2014	Return Security		-30000	ORD	(i)
16/07/2014	Return Security		-60000	ORD	(i)
16/07/2014	Return Security		-20000	ORD	(i)
16/07/2014	Return Security		-10000	ORD	(v)
16/07/2014	Return Security		-2200000	ORD	(vi)
16/07/2014	Return Security		-1378000	ORD	(i)
16/07/2014	Return Security		-150000	ORD	(i)
16/07/2014	Return Security		-90000	ORD	(i)
16/07/2014	Settle Sec Loan		73915	ORD	(i)
16/07/2014	Settle Sec Loan		194110	ORD	(ii)
16/07/2014	Settle Sec Loan		246538	ORD	(ii)
16/07/2014	Settle Sec Loan		565646	ORD	(v)
16/07/2014	Settle Sec Loan		1219791	ORD	(i)
16/07/2014	Return Security		-980000	ORD	(vii)
16/07/2014	Settle Sec Loan		1034000	ORD	(vii)
17/07/2014	Return Security		-820000	ORD	(vii)
18/07/2014	Settle Sec Loan		1238000	ORD	(i)
18/07/2014	Settle Sec Loan		5000	ORD	(i)
18/07/2014	Settle Sec Loan		10000	ORD	(v)
18/07/2014	Settle Sec Loan		385000	ORD	(i)
21/07/2014	Settle Sec Loan		490000	ORD	(vii)
21/07/2014	Settle Sec Loan		24372	ORD	(vii)
22/07/2014	Return Security		-2913943	ORD	(i)
22/07/2014	Return Security		-73915	ORD	(i)
22/07/2014	Return Security		-194110	ORD	(ii)
22/07/2014	Return Security		-246538	ORD	(ii)
22/07/2014	Return Security		-565646	ORD	(v)
22/07/2014	Return Security		-1219791	ORD	(i)
22/07/2014	Return Security		-241538	ORD	(i)
22/07/2014	Return Security		-258462	ORD	(vii)
22/07/2014	Return Security		-1034000	ORD	(vii)

22/07/2014	Return Security		-5000	ORD	(i)
22/07/2014	Return Security		-10000	ORD	(v)
22/07/2014	Return Security		-385000	ORD	(i)
22/07/2014	Return Security		-490000	ORD	(vii)
22/07/2014	Return Security		-24372	ORD	(vii)
22/07/2014	Settle Sec Loan		960000	ORD	(vii)
22/07/2014	Settle Sec Loan		1100000	ORD	(vi)
23/07/2014	Settle Sec Loan		415000	ORD	(i)
24/07/2014	Return Security		-10000	ORD	(i)
24/07/2014	Settle Sec Loan		290000	ORD	(i)
24/07/2014	Settle Sec Loan		4614	ORD	(i)
25/07/2014	Settle Sec Loan		756000	ORD	(vii)
25/07/2014	Settle Sec Loan		80000	ORD	(vii)
25/07/2014	Settle Sec Loan		85007	ORD	(vii)
28/07/2014	Settle Sec Loan		184384	ORD	(vii)
28/07/2014	Settle Sec Loan		68616	ORD	(i)
28/07/2014	Settle Sec Loan		140000	ORD	(i)
28/07/2014	Settle Sec Loan		455166	ORD	(i)
29/07/2014	Return Security		-1228000	ORD	(i)
29/07/2014	Return Security		-415000	ORD	(i)
30/07/2014	Settle Sec Loan		756715	ORD	(i)
30/07/2014	Settle Sec Loan		194110	ORD	(ii)
30/07/2014	Settle Sec Loan		575646	ORD	(v)
30/07/2014	Settle Sec Loan		246538	ORD	(ii)
30/07/2014	Settle Sec Loan		1226991	ORD	(vii)
30/07/2014	Return Security		-1861	ORD	(vii)
30/07/2014	Settle Sec Loan		300000	ORD	(i)
31/07/2014	Return Security		-4551	ORD	(vii)
31/07/2014	Settle Sec Loan		115000	ORD	(i)
31/07/2014	Settle Sec Loan		1861	ORD	(vii)
31/07/2014	Settle Sec Loan		83139	ORD	(i)
1/08/2014	Return Security		-120000	ORD	(vii)
1/08/2014	Settle Sec Loan		4551	ORD	(vii)
1/08/2014	Settle Sec Loan		15449	ORD	(i)
5/08/2014	Return Security		-636000	ORD	(vii)
5/08/2014	Return Security		-184384	ORD	(vii)
5/08/2014	Return Security		-68616	ORD	(i)
5/08/2014	Settle Sec Loan		940384	ORD	(vii)
5/08/2014	Settle Sec Loan		679616	ORD	(vii)
6/08/2014	Return Security		-626826	ORD	(vii)
6/08/2014	Settle Sec Loan		20000	ORD	(vii)
7/08/2014	Settle Sec Loan		626826	ORD	(vii)
7/08/2014	Settle Sec Loan		332174	ORD	(i)
7/08/2014	Return Security		-2070	ORD	(vii)
7/08/2014	Settle Sec Loan		690000	ORD	(i)
8/08/2014	Return Security		-2577	ORD	(vii)
8/08/2014	Settle Sec Loan		118072	ORD	(vii)
8/08/2014	Settle Sec Loan		31928	ORD	(i)
8/08/2014	Settle Sec Loan		2070	ORD	(vii)
8/08/2014	Settle Sec Loan		2087930	ORD	(i)

11/08/2014	Return Security		-322115	ORD	(vii)
11/08/2014	Return Security		-290000	ORD	(i)
11/08/2014	Return Security		-4614	ORD	(i)
11/08/2014	Return Security		-80000	ORD	(vii)
11/08/2014	Return Security		-85007	ORD	(vii)
11/08/2014	Return Security		-140000	ORD	(i)
11/08/2014	Return Security		-455166	ORD	(i)
11/08/2014	Return Security		-300000	ORD	(i)
11/08/2014	Return Security		-115000	ORD	(i)
11/08/2014	Return Security		-1861	ORD	(vii)
11/08/2014	Return Security		-83139	ORD	(i)
11/08/2014	Return Security		-4551	ORD	(vii)
11/08/2014	Return Security		-15449	ORD	(i)
11/08/2014	Return Security		-822364	ORD	(vii)
11/08/2014	Return Security		-1100000	ORD	(i)
11/08/2014	Return Security		-1100000	ORD	(vi)
11/08/2014	Unsett Sec Rtn		1100000	ORD	(vi)
12/08/2014	Return Security		-40000	ORD	(i)
12/08/2014	Settle Sec Loan		50000	ORD	(i)
12/08/2014	Settle Sec Loan		10382	ORD	(i)
13/08/2014	Return Security		-2524	ORD	(vii)
13/08/2014	Settle Sec Loan		40000	ORD	(i)
14/08/2014	Return Security		-172826	ORD	(vii)
14/08/2014	Return Security		-292174	ORD	(i)
14/08/2014	Return Security		-27686	ORD	(vii)
15/08/2014	Return Security		-454000	ORD	(vii)
15/08/2014	Settle Sec Loan		110000	ORD	(i)
15/08/2014	Settle Sec Loan		50000	ORD	(i)
18/08/2014	Settle Sec Loan		69000	ORD	(i)
18/08/2014	Settle Sec Loan		75000	ORD	(i)
18/08/2014	Settle Sec Loan		50000	ORD	(i)
18/08/2014	Settle Sec Loan		111232	ORD	(i)
18/08/2014	Settle Sec Loan		408768	ORD	(i)
19/08/2014	Return Security		-30652	ORD	(vii)
19/08/2014	Settle Sec Loan		72000	ORD	(i)
20/08/2014	Return Security		-22382	ORD	(vii)
20/08/2014	Settle Sec Loan		72000	ORD	(i)
21/08/2014	Return Security		-34776	ORD	(vii)
21/08/2014	Return Security		-679616	ORD	(vii)
21/08/2014	Return Security		-20000	ORD	(vii)
21/08/2014	Return Security		-118072	ORD	(vii)
21/08/2014	Return Security		-31928	ORD	(i)
21/08/2014	Return Security		-690000	ORD	(i)
21/08/2014	Return Security		-2070	ORD	(vii)
21/08/2014	Return Security		-412057	ORD	(i)
22/08/2014	Settle Sec Loan		2300000	ORD	(i)
22/08/2014	Return Security		-13667	ORD	(i)
22/08/2014	Settle Sec Loan		72000	ORD	(i)
26/08/2014	Settle Sec Loan		470000	ORD	(i)
26/08/2014	Settle Sec Loan		180000	ORD	(i)

