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**Form 603**  
Corporations Act 2001  
Section 671B

**Notice of initial substantial holder**

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To Company Name/Scheme **Dick Smith Holdings Limited**

ACN/ARSN **166 237 841**

**1. Details of substantial shareholder**

Name **Deutsche Bank AG and its related bodies corporate (together the "Deutsche Bank Group")**

ACN/ARSN (if applicable) **064 165 162**

The holder became a substantial holder on **23 January 2015**

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date of the substantial holder became a substantial holder are as follows:

<b>Class of securities (4)</b>	<b>Number of securities</b>	<b>Person's votes (5)</b>	<b>Voting power (6)</b>
Ordinary shares	11,910,948	11,910,948	5.04%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

<b>Holder of relevant interest</b>	<b>Nature of relevant interest (7)</b>	<b>Class and number of securities (Ordinary shares)</b>
Deutsche Securities Australia Ltd	Power to dispose of, or control the exercise of a power to dispose of, the securities held pursuant to securities lending agreements  Refer to Schedule 2	1,452,593
Deutsche Bank AG, London Branch	Power to dispose of, or control the exercise of a power to dispose of, the securities held pursuant to securities lending agreements  Refer to Schedule 2	9,916,400
Deutsche Bank Securities Inc.	Power to dispose of, or control the exercise of a power to dispose of, the securities held pursuant to securities lending agreements  Refer to Schedule 2	500,000
Deutsche Asset & Wealth Management Investment GmbH	Power to dispose of, or control the exercise of a power to dispose of, the securities held in capacity as investment manager	41,955

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities (Ordinary shares)
Deutsche Securities Australia Ltd	Bainpro Nominees Pty Limited	Deutsche Securities Australia Ltd	19
	Unknown		1,452,574
Deutsche Bank AG, London Branch	Unknown	Deutsche Bank AG, London Branch	9,916,400
Deutsche Bank Securities Inc.	Unknown	Deutsche Bank Securities Inc.	500,000
Deutsche Asset & Wealth Management Investment GmbH	Unknown	Deutsche Asset & Wealth Management Investment GmbH	41,955

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please refer to Schedule 1				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Deutsche Securities Australia Ltd	The entity is ultimately controlled by Deutsche Bank AG
Deutsche Bank Securities Inc.	The entity is ultimately controlled by Deutsche Bank AG
Deutsche Asset & Wealth Management Investment GmbH	The entity is ultimately controlled by Deutsche Bank AG

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Deutsche Bank AG, Sydney Branch	Level 16, Deutsche Bank Place, Corner Hunter and Philip Street, Sydney, NSW, Australia 2000
Bainpro Nominees Pty Limited	Level 16, Deutsche Bank Place, Corner Hunter and Philip Street, Sydney, NSW, Australia 2000
Deutsche Securities Australia Ltd	Level 16, Deutsche Bank Place, Corner Hunter and Philip Street, Sydney, NSW, Australia 2000
Deutsche Bank AG, London Branch	Winchester House, 1 Great Winchester Street, London, United Kingdom EC2N 2DB
Deutsche Bank Securities Inc.	60 Wall Street, New York, USA 10005
Deutsche Asset & Wealth Management Investment GmbH	Mainzer Landstraße 178-190, Frankfurt, Hessen, Germany 60327