27/08/2014	Return Security		-562206	ORD	(i)
27/08/2014	Return Security		-50000	ORD	(i)
27/08/2014	Return Security		-10382	ORD	(i)
27/08/2014	Return Security		-40000	ORD	(i)
27/08/2014	Return Security		-68209	ORD	(i)
27/08/2014	Return Security		-50000	ORD	(i)
27/08/2014	Return Security		-110000	ORD	(i)
27/08/2014	Return Security		-50000	ORD	(i)
27/08/2014	Return Security		-111232	ORD	(i)
27/08/2014	Return Security		-408768	ORD	(i)
27/08/2014	Return Security		-470000	ORD	(i)
27/08/2014	Return Security		-110000	ORD	(i)
27/08/2014	Return Security		-1100000	ORD	(vi)
27/08/2014	Unsett Sec Rtn		1100000	ORD	(vi)
28/08/2014	Settle Sec Loan		280000	ORD	(i)
28/08/2014	Return Security		-69000	ORD	(i)
28/08/2014	Return Security		-6791	ORD	(i)
28/08/2014	Return Security		-341600	ORD	(vi)
29/08/2014	Settle Sec Loan		120000	ORD	(vii)
29/08/2014	Settle Sec Loan		429759	ORD	(i)
29/08/2014	Settle Sec Loan		96170	ORD	(i)
29/08/2014	Settle Sec Loan		183830	ORD	(vii)
29/08/2014	Settle Sec Loan		150000	ORD	(i)
29/08/2014	Settle Sec Loan		580000	ORD	(i)
29/08/2014	Settle Sec Loan		47025	ORD	(vi)
1/09/2014	Settle Sec Loan		318974	ORD	(i)
1/09/2014	Settle Sec Loan		565614	ORD	(i)
1/09/2014	Settle Sec Loan		235412	ORD	(vii)
1/09/2014	Settle Sec Loan		107419	ORD	(vii)
1/09/2014	Settle Sec Loan		819544	ORD	(vi)
1/09/2014	Settle Sec Loan		280456	ORD	(vi)
2/09/2014	Settle Sec Loan		20000	ORD	(vii)
2/09/2014	Return Security		-114229	ORD	(i)
2/09/2014	Settle Sec Loan		1300000	ORD	(vii)
3/09/2014	Settle Sec Loan		146000	ORD	(i)
3/09/2014	Settle Sec Loan		71000	ORD	(i)
3/09/2014	Settle Sec Loan		140000	ORD	(vii)
3/09/2014	Settle Sec Loan		8270	ORD	(vii)
4/09/2014	Return Security		-20000	ORD	(vii)
4/09/2014	Settle Sec Loan		40000	ORD	(i)
4/09/2014	Return Security		-72000	ORD	(i)
4/09/2014	Return Security		-72000	ORD	(i)
4/09/2014	Return Security		-72000	ORD	(i)
4/09/2014	Return Security		-180000	ORD	(i)
4/09/2014	Return Security		-96170	ORD	(i)
4/09/2014	Return Security		-183830	ORD	(vii)
4/09/2014	Return Security		-150000	ORD	(i)
4/09/2014	Return Security		-580000	ORD	(i)
4/09/2014	Settle Sec Loan		50000	ORD	(i)
4/09/2014	Settle Sec Loan		105000	ORD	(i)

4/09/2014	Settle Sec Loan		130000	ORD	(i)
4/09/2014	Return Security		-12100	ORD	(i)
4/09/2014	Return Security		-429759	ORD	(i)
4/09/2014	Return Security		-470000	ORD	(vii)
4/09/2014	Return Security		-107419	ORD	(vii)
4/09/2014	Return Security		-47025	ORD	(vi)
4/09/2014	Return Security		-819544	ORD	(vi)
4/09/2014	Return Security		-280456	ORD	(vi)
5/09/2014	Settle Sec Loan		186000	ORD	(vii)
5/09/2014	Return Security		-135530	ORD	(i)
5/09/2014	Return Security		-70000	ORD	(i)
5/09/2014	Return Security		-64171	ORD	(i)
5/09/2014	Return Security		-254803	ORD	(i)
5/09/2014	Return Security		-123755	ORD	(i)
5/09/2014	Return Security		-235412	ORD	(vii)
5/09/2014	Return Security		-505674	ORD	(vii)
5/09/2014	Return Security		-288400	ORD	(vii)
8/09/2014	Settle Sec Loan		225000	ORD	(i)
8/09/2014	Settle Sec Loan		65000	ORD	(i)
8/09/2014	Settle Sec Loan		69000	ORD	(i)
8/09/2014	Settle Sec Loan		45229	ORD	(i)
8/09/2014	Settle Sec Loan		74771	ORD	(vii)
8/09/2014	Settle Sec Loan		10000	ORD	(vii)
8/09/2014	Settle Sec Loan		540000	ORD	(i)
9/09/2014	Settle Sec Loan		49519	ORD	(vii)
9/09/2014	Settle Sec Loan		50481	ORD	(i)
9/09/2014	Settle Sec Loan		160000	ORD	(i)
9/09/2014	Settle Sec Loan		200000	ORD	(i)
9/09/2014	Settle Sec Loan		50000	ORD	(i)
9/09/2014	Settle Sec Loan		40000	ORD	(i)
9/09/2014	Settle Sec Loan		720000	ORD	(i)
9/09/2014	Settle Sec Loan		105000	ORD	(i)
10/09/2014	Return Security		-71000	ORD	(i)
10/09/2014	Unsett Sec Rtn		71000	ORD	(i)
10/09/2014	Return Security		-8270	ORD	(vii)
10/09/2014	Settle Sec Loan		200000	ORD	(vii)
10/09/2014	Settle Sec Loan		60000	ORD	(vii)
10/09/2014	Settle Sec Loan		170000	ORD	(i)
10/09/2014	Settle Sec Loan		160000	ORD	(i)
11/09/2014	Return Security		-280000	ORD	(i)
11/09/2014	Settle Sec Loan		73000	ORD	(i)
11/09/2014	Settle Sec Loan		126000	ORD	(i)
12/09/2014	Return Security		-120000	ORD	(vii)
12/09/2014	Return Security		-186000	ORD	(vii)
12/09/2014	Return Security		-225000	ORD	(i)
12/09/2014	Return Security		-146000	ORD	(i)
12/09/2014	Unsett Sec Rtn		146000	ORD	(i)
12/09/2014	Return Security		-71000	ORD	(i)
12/09/2014	Unsett Sec Rtn		71000	ORD	(i)
12/09/2014	Return Security		-35926	ORD	(vii)

12/09/2014	Return Security		-23344	ORD	(vii)
12/09/2014	Settle Sec Loan		73000	ORD	(i)
12/09/2014	Settle Sec Loan		127000	ORD	(i)
12/09/2014	Return Security		-758400	ORD	(vi)
12/09/2014	Settle Sec Loan		400000	ORD	(vi)
15/09/2014	Re-Alloc Rtn		-246538	ORD	(ii)
15/09/2014	Re-Alloc Loan		246538	ORD	(vii)
15/09/2014	Re-Alloc Rtn		-575646	ORD	(v)
15/09/2014	Re-Alloc Loan		575646	ORD	(i)
15/09/2014	Re-Alloc Rtn		-194110	ORD	(ii)
15/09/2014	Re-Alloc Loan		194110	ORD	(i)
15/09/2014	Settle Sec Loan		650000	ORD	(i)
15/09/2014	Settle Sec Loan		74000	ORD	(i)
15/09/2014	Settle Sec Loan		134000	ORD	(i)
15/09/2014	Settle Sec Loan		632586	ORD	(i)
15/09/2014	Settle Sec Loan		1180905	ORD	(vii)
15/09/2014	Settle Sec Loan		186509	ORD	(vii)
15/09/2014	Settle Sec Loan		8270	ORD	(vii)
15/09/2014	Settle Sec Loan		631179	ORD	(vii)
15/09/2014	Settle Sec Loan		110551	ORD	(i)
15/09/2014	Settle Sec Loan		1177944	ORD	(vi)
15/09/2014	Settle Sec Loan		822056	ORD	(vi)
19/09/2014	Re-Alloc Rtn		-447478	ORD	(vii)
19/09/2014	Re-Alloc Loan		192720	ORD	(i)
19/09/2014	Re-Alloc Loan		254758	ORD	(v)
19/09/2014	Re-Alloc Rtn		-472928	ORD	(i)
19/09/2014	Re-Alloc Loan		246538	ORD	(ii)
19/09/2014	Re-Alloc Loan		32280	ORD	(i)
19/09/2014	Re-Alloc Loan		194110	ORD	(ii)
24/09/2014	Return Security		-600000	ORD	(i)
24/09/2014	Return Security		-40000	ORD	(i)
24/09/2014	Return Security		-65000	ORD	(i)
24/09/2014	Return Security		-160000	ORD	(i)
24/09/2014	Re-Alloc Rtn		-146000	ORD	(i)
24/09/2014	Re-Alloc Loan		146000	ORD	(v)
24/09/2014	Re-Alloc Rtn		-130000	ORD	(i)
24/09/2014	Re-Alloc Loan		130000	ORD	(v)
24/09/2014	Re-Alloc Rtn		-10000	ORD	(vii)
24/09/2014	Re-Alloc Loan		10000	ORD	(v)
24/09/2014	Return Security		-134000	ORD	(i)
24/09/2014	Unsett Sec Rtn		134000	ORD	(i)
24/09/2014	Return Security		-632586	ORD	(i)
24/09/2014	Return Security		-1180905	ORD	(vii)
24/09/2014	Return Security		-186509	ORD	(vii)
24/09/2014	Unsett Sec Rtn		632586	ORD	(i)
24/09/2014	Unsett Sec Rtn		1180905	ORD	(vii)
24/09/2014	Unsett Sec Rtn		186509	ORD	(vii)
24/09/2014	Re-Alloc Rtn		-8270	ORD	(vii)
24/09/2014	Re-Alloc Loan		8270	ORD	(v)
24/09/2014	Return Security		-631179	ORD	(vii)

24/09/2014	Return Security		-110551	ORD	(i)
24/09/2014	Return Security		-8270	ORD	(v)
24/09/2014	Unsett Sec Rtn		631179	ORD	(vii)
24/09/2014	Unsett Sec Rtn		110551	ORD	(i)
24/09/2014	Unsett Sec Rtn		8270	ORD	(v)
25/09/2014	Return Security		-721182	ORD	(i)
25/09/2014	Return Security		-246538	ORD	(ii)
25/09/2014	Return Security		-32280	ORD	(i)
25/09/2014	Return Security		-1105890	ORD	(i)
25/09/2014	Return Security		-194110	ORD	(ii)
25/09/2014	Settle Sec Loan		1300000	ORD	(vi)
25/09/2014	Re-Alloc Rtn		-71000	ORD	(i)
25/09/2014	Re-Alloc Loan		71000	ORD	(i)
25/09/2014	Re-Alloc Rtn		-105000	ORD	(i)
25/09/2014	Re-Alloc Loan		105000	ORD	(i)
25/09/2014	Return Security		-134000	ORD	(i)
25/09/2014	Return Security		-632586	ORD	(i)
25/09/2014	Return Security		-1180905	ORD	(vii)
25/09/2014	Return Security		-186509	ORD	(vii)
25/09/2014	Re-Alloc Rtn		-110551	ORD	(i)
25/09/2014	Re-Alloc Loan		26618	ORD	(v)
25/09/2014	Re-Alloc Loan		83933	ORD	(i)
25/09/2014	Return Security		-631179	ORD	(vii)
25/09/2014	Return Security		-34888	ORD	(v)
25/09/2014	Return Security		-83933	ORD	(i)
26/09/2014	Re-Alloc Rtn		-769756	ORD	(i)
26/09/2014	Re-Alloc Loan		294220	ORD	(i)
26/09/2014	Re-Alloc Loan		194110	ORD	(ii)
26/09/2014	Re-Alloc Loan		34888	ORD	(v)
26/09/2014	Re-Alloc Loan		246538	ORD	(ii)
26/09/2014	Re-Alloc Rtn		-1026051	ORD	(vii)
26/09/2014	Re-Alloc Loan		1026051	ORD	(i)
26/09/2014	Re-Alloc Rtn		-49519	ORD	(vii)
26/09/2014	Re-Alloc Loan		49519	ORD	(i)
26/09/2014	Re-Alloc Rtn		-50000	ORD	(i)
26/09/2014	Re-Alloc Loan		50000	ORD	(i)
26/09/2014	Settle Sec Loan		265000	ORD	(i)
26/09/2014	Re-Alloc Rtn		-50000	ORD	(i)
26/09/2014	Re-Alloc Loan		50000	ORD	(i)
26/09/2014	Re-Alloc Rtn		-69000	ORD	(i)
26/09/2014	Re-Alloc Loan		69000	ORD	(i)
26/09/2014	Re-Alloc Rtn		-45229	ORD	(i)
26/09/2014	Re-Alloc Loan		45229	ORD	(i)
26/09/2014	Re-Alloc Rtn		-74771	ORD	(vii)
26/09/2014	Re-Alloc Loan		74771	ORD	(i)
26/09/2014	Re-Alloc Rtn		-116656	ORD	(vii)
26/09/2014	Re-Alloc Loan		116656	ORD	(i)
26/09/2014	Re-Alloc Rtn		-200000	ORD	(vii)
26/09/2014	Re-Alloc Loan		200000	ORD	(i)
26/09/2014	Re-Alloc Rtn		-60000	ORD	(vii)

26/09/2014	Re-Alloc Loan		60000	ORD	(i)
26/09/2014	Settle Sec Loan		1199470	ORD	(i)
29/09/2014	Return Security		-265000	ORD	(i)
30/09/2014	Settle Sec Loan		4634202	ORD	(i)
30/09/2014	Settle Sec Loan		194110	ORD	(ii)
30/09/2014	Settle Sec Loan		575646	ORD	(v)
30/09/2014	Settle Sec Loan		246538	ORD	(ii)
30/09/2014	Settle Sec Loan		399504	ORD	(vii)
30/09/2014	Return Security		-2269706	ORD	(i)
30/09/2014	Return Security		-194110	ORD	(ii)
30/09/2014	Return Security		-289646	ORD	(v)
30/09/2014	Return Security		-246538	ORD	(ii)
30/09/2014	Settle Sec Loan		3000000	ORD	(vi)
30/09/2014	Return Security		-540000	ORD	(i)
30/09/2014	Return Security		-720000	ORD	(i)
30/09/2014	Return Security		-1199470	ORD	(i)
30/09/2014	Return Security		-400000	ORD	(vi)
30/09/2014	Return Security		-1177944	ORD	(vi)
30/09/2014	Return Security		-822056	ORD	(vi)
1/10/2014	Return Security		-146000	ORD	(v)
1/10/2014	Return Security		-71000	ORD	(i)
1/10/2014	Return Security		-50000	ORD	(i)
1/10/2014	Return Security		-105000	ORD	(i)
1/10/2014	Return Security		-130000	ORD	(v)
1/10/2014	Return Security		-69000	ORD	(i)
1/10/2014	Return Security		-120000	ORD	(i)
1/10/2014	Return Security		-116656	ORD	(i)
1/10/2014	Return Security		-10000	ORD	(v)
1/10/2014	Return Security		-200000	ORD	(i)
1/10/2014	Return Security		-50000	ORD	(i)
1/10/2014	Return Security		-40000	ORD	(i)
1/10/2014	Return Security		-200000	ORD	(i)
1/10/2014	Return Security		-60000	ORD	(i)
1/10/2014	Return Security		-73000	ORD	(i)
1/10/2014	Return Security		-126000	ORD	(i)
1/10/2014	Return Security		-73000	ORD	(i)
1/10/2014	Return Security		-127000	ORD	(i)
1/10/2014	Return Security		-105000	ORD	(i)
1/10/2014	Return Security		-74000	ORD	(i)
1/10/2014	Return Security		-170000	ORD	(i)
1/10/2014	Return Security		-160000	ORD	(i)
2/10/2014	Return Security		-78312	ORD	(ii)
2/10/2014	Return Security		-575646	ORD	(v)
2/10/2014	Return Security		-246538	ORD	(ii)
2/10/2014	Return Security		-399504	ORD	(vii)
2/10/2014	Return Security		-1300000	ORD	(vi)
3/10/2014	Settle Sec Loan		3210000	ORD	(i)
3/10/2014	Settle Sec Loan		1049362	ORD	(i)
3/10/2014	Settle Sec Loan		150638	ORD	(ii)
3/10/2014	Settle Sec Loan		4350000	ORD	(vi)

7/10/2014	Settle Sec Loan		121784	ORD	(ii)
7/10/2014	Settle Sec Loan		1231292	ORD	(v)
7/10/2014	Settle Sec Loan		146924	ORD	(ii)
9/10/2014	Return Security		-121784	ORD	(ii)
9/10/2014	Return Security		-1231292	ORD	(v)
9/10/2014	Return Security		-146924	ORD	(ii)
9/10/2014	Unsett Sec Rtn		121784	ORD	(ii)
9/10/2014	Unsett Sec Rtn		1231292	ORD	(v)
9/10/2014	Unsett Sec Rtn		146924	ORD	(ii)
9/10/2014	Settle Sec Loan		346152	ORD	(ii)
9/10/2014	Settle Sec Loan		403848	ORD	(vii)
10/10/2014	Return Security		-121784	ORD	(ii)
10/10/2014	Return Security		-1231292	ORD	(v)
10/10/2014	Return Security		-146924	ORD	(ii)
10/10/2014	Return Security		-3500000	ORD	(vi)
13/10/2014	Settle Sec Loan		677000	ORD	(v)
14/10/2014	Return Security		-346152	ORD	(ii)
14/10/2014	Return Security		-403848	ORD	(vii)
14/10/2014	Pending Loan		1000000	ORD	(vi)
14/10/2014	Pending Loan		554292	ORD	(v)
14/10/2014	Pending Loan		493076	ORD	(ii)
14/10/2014	Pending Loan		121784	ORD	(ii)
14/10/2014	Pending Loan		1830848	ORD	(vii)
16/10/2014	Settle Sec Loan		1000000	ORD	(vi)

APPENDIX 2 – ARI

Type of agreement and parties to agreement	<p>National Australia Bank Limited and</p> <p>Securities Borrowing Agreement clients</p> <p>AMSLA Counterparties Citigroup Global Markets Australia Pty Limited (a) Societe Generale (Paris) (b) Barclays Bank PLC (c)</p>
Transfer dates	16 June 2014 to 16 October 2014
Holder of voting rights	Registered owner
Are there any restrictions on voting	No
Scheduled date of return (if any)	N/A
Does the borrower have the right to return early?	Yes – at notice
Does the lender have the right to recall early?	Yes – at notice
Will the securities be returned on settlement?	Yes, or equivalent protection under Securities Borrowing Agreement /AMSLA

National Australia Bank Limited will, if required by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreements to the company, responsible entity or ASIC.

This is Annexure A of 11 pages referred to in the Form 603 Notice of initial substantial holder signed by me and dated 21/10/2014

Fiona Last, Company Secretary

W M POOL - EQUITIES TRUST NO.25

WM POOL - EQUITIES TRUST NO. 13

WM POOL - EQUITIES TRUST NO.24

1-6 Highbanks Residential Management Company Limited

11 Tudor Hill Residential Management Company Limited

26-28 Central Road Residential Management Company Limited

A.C.N. 083 784 463 Limited

Access Fund No 1

Access Fund No 10

Access Fund No 11

Access Fund No 12

Access Fund No 13

Access Fund No 14

Access Fund No 15

Access Fund No 16

Access Fund No 17

Access Fund No 18

Access Fund No 19

Access Fund No 2

Access Fund No 20

Access Fund No 21

Access Fund No 22

Access Fund No 23

Access Fund No 24

Access Fund No 25

Access Fund No 26

Access Fund No 27

Access Fund No 28

Access Fund No 29

Access Fund No 3

Access Fund No 30

Access Fund No 31

Access Fund No 32

Access Fund No 4

Access Fund No 5

Access Fund No 6

Access Fund No 7

Access Fund No 8

Access Fund No 9

Advantedge Financial Services Holdings Pty Ltd

Advantedge Financial Services Pty Ltd

Advantedge Financial Solutions Pty Ltd

AF&L DRAGON LEASING PTY LTD
AF&L VISTA PTY LTD
AFSH NOMINEES PTY LTD
ALICE CORPORATION PTY LTD
ALTERNATIVE ASSETS AIRPORTS TRUST
ALTERNATIVE ASSETS BRISBANE AIRPORTS TRUST
ALTERNATIVE ASSETS INFRASTRUCTURE TRUST
ALTERNATIVE EQUITY - INFRASTRUCTURE (APTC) TRUST
ALTERNATIVE EQUITY - INFRASTRUCTURE (RAIL) TRUST
ALTERNATIVE EQUITY BACL TRUST
ANCHORAGE HOME LOANS PTY LTD
ANGARA COMPANY LIMITED
ANTARES CAPITAL PARTNERS LTD
ANTARES INDEX ENHANCED AUSTRALIAN FIXED INTEREST TRUST
APOGEE FINANCIAL PLANNING LIMITED
ARDB LIMITED
ASIBOND PTY. LIMITED
ATM ACCESS AUSTRALIA LIMITED
AUSMAQ (NZ) LIMITED
AUSMAQ LIMITED
AUSTRALIAN COMPANY NUMBER 094 484 625 LIMITED
AUSTRALIAN PAYMENTS CLEARING ASSOCIATION LIMITED
AUSTRALIAN SHARES INDEX FUND
BANK OF NEW ZEALAND
BASTION INFRASTRUCTURE GROUP INC.
BLSSA PTY LTD
BNZ AGRICAPITAL LIMITED
BNZ BRANCH PROPERTIES LTD
BNZ CASH PIE UNIT TRUST
BNZ CORPORATION LTD
BNZ EQUITY INVESTMENTS NO.2 LIMITED
BNZ FACILITIES MANAGEMENT LIMITED
BNZ INCOME MANAGEMENT LIMITED
BNZ INCOME SECURITIES 2 LIMITED
BNZ INCOME SECURITIES LIMITED
BNZ INSURANCE SERVICES LIMITED
BNZ INTERNATIONAL FUNDING LTD
BNZ INVESTMENT SERVICES LIMITED
BNZ INVESTMENTS LTD
BNZ LIFE INSURANCE LIMITED
BNZ PROPERTIES (AUCKLAND) LTD
BNZ PROPERTIES LTD
BNZ PROPERTY INVESTMENTS LTD
BNZ RMBS TRUST SERIES 2008-1
C.B. NOMINEES LIMITED
C.B.C. PROPERTIES LIMITED
CALIBRE ASSET MANAGEMENT LIMITED
CAMBRIDGE REAL ESTATE INVESTMENTS PTE LTD
CARDLINK SERVICES LIMITED
CARDS NZ LIMITED

CARRINGTON CONFIRMERS PTY. LIMITED (IN LIQUIDATION)
CASH ACCOUNT INCOME FUND
CASH SERVICES AUSTRALIA PTY LIMITED
CBC HOLDINGS LIMITED
CGF NO. 9 LIMITED
CHOICE LEND PTY LTD
CLYDESDALE BANK ASSET FINANCE LIMITED
CLYDESDALE BANK PLC
CNA-CAPITAL INTERNATIONAL - EMERGING MARKETS EQUITY TRUST
CRAIG YR HAUL MANAGEMENT COMPANY LIMITED
CRUICKSHANK ASSET MANAGEMENT PTY LTD
CUSTOM CREDIT CORPORATION LTD (IN LIQUIDATION)
CUSTOM CREDIT HOLDINGS LTD (IN LIQUIDATION)
DEESARN PTY LTD (IN LIQUIDATION)
DIBA EQUITIES LIMITED
DIBA INVESTMENTS LIMITED
EFTPOS ACCESS AUSTRALIA LIMITED
ELLY INVESTMENTS PTY LTD
EQUITY MANAGEMENT UNIT HOLDINGS PTY LTD
EUROCLEAR PLC
EXPERIAN AUSTRALIA CREDIT SERVICES PTY LTD
FAIRVIEW EQUITY PARTNERS EMERGING COMPANIES FUND
FAIRVIEW EQUITY PARTNERS PTY LTD
FAIRWAY VIEWS (COMPTON AVENUE) MANAGEMENT COMPANY LTD
FASTLEND PTY LTD
FINANCE & SYSTEMS TECHNOLOGY HOLDINGS PTY LIMITED
FINANCE & SYSTEMS TECHNOLOGY PTY LTD
FINTRACK MEMBERS PTY LTD
FIRST FEDERAL INVESTMENT SERVICES, INC.
FIRST IOWA MORTGAGE, INC.
FITS, INC
GODFREY PEMBROKE LIMITED
GRACECHURCH COMMERCIAL INVESTMENTS LIMITED
GREAT WESTERN BANCORPORATION, INC
GREAT WESTERN BANK
GREAT WESTERN FINANCIAL SERVICES, INC.
GREAT WESTERN SERVICE CORPORATION
GREENOAK HOUSE RESIDENTIAL MANAGEMENT COMPANY LIMITED
GUIDESTAR FINANCIAL GROUP LIMITED(IN LIQUIDATION)
GW DEVELOPMENT AMERICAS, INC
GW LEASING, INC.
GWB LLC
GWM ADVISER SERVICES LIMITED
HAVEN ROAD MANAGEMENT COMPANY LTD
HEGIRA LIMITED
HICAPS PTY LTD
HORIZON 1 BOND PORTFOLIO
HORIZON 2 INCOME PORTFOLIO
HORIZON 3 CONSERVATIVE GROWTH PORTFOLIO
HORIZON 4 BALANCED PORTFOLIO

HORIZON 5 GROWTH PORTFOLIO
HORIZON 6 SHARE PORTFOLIO
HORIZON 7 ACCELERATED GROWTH PORTFOLIO
I.C.NOMINEES PTY LTD
INDEPENDENT MORTGAGE AFFILIATES PTY LIMITED
INFRASTRUCTURE NATURAL RESOURCES TRUST
INTEGRATED SEPARATELY MANAGED ACCOUNT
INTERCHANGE AND SETTLEMENT LIMITED
INTERMEDE INVESTMENT PARTNERS LIMITED
INVIA CUSTODIAN PTY LIMITED
JANA AUSTRALIAN SHARE LONG SHORT TRUST
JANA CASH TRUST
JANA CORE AUSTRALIAN SHARE TRUST
JANA CORE GLOBAL SHARE TRUST
JANA CORE GLOBAL SHARE TRUST WITH CURRENCY HEDGED
JANA CORPORATE INVESTMENT SERVICES LIMITED
JANA DIVERSIFIED FIXED INCOME TRUST
JANA EMERGING MARKETS SHARE TRUST
JANA GLOBAL OPPORTUNITIES FUND
JANA GLOBAL SHARE LONG SHORT TRUST
JANA HIGH ALPHA AUSTRALIAN SHARE TRUST
JANA HIGH ALPHA GLOBAL SHARE TRUST
JANA INVESTMENT ADVISERS PTY LIMITED
JANA PASSIVE AUSTRALIAN SHARE TRUST
JANA PASSIVE GLOBAL SHARE TRUST
JANA PROPERTY TRUST
JANA TRIPLEPOINT FUND
JBWERE (NZ) HOLDINGS LIMITED
JBWERE (NZ) NOMINEES LIMITED
JBWERE (NZ) PTY LTD
JBWERE LIMITED
LCH CLEARNET GROUP LIMITED
LIMEHOUSE INVESTMENTS PTY LIMITED
LINTON SPRINGS RESIDENTIAL MANAGEMENT COMPANY LIMITED
LODESTAR AUSTRALIAN EQUITY INCOME FUND
LODESTAR AUSTRALIAN STRATEGIC SHARE FUND
LONG - TERM ABSOLUTE RETURN PORTFOLIO
LONG TERM INCOME FUND
LOYALTY NEW ZEALAND LIMITED
MARGIN LENDING (NZ) LIMITED
MEDFIN AUSTRALIA PTY LIMITED
MERITUM FINANCIAL GROUP PTY LTD
MERITUM FINANCIAL PLANNING PTY LTD
METRICS CREDIT PARTNERS PTY LTD
MLC (NCIT) AUSTRALIAN SHARE TRUST
MLC (NCIT) DIVERSIFIED DEBT TRUST
MLC (NCIT) GLOBAL SHARE TRUST
MLC (NCIT) GLOBAL SHARE TRUST WITH CURRENCY HEDGED
MLC (NCIT) MODERATE TRUST
MLC (NCIT) SHORT-MATURITY DIVERSIFIED DEBT TRUST

MLC ALLIANCE HOLDINGS PTY LIMITED
MLC GLOBAL PROPERTY FUND
MLC HEDGED GLOBAL SHARE FUND
MLC HOLDINGS LIMITED
MLC INTERNATIONAL HOLDINGS (SINGAPORE) PTE LTD
MLC INVESTMENT TRUST PLATINUM GLOBAL FUND
MLC INVESTMENTS LIMITED
MLC LIFETIME COMPANY LIMITED
MLC LIMITED
MLC MASTERKEY UNIT TRUST ACCELERATED GROWTH PORTFOLIO
MLC MASTERKEY UNIT TRUST AUSTRALIAN SHARE FUND
MLC MASTERKEY UNIT TRUST AUSTRALIAN SHARE GROWTH STYLE FUND
MLC MASTERKEY UNIT TRUST AUSTRALIAN SHARE VALUE STYLE FUND
MLC MASTERKEY UNIT TRUST BALANCED PORTFOLIO
MLC MASTERKEY UNIT TRUST BOND PORTFOLIO
MLC MASTERKEY UNIT TRUST CASH FUND
MLC MASTERKEY UNIT TRUST CONSERVATIVE GROWTH PORTFOLIO
MLC MASTERKEY UNIT TRUST GLOBAL SHARE FUND
MLC MASTERKEY UNIT TRUST GLOBAL SHARE GROWTH STYLE FUND
MLC MASTERKEY UNIT TRUST GLOBAL SHARE VALUE STYLE FUND
MLC MASTERKEY UNIT TRUST GROWTH PORTFOLIO
MLC MASTERKEY UNIT TRUST INCOME PORTFOLIO
MLC MASTERKEY UNIT TRUST INCOMEBUILDER
MLC MASTERKEY UNIT TRUST MLC CAPITAL INTERNATIONAL GLOBAL SHARE FUND
MLC MASTERKEY UNIT TRUST MLC-PLATINUM GLOBAL FUND
MLC MASTERKEY UNIT TRUST PROPERTY SECURITIES FUND
MLC MASTERKEY UNIT TRUST SHARE INDEX FUND
MLC MASTERKEY UNIT TRUST SHARE PORTFOLIO
MLC NOMINEES PTY LTD
MLC PE EVERGREEN TRUST
MLC PROPERTIES PTY. LIMITED
MLC SAVINGS LIMITED (IN LIQUIDATION)
MLC TRUST MANAGEMENT COMPANY LIMITED (IN LIQUIDATION)
MLC VC TRUST
MLC VINTAGE YEAR TRUST (2008)
MLC VINTAGE YEAR TRUST (2009)
MLC VINTAGE YEAR TRUST (2010)
MLC WEALTH MANAGEMENT LIMITED
MLC WHOLESALE AUSTRALIAN SHARE FUND
MLC WHOLESALE DIVERSIFIED DEBT FUND
MLCI HORIZON 7 TRUST
MLCI POOL - PRIVATE EQUITY TRUST
MLCIC TAILORED TRUST NO. 2
MLCIC TAILORED TRUST NO.1
MLCIC TAILORED TRUST NO.3
MOCKEY 7 PTY LIMITED (IN LIQUIDATION)
MONDEX AUSTRALIA PTY LTD (IN LIQUIDATION)
MORTGAGE SUPPORT SERVICES PTY LTD
MSRA HOLDINGS, INC
MSS MF PTY LTD

MSS MFA PTY LTD
MUDCHUTE PTY LIMITED
NAB ASSET MANAGEMENT LIMITED
NAB CAPITAL LLC
NAB EUROPE LIMITED
NAB GOLD, LLC
NAB INVESTMENTS LIMITED
NAB IRON, LLC
NAB PROPERTIES AUSTRALIA LIMITED
NABCAPITAL LEASING (NO. 1) PTY LIMITED (IN LIQUIDATION)
NABCAPITAL LEASING (NO.2) PTY LIMITED
NABICS INVESTMENTS PTY LTD (IN LIQUIDATION)
NABINVEST (UK) LIMITED
NABINVEST CAPITAL PARTNERS PTY LIMITED
NABINVEST OXLEY SINGAPORE PTE LTD
NABINVEST UK RE LIMITED
NABSECURITIES, LLC
NAM NT RAIL PTY LIMITED (IN LIQUIDATION)
NATIONAL AMERICAS CAPITAL INVESTMENTS, LLC
NATIONAL AMERICAS HOLDINGS LIMITED
NATIONAL AMERICAS HOLDINGS LLC
NATIONAL AMERICAS INVESTMENT, INC.
NATIONAL ASSET MANAGEMENT LIMITED
NATIONAL AUSTRALIA BALANCED FUND
NATIONAL AUSTRALIA BANK LIMITED
NATIONAL AUSTRALIA BANK PENSION TRUSTEE (UK) LIMITED
NATIONAL AUSTRALIA BANK REPRESENTAÇÕES LTDA (IN LIQUIDATION)
NATIONAL AUSTRALIA BANK SUPERANNUATION FUND PTY LTD
NATIONAL AUSTRALIA CORPORATE SERVICES LIMITED
NATIONAL AUSTRALIA DIVIDEND IMPUTATION FUND
NATIONAL AUSTRALIA FINANCE (ASIA) LIMITED
NATIONAL AUSTRALIA FINANCE (ASSET LEASING) LLP
NATIONAL AUSTRALIA FINANCE (COMMERCIAL LEASING) LIMITED
NATIONAL AUSTRALIA FINANCE (EQUIPMENT LEASING) LIMITED
NATIONAL AUSTRALIA FINANCE (EUROPE HOLDINGS) LIMITED
NATIONAL AUSTRALIA FINANCE (INFRASTRUCTURE LEASING NO.1) LIMITED
NATIONAL AUSTRALIA FINANCE (INFRASTRUCTURE LEASING NO.2) LIMITED
NATIONAL AUSTRALIA FINANCE (LEASING) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.1) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.2) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.3) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.4) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.5) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.6) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING) LIMITED
NATIONAL AUSTRALIA FINANCIAL MANAGEMENT LIMITED
NATIONAL AUSTRALIA FUNDING (DELAWARE) INC.
NATIONAL AUSTRALIA GROUP (NZ) LIMITED
NATIONAL AUSTRALIA GROUP EUROPE INVESTMENTS LIMITED
NATIONAL AUSTRALIA GROUP EUROPE LIMITED

NATIONAL AUSTRALIA GROUP EUROPE SERVICES LIMITED
NATIONAL AUSTRALIA GROUP SERVICES LTD
NATIONAL AUSTRALIA GROUP SSP TRUSTEE LIMITED
NATIONAL AUSTRALIA INSURANCE SERVICES LTD
NATIONAL AUSTRALIA INVESTMENT CAPITAL LIMITED
NATIONAL AUSTRALIA MANAGERS LIMITED
NATIONAL AUSTRALIA MERCHANT BANK (SINGAPORE) LIMITED
NATIONAL AUSTRALIA MONTHLY INCOME FUND
NATIONAL AUSTRALIA PKI HOLDINGS LLC
NATIONAL AUSTRALIA PROPERTY FUND
NATIONAL AUSTRALIA SECURITIES LIMITED (IN LIQUIDATION)
NATIONAL AUSTRALIA TRUSTEES LIMITED
NATIONAL AUSTRALIA UNDERWRITERS LIMITED
NATIONAL CAPITAL FUNDING (UK) PLC
NATIONAL CAPITAL HOLDINGS I INC.
NATIONAL CAPITAL INSTRUMENTS [AUD] LLC 2
NATIONAL CAPITAL INSTRUMENTS [EURO] LLC 1
NATIONAL CAPITAL INSTRUMENTS II LLC 2
NATIONAL CAPITAL INSTRUMENTS II LLC1
NATIONAL CAPITAL INSTRUMENTS [AUD] LLC 1
NATIONAL CAPITAL INSTRUMENTS [EURO] LLC 2
NATIONAL CAPITAL TRUST I
NATIONAL CAPITAL TRUST II (DELAWARE)
NATIONAL CAPITAL TRUST III
NATIONAL E-CONVEYANCING DEVELOPMENT LIMITED
NATIONAL EQUITIES LIMITED
NATIONAL EUROPE HOLDINGS (WEALTH MANAGEMENT) LIMITED (IN LIQUIDATION)
NATIONAL FUNDING HOLDINGS PTY LTD
NATIONAL FUNDING TRUST I
NATIONAL FUNDING TRUST II (DELAWARE)
NATIONAL GLOBAL MBS MANAGER PTY LTD (IN LIQUIDATION)
NATIONAL HK INVESTMENTS LIMITED
NATIONAL MARGIN SERVICES PTY LIMITED
NATIONAL MARKETS GROUP LIMITED
NATIONAL MORTGAGE SECURITIES, INC.
NATIONAL NOMINEES LIMITED
NATIONAL OBU PTY LIMITED
NATIONAL WEALTH MANAGEMENT EUROPE HOLDINGS LIMITED
NATIONAL WEALTH MANAGEMENT EUROPE SERVICES LIMITED (IN LIQUIDATION)
NATIONAL WEALTH MANAGEMENT HOLDINGS LIMITED
NATIONAL WEALTH MANAGEMENT INTERNATIONAL HOLDINGS LTD
NATIONAL WEALTH MANAGEMENT NEW ZEALAND HOLDINGS LTD
NATIONAL WEALTH MANAGEMENT SERVICES LTD
NAUTILUS INSURANCE (EUROPE) LIMITED
NAUTILUS INSURANCE PTE LIMITED
NAVIGATOR AUSTRALIA LIMITED
NAVIGATOR INCOME TRUST
NBA LEASING PTY. LIMITED
NBA PROPERTIES LIMITED
NCIT- PROPERTY SECURITIES TRUST

NCP-CAPITAL INTERNATIONAL GLOBAL EQUITY TRUST WITH CURRENCY
NMS NOMINEES PTY LIMITED
NORTHBRIDGE APARTMENTS LIMITED PARTNERSHIP
NORTHWARD CAPITAL AUSTRALIAN EQUITY
NORTHWARD CAPITAL PTY LIMITED
NSIM ENHANCED CASH TRUST
NULIS NOMINEES (AUSTRALIA) LIMITED
NWMH SUB (CANADA) INC
NWMH SUB LIMITED
PARTOWN PTY. LIMITED
PAYMARK LIMITED
PAYMENTS NZ LIMITED
PENGANA HOLDINGS PTY LTD
PENNLEY PTY LTD
PERIDIEM GLOBAL INVESTORS LLC
PFS NOMINEES PTY LIMITED
PLAN AUSTRALIA FINANCIAL SERVICES PTY. LTD (IN LIQUIDATION)
PLAN AUSTRALIA OPERATIONS PTY. LTD.
PLAN AUSTRALIA PTY LTD (IN LIQUIDATION)
PLAN GROUP NOMINEES PTY LTD
PLAN LENDING PTY. LTD.
PLAN TECHNOLOGIES PTY. LTD (IN LIQUIDATION)
PLAZA VENTURES LIMITED
PLUM FINANCIAL SERVICES LIMITED
PMJI INC.
PORTSMOUTH INVESTMENT COMPANY PTY LIMITED (IN LIQUIDATION)
PRE SELECT AUSTRALIAN EQUITY FUND
PRE SELECT AUSTRALIAN SMALL COMPANIES FUND
PRE SELECT BALANCED FUND
PRE SELECT BOUTIQUE AUSTRALIAN EQUITY FUND
PRE SELECT CONSERVATIVE FUND
PRE SELECT GROWTH FUND
PRE SELECT HIGH GROWTH FUND
PRE SELECT INTERNATIONAL EQUITY FUND
PRESIMA INC.
PROFESSIONAL LENDERS ASSOCIATION NETWORK OF AUSTRALIA PTY. LTD.
PUGET LIMITED
QW RAIL LEASING LIMITED
REDPOINT INVESTMENT MANAGEMENT PTY LTD
RMBS 2006-2 FINANCE COMPANY PTY LIMITED (IN LIQUIDATION)
RMBS 2006-2 HOLDINGS PTY LIMITED (IN LIQUIDATION)
RMBS 2006-2 INVESTMENT COMPANY PTY LTD (IN LIQUIDATION)
SECURITY BANC SERVICES GROUP INC.
SHADWELL HOLDINGS (UK) LIMITED
SOCIETY FOR WORLDWIDE INTERBANK FINANCIAL TELECOMMUNICATION
ST JOHNS PLACE RESIDENTIAL MANAGEMENT COMPANY LIMITED
ST VINCENT (EQUITIES) LIMITED
STAR COMMODITIES PTY LTD
STARFISH COMPANY LIMITED
TASOVAC PTY LIMITED

TEMPCO NO.1
THE DEEP IN HULL LIMITED
THE PUGET LIMITED PARTNERSHIP
THE SCOTTISH AGRICULTURAL SECURITIES CORPORATION P.L.C.
THE TRUSTEE FOR NCIT - GLOBAL EQUITIES TRUST NO 2
THE TRUSTEE FOR WM POOL - EQUITIES TRUST NO. 35
THE TRUSTEE FOR WM POOL - EQUITIES TRUST NO. 36
THE TRUSTEE FOR WM POOL - LTAR PART 1 NS TRUST
TIERONE REINSURANCE COMPANY
TMS CORPORATION OF THE AMERICAS
TOKARA PTY LTD
TOP 200 FUND
VALEWIN PTY. LIMITED
VALLEY HEIGHTS LINCOLN LLC
VIPRO PTY LTD
VISA INTERNATIONAL
VYNOTAS PTY. LTD.
W M POOL - EQUITIES NO. 23 TRUST
WEALTHHUB SECURITIES LIMITED
WEALTHHUB SECURITIES NOMINEES PTY LIMITED
WHOLESALE INCOME BUILDER
WHOLESALE AUSTRALIAN SHARE GROWTH STYLE FUND
WHOLESALE AUSTRALIAN SHARE VALUE STYLE FUND
WHOLESALE CAPITAL INTERNATIONAL GLOBAL SHARE FUND
WHOLESALE GLOBAL SHARE FUND
WHOLESALE GLOBAL SHARE GROWTH STYLE FUND
WHOLESALE GLOBAL SHARE VALUE STYLE FUND
WHOLESALE PROPERTY SECURITIES FUND
WILTSHIRE CAPITAL LIMITED
WILTSHIRE CAPITAL MANAGEMENT LIMITED
WINTERGREEN REAL ESTATE HOLDINGS, LLC
WM AUSTRALIA PTY LIMITED
WM FIXED ASSETS (2) PTY LIMITED
WM GROUP PTY LIMITED
WM LIFE AUSTRALIA LIMITED
WM POOL - BANK OF IRELAND GLOBAL EQUITIES TRUST
WM POOL - BEM AGGRESSIVE AUSTRALIAN EQUITIES TRUST
WM POOL - BEM AUSTRALIAN EQUITIES TRUST
WM POOL - BLACKROCK GLOBAL FIXED INTEREST (S) TRUST
WM POOL - BRIDGEWATER GLOBAL FIXED INTEREST TRUST
WM POOL - CAPITAL INTERNATIONAL GLOBAL EQUITIES TRUST
WM POOL - CONCORD AUSTRALIAN EQUITIES TRUST
WM POOL - CONTANGO AUSTRALIAN EQUITIES TRUST
WM POOL - DIMENSIONAL AUSTRALIAN EQUITIES TRUST
WM POOL - EQUITIES TRUST NO 14
WM POOL - EQUITIES TRUST NO 20
WM POOL - EQUITIES TRUST NO. 15
WM POOL - EQUITIES TRUST NO. 16
WM POOL - EQUITIES TRUST NO. 17
WM POOL - EQUITIES TRUST NO. 18

WM POOL - EQUITIES TRUST NO. 19
WM POOL - EQUITIES TRUST NO. 21
WM POOL - EQUITIES TRUST NO. 22
WM POOL - EQUITIES TRUST NO. 26
WM POOL - EQUITIES TRUST NO. 27
WM POOL - EQUITIES TRUST NO. 28
WM POOL - EQUITIES TRUST NO. 31
WM POOL - EQUITIES TRUST NO. 32
WM POOL - EQUITIES TRUST NO. 33
WM POOL - EQUITIES TRUST NO. 34
WM POOL - EQUITIES TRUST NO.1
WM POOL - EQUITIES TRUST NO.11
WM POOL - EQUITIES TRUST NO.2
WM POOL - EQUITIES TRUST NO.9
WM POOL - FIXED INTEREST TRUST NO 1
WM POOL - FIXED INTEREST TRUST NO. 15
WM POOL - FIXED INTEREST TRUST NO. 3
WM POOL - FIXED INTEREST TRUST NO. 5
WM POOL - FIXED INTEREST TRUST NO. 6
WM POOL - FIXED INTEREST TRUST NO. 7
WM POOL - FIXED INTEREST TRUST NO. 8
WM POOL - FIXED INTEREST TRUST NO. 9
WM POOL - GLOBAL EQUITIES TRUST NO 1
WM POOL - GLOBAL EQUITIES TRUST NO. 4
WM POOL - GLOBAL PROPERTIES TRUST
WM POOL - HIGH YIELD FIXED INTEREST TRUST
WM POOL - INFLATION LINKED SECURITIES TRUST
WM POOL - JARDINE FLEMING AUSTRALIAN EQUITIES TRUST
WM POOL - LAZARD AUSTRALIAN EQUITIES TRUST
WM POOL - LTAR PART 1 TRUST
WM POOL - MARVIN & PALMER EMERGING MARKETS TRUST
WM POOL - MBA AUSTRALIAN EQUITIES TRUST
WM POOL - NSIM AUSTRALIAN FIXED INTEREST (A) TRUST
WM POOL - NSIM AUSTRALIAN FIXED INTEREST (S) TRUST
WM POOL - NSIM CASH TRUST
WM POOL - PIMCO GLOBAL FIXED INTEREST (A) TRUST
WM POOL - PIMCO GLOBAL FIXED INTEREST (S) TRUST
WM POOL - PROPERTY SECURITIES TRUST NO 1
WM POOL - RESOLUTION CAPITAL PROPERTY SECURITIES TRUST
WM POOL - UBS AUSTRALIAN FIXED INTEREST (A) TRUST
WM POOL - UBS AUSTRALIAN FIXED INTEREST (S) TRUST
WM POOL - VANGUARD AUSTRALIAN EQUITIES INDEX TRUST
WM POOL - VANGUARD GLOBAL EQUITIES INDEX TRUST
WM POOL - WALLARA AUSTRALIAN EQUITIES TRUST
WM POOL - WELLINGTON GLOBAL EQUITIES TRUST
WM POOL EQUITIES TRUST NO 12
WM POOL GLOBAL EQUITIES TRUST NO 5
WM SECTOR - AUSTRALIAN EQUITIES GROWTH TRUST
WM SECTOR - AUSTRALIAN EQUITIES TRUST
WM SECTOR - AUSTRALIAN EQUITIES VALUE TRUST

WM SECTOR - AUSTRALIAN FIXED INTEREST TRUST

WM SECTOR - DIVERSIFIED DEBT (ALL) TRUST

WORKING CAPITAL SERVICES PTY LIMITED

WORKING CAPITAL SERVICES UK LIMITED (IN LIQUIDATION)

XERO LIMITED

YCBPS PROPERTY NOMINEE COMPANY LIMITED

YELDBROKER PTY LIMITED

YORKSHIRE AND CLYDESDALE BANK FOUNDATION

YORKSHIRE AND CLYDESDALE BANK PENSION TRUSTEE LIMITED

YORKSHIRE BANK HOME LOANS LIMITED

YORKSHIRE BANK INVESTMENTS LIMITED (IN LIQUIDATION)

YORKSHIRE BANK PLC