**Signature**

Name Fiona Cheng

Deutsche Bank AG, Hong Kong Branch

28 January 2015

Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
24/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	2,451	2,451
24/09/2014	Deutsche Securities Australia Ltd	Buy	2.24	92	92
24/09/2014	Deutsche Securities Australia Ltd	Buy	2.24	36	36
24/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	9	9
25/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.22	1,639	1,639
25/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	28	28
25/09/2014	Deutsche Securities Australia Ltd	Buy	2.22	18	18
25/09/2014	Deutsche Securities Australia Ltd	Buy	2.21	8	8
26/09/2014	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	1,000,000	1,000,000
26/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.21	2,332	2,332
26/09/2014	Deutsche Securities Australia Ltd	Buy	2.16	18	18
26/09/2014	Deutsche Securities Australia Ltd	Buy	2.19	8	8
29/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.17	185	185
29/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.21	49	49
29/09/2014	Deutsche Securities Australia Ltd	Buy	2.18	29	29
29/09/2014	Deutsche Securities Australia Ltd	Buy	2.16	23	23
30/09/2014	Deutsche Securities Australia Ltd	Buy	2.19	694	694
30/09/2014	Deutsche Securities Australia Ltd	Buy	2.19	50	50
30/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.20	8	8
30/09/2014	Deutsche Bank AG, Sydney branch	Buy	2.19	8	8
01/10/2014	Deutsche Securities Australia Ltd	Buy	2.18	23	23
01/10/2014	Deutsche Securities Australia Ltd	Buy	2.15	20	20
02/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.18	360	360
02/10/2014	Deutsche Securities Australia Ltd	Buy	2.18	48	48
02/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.18	34	34
03/10/2014	Deutsche Securities Australia Ltd	Buy	2.15	208	208
03/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.14	71	71
03/10/2014	Deutsche Securities Australia Ltd	Buy	2.12	28	28
03/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.18	4	4
06/10/2014	Deutsche Securities Australia Ltd	Buy	2.09	143	143
06/10/2014	Deutsche Securities Australia Ltd	Buy	2.09	69	69
07/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.10	1,488	1,488
07/10/2014	Deutsche Securities Australia Ltd	Buy	2.09	37	37
07/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.08	24	24
07/10/2014	Deutsche Securities Australia Ltd	Buy	2.10	10	10
08/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.11	350	350
08/10/2014	Deutsche Securities Australia Ltd	Buy	2.10	120	120
08/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.12	14	14
08/10/2014	Deutsche Securities Australia Ltd	Buy	2.14	11	11
09/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.09	262	262
09/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.11	5	5
09/10/2014	Deutsche Securities Australia Ltd	Buy	2.08	2	2
10/10/2014	Deutsche Securities Australia Ltd	Buy	2.05	206	206
10/10/2014	Deutsche Securities Australia Ltd	Buy	2.05	10	10
13/10/2014	Deutsche Securities Australia Ltd	Buy	2.01	33	33
14/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.07	123	123
14/10/2014	Deutsche Securities Australia Ltd	Buy	2.06	113	113
14/10/2014	Deutsche Securities Australia Ltd	Buy	2.07	58	58
15/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.15	1,967	1,967
15/10/2014	Deutsche Securities Australia Ltd	Buy	2.16	102	102
15/10/2014	Deutsche Securities Australia Ltd	Buy	2.17	30	30
15/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.14	24	24
16/10/2014	Deutsche Securities Australia Ltd	Buy	2.10	67	67
16/10/2014	Deutsche Securities Australia Ltd	Buy	2.10	67	67
17/10/2014	Deutsche Securities Australia Ltd	Buy	2.07	17	17
17/10/2014	Deutsche Securities Australia Ltd	Buy	2.05	6	6
20/10/2014	Deutsche Securities Australia Ltd	Buy	2.10	48	48
20/10/2014	Deutsche Securities Australia Ltd	Buy	2.11	41	41

Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
21/10/2014	Deutsche Bank Securities Inc	Stock borrow from State Street Bank And Trust Company (Refer to Schedule 2)	N/A	500,000	500,000
21/10/2014	Deutsche Bank AG, London Branch	Stock borrow from HSBC Securities Services (Refer to Schedule 2)	N/A	230,000	230,000
21/10/2014	Deutsche Bank AG, London Branch	Stock borrow from The Bank of New York Mellon (Refer to Schedule 2)	N/A	120,000	120,000
21/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.02	199	199
21/10/2014	Deutsche Securities Australia Ltd	Buy	2.07	101	101
21/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.00	35	35
21/10/2014	Deutsche Securities Australia Ltd	Buy	2.10	22	22
22/10/2014	Deutsche Securities Australia Ltd	Buy	2.06	12	12
22/10/2014	Deutsche Securities Australia Ltd	Buy	2.06	1	1
23/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.06	646	646
23/10/2014	Deutsche Securities Australia Ltd	Buy	2.07	63	63
23/10/2014	Deutsche Securities Australia Ltd	Buy	2.07	26	26
24/10/2014	Deutsche Securities Australia Ltd	Buy	2.12	4,231	4,231
24/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.10	143	143
24/10/2014	Deutsche Securities Australia Ltd	Buy	2.11	17	17
28/10/2014	Deutsche Securities Australia Ltd	Buy	2.11	17	17
28/10/2014	Deutsche Securities Australia Ltd	Buy	2.12	14	14
29/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.15	167,926	167,926
29/10/2014	Deutsche Securities Australia Ltd	Buy	2.17	57	57
29/10/2014	Deutsche Securities Australia Ltd	Buy	2.19	35	35
29/10/2014	Deutsche Bank AG, Sydney branch	Buy	2.11	1	1
30/10/2014	Deutsche Securities Australia Ltd	Buy	2.17	28	28
30/10/2014	Deutsche Securities Australia Ltd	Buy	2.17	19	19
31/10/2014	Deutsche Securities Australia Ltd	Buy	2.17	73	73
31/10/2014	Deutsche Securities Australia Ltd	Buy	2.16	10	10
03/11/2014	Deutsche Securities Australia Ltd	Buy	2.18	22	22
03/11/2014	Deutsche Securities Australia Ltd	Buy	2.18	18	18
04/11/2014	Deutsche Securities Australia Ltd	Buy	2.17	10	10
04/11/2014	Deutsche Securities Australia Ltd	Buy	2.17	3	3
05/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.14	41	41
05/11/2014	Deutsche Securities Australia Ltd	Buy	2.13	14	14
06/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.20	197	197
06/11/2014	Deutsche Securities Australia Ltd	Buy	2.16	4	4
07/11/2014	Deutsche Securities Australia Ltd	Buy	2.17	20	20
07/11/2014	Deutsche Securities Australia Ltd	Buy	2.17	3	3
10/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	7,141	7,141
10/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	22	22
10/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	13	13
11/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	14	14
12/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	13	13
12/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	10	10
13/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.26	500	500
13/11/2014	Deutsche Securities Australia Ltd	Buy	2.25	25	25
13/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	24	24
14/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.19	264	264
14/11/2014	Deutsche Securities Australia Ltd	Buy	2.21	14	14
17/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.23	35	35
17/11/2014	Deutsche Securities Australia Ltd	Buy	2.22	1	1
18/11/2014	Deutsche Bank AG, London Branch	Stock borrow from Citibank, N.A., London Branch (Refer to Schedule 2)	N/A	638,000	638,000

Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
18/11/2014	Deutsche Bank AG, London Branch	Stock borrow from Citibank, N.A., London Branch (Refer to Schedule 2)	N/A	200,000	200,000
18/11/2014	Deutsche Bank AG, London Branch	Stock borrow from Citibank, N.A., London Branch (Refer to Schedule 2)	N/A	73,000	73,000
18/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.25	284	284
18/11/2014	Deutsche Securities Australia Ltd	Buy	2.26	70	70
18/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.25	1	1
19/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	63,620	63,620
19/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	2,367	2,367
19/11/2014	Deutsche Securities Australia Ltd	Buy	2.26	15	15
19/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.25	1	1
20/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.25	129,830	129,830
21/11/2014	Deutsche Securities Australia Ltd	Buy	2.21	10	10
24/11/2014	Deutsche Securities Australia Ltd	Buy	2.19	1,006	1,006
24/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.20	5	5
24/11/2014	Deutsche Securities Australia Ltd	Buy	2.20	2	2
25/11/2014	Deutsche Bank AG, London Branch	Stock borrow from The Bank of New York Mellon (Refer to Schedule 2)	N/A	194,635	194,635
25/11/2014	Deutsche Bank AG, London Branch	Stock borrow from The Bank of New York Mellon (Refer to Schedule 2)	N/A	55,365	55,365
25/11/2014	Deutsche Securities Australia Ltd	Buy	2.20	94	94
25/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.21	81	81
25/11/2014	Deutsche Securities Australia Ltd	Buy	2.20	36	36
26/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.23	61	61
26/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	6	6
26/11/2014	Deutsche Securities Australia Ltd	Buy	2.23	5	5
27/11/2014	Deutsche Bank AG, London Branch	Stock borrow from Citibank, N.A., London Branch (Refer to Schedule 2)	N/A	465,000	465,000
27/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.27	480	480
27/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	32	32
27/11/2014	Deutsche Securities Australia Ltd	Buy	2.27	6	6
28/11/2014	Deutsche Securities Australia Ltd	Buy	2.24	49	49
28/11/2014	Deutsche Securities Australia Ltd	Buy	2.24	48	48
28/11/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	29	29
01/12/2014	Deutsche Securities Australia Ltd	Buy	2.21	51	51
01/12/2014	Deutsche Securities Australia Ltd	Buy	2.20	34	34
01/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.24	7	7
02/12/2014	Deutsche Securities Australia Ltd	Buy	2.18	23	23
02/12/2014	Deutsche Securities Australia Ltd	Buy	2.18	19	19
03/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.21	25,900	25,900
03/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.19	12	12
03/12/2014	Deutsche Securities Australia Ltd	Buy	2.20	11	11
03/12/2014	Deutsche Securities Australia Ltd	Buy	2.20	10	10
04/12/2014	Deutsche Securities Australia Ltd	Buy	2.20	9	9
05/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.12	9,525	9,525
05/12/2014	Deutsche Securities Australia Ltd	Buy	2.13	40	40
05/12/2014	Deutsche Securities Australia Ltd	Buy	2.13	40	40
08/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.14	273	273
08/12/2014	Deutsche Securities Australia Ltd	Buy	2.14	6	6
08/12/2014	Deutsche Securities Australia Ltd	Buy	2.14	6	6
08/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.13	2	2

Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
09/12/2014	Deutsche Securities Australia Ltd	Stock borrow from BNP Paribas Fund Services Securities Pty Limited (Refer to Schedule 2)	N/A	186,000	186,000
09/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.11	2,555	2,555
09/12/2014	Deutsche Securities Australia Ltd	Buy	2.15	1,501	1,501
09/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.15	784	784
09/12/2014	Deutsche Securities Australia Ltd	Buy	2.13	30	30
10/12/2014	Deutsche Securities Australia Ltd	Buy	2.11	8	8
10/12/2014	Deutsche Securities Australia Ltd	Buy	2.11	5	5
11/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.15	910	910
11/12/2014	Deutsche Securities Australia Ltd	Buy	2.16	16	16
11/12/2014	Deutsche Securities Australia Ltd	Buy	2.14	5	5
12/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.12	2,182	2,182
12/12/2014	Deutsche Securities Australia Ltd	Buy	2.13	3	3
12/12/2014	Deutsche Securities Australia Ltd	Buy	2.14	1	1
12/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.13	1	1
15/12/2014	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	50,000	50,000
15/12/2014	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	37,000	37,000
15/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.10	25,000	25,000
15/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.10	8,000	8,000
15/12/2014	Deutsche Securities Australia Ltd	Buy	2.10	8	8
15/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.13	3	3
15/12/2014	Deutsche Securities Australia Ltd	Buy	2.10	3	3
16/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	12	12
16/12/2014	Deutsche Securities Australia Ltd	Buy	2.06	2	2
17/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.07	29,443	29,443
17/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.10	13,958	13,958
17/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.09	359	359
17/12/2014	Deutsche Securities Australia Ltd	Buy	2.10	34	34
17/12/2014	Deutsche Securities Australia Ltd	Buy	2.12	14	14
18/12/2014	Deutsche Securities Australia Ltd	Buy	2.10	2,732	2,732
18/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.09	820	820
18/12/2014	Deutsche Securities Australia Ltd	Buy	2.10	18	18
22/12/2014	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	500,000	500,000
22/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	1,795	1,795
22/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	29	29
22/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	11	11
23/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.06	10	10
23/12/2014	Deutsche Securities Australia Ltd	Buy	2.06	7	7
23/12/2014	Deutsche Securities Australia Ltd	Buy	2.06	7	7
23/12/2014	Deutsche Securities Australia Ltd	Buy	2.06	7	7
24/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	11	11
24/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	10	10
24/12/2014	Deutsche Securities Australia Ltd	Buy	2.06	1	1
29/12/2014	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	100,000	100,000
29/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	10,830	10,830
29/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.09	5,000	5,000
29/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	575	575

Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
29/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.07	227	227
29/12/2014	Deutsche Securities Australia Ltd	Buy	2.08	32	32
29/12/2014	Deutsche Securities Australia Ltd	Buy	2.07	10	10
30/12/2014	Deutsche Securities Australia Ltd	Buy	2.09	85	85
30/12/2014	Deutsche Securities Australia Ltd	Buy	2.09	69	69
30/12/2014	Deutsche Bank AG, Sydney branch	Buy	2.10	38	38
30/12/2014	Deutsche Securities Australia Ltd	Buy	2.09	29	29
31/12/2014	Deutsche Securities Australia Ltd	Buy	2.09	11	11
31/12/2014	Deutsche Securities Australia Ltd	Buy	2.09	10	10
02/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.09	504	504
02/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	9	9
02/01/2015	Deutsche Securities Australia Ltd	Buy	2.10	8	8
05/01/2015	Deutsche Bank AG, London Branch	Stock borrow from Allamanna Pensionsfonden, Första, Andra Och Tredje Fondstyrelserna (National Swedish pension Fund, First, Second and Third Fund Boards) (Refer to Schedule 2)	N/A	800,000	800,000
05/01/2015	Deutsche Bank AG, London Branch	Stock borrow from Allamanna Pensionsfonden, Första, Andra Och Tredje Fondstyrelserna (National Swedish pension Fund, First, Second and Third Fund Boards) (Refer to Schedule 2)	N/A	250,000	250,000
05/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.10	559	559
05/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	31	31
05/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	31	31
05/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	26	26
05/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	4	4
06/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.04	7,861	7,861
06/01/2015	Deutsche Securities Australia Ltd	Buy	2.08	501	501
06/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	14	14
06/01/2015	Deutsche Securities Australia Ltd	Buy	2.07	9	9
06/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.10	7	7
07/01/2015	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	100,000	100,000
07/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.03	6,000	6,000
07/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.00	2,370	2,370
07/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	119	119
07/01/2015	Deutsche Securities Australia Ltd	Buy	2.05	9	9
08/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	1,000,000	1,000,000
08/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.05	25,450	25,450
08/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	53	53
08/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	42	42
08/01/2015	Deutsche Securities Australia Ltd	Buy	2.05	36	36
08/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	32	32

Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
09/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	20,000	20,000
09/01/2015	Deutsche Securities Australia Ltd	Buy	2.08	48	48
09/01/2015	Deutsche Securities Australia Ltd	Buy	2.06	29	29
09/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	5	5
09/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.06	1	1
12/01/2015	Deutsche Securities Australia Ltd	Buy	2.10	107	107
12/01/2015	Deutsche Securities Australia Ltd	Buy	2.10	16	16
12/01/2015	Deutsche Securities Australia Ltd	Buy	2.10	10	10
13/01/2015	Deutsche Bank AG, London Branch	Stock borrow from The Bank of New York Mellon (Refer to Schedule 2)	N/A	150,000	150,000
13/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	20,000	20,000
13/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	66	66
13/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.08	43	43
13/01/2015	Deutsche Securities Australia Ltd	Buy	2.10	33	33
13/01/2015	Deutsche Securities Australia Ltd	Buy	2.09	30	30
14/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.10	4,553	4,553
14/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.12	217	217
14/01/2015	Deutsche Securities Australia Ltd	Buy	2.11	157	157
14/01/2015	Deutsche Securities Australia Ltd	Buy	2.11	154	154
14/01/2015	Deutsche Securities Australia Ltd	Buy	2.11	44	44
15/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	50,000	50,000
15/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.12	360	360
15/01/2015	Deutsche Securities Australia Ltd	Buy	2.12	80	80
15/01/2015	Deutsche Securities Australia Ltd	Buy	2.12	20	20
15/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.11	13	13
15/01/2015	Deutsche Securities Australia Ltd	Buy	2.12	2	2
16/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	120,000	120,000
16/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.07	1,782	1,782
16/01/2015	Deutsche Securities Australia Ltd	Buy	2.08	89	89
16/01/2015	Deutsche Securities Australia Ltd	Buy	2.08	76	76
16/01/2015	Deutsche Securities Australia Ltd	Buy	2.08	53	53
16/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.14	1	1
19/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	90,800	90,800
19/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.03	1,991	1,991
19/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	38	38
19/01/2015	Deutsche Securities Australia Ltd	Buy	2.04	13	13
19/01/2015	Deutsche Securities Australia Ltd	Buy	2.07	9	9
20/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	105,000	105,000
20/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.02	416	416
20/01/2015	Deutsche Securities Australia Ltd	Buy	2.02	113	113
20/01/2015	Deutsche Securities Australia Ltd	Buy	2.02	45	45
21/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.02	4,876	4,876

## Schedule 1

Date of change	Holder of relevant interest	Nature of change	Consideration given in relation to change	Class and number of securities affected (Ordinary Shares)	Person's votes affected
21/01/2015	Deutsche Securities Australia Ltd	Buy	2.02	71	71
21/01/2015	Deutsche Securities Australia Ltd	Buy	2.02	65	65
21/01/2015	Deutsche Securities Australia Ltd	Buy	2.03	6	6
22/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.03	5,000	5,000
22/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.03	2,501	2,501
22/01/2015	Deutsche Securities Australia Ltd	Buy	2.03	85	85
22/01/2015	Deutsche Securities Australia Ltd	Buy	2.03	75	75
22/01/2015	Deutsche Securities Australia Ltd	Buy	2.03	67	67
23/01/2015	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	100,000	100,000
23/01/2015	Deutsche Bank AG, London Branch	Stock borrow from JP Morgan Chase Bank N.A. (Refer to Schedule 2)	N/A	100,000	100,000
23/01/2015	Deutsche Securities Australia Ltd	Stock borrow from National Australia Bank Limited (Refer to Schedule 2)	N/A	62,000	62,000
23/01/2015	Deutsche Bank AG, Sydney branch	Buy	2.05	22,300	22,300
23/01/2015	Deutsche Securities Australia Ltd	Buy	2.05	240	240
23/01/2015	Deutsche Securities Australia Ltd	Buy	2.05	223	223
23/01/2015	Deutsche Securities Australia Ltd	Buy	2.05	35	35

## Schedule 2

<b>Type of Agreement:</b>	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
<b>Parties to Agreement:</b>	(1) Deutsche Securities Australia Limited (2) BNP Paribas Fund Services Securities Pty Limited	(1) Deutsche Securities Australia Limited (2) Merrill Lynch Equities (Australia) Limited	(1) Deutsche Securities Australia Limited (2) National Australia Bank Limited	(1) Deutsche Bank AG, London Branch (2) CIBC Toronto
<b>Transfer date:</b>	9 December 2014	4 September 2014	29 December 2014 8 January 2015 9 January 2015 13 January 2015 15 January 2015 16 January 2015 19 January 2015 20 January 2015 23 January 2015	11 April 2014
<b>Holder of voting rights:</b>	Registered holder	Registered holder	Registered holder	Registered holder
<b>Are there any restrictions on voting rights:</b>	No	No	No	No
<b>If yes, detail:</b>	N/A	N/A	N/A	N/A
<b>Scheduled return date (if any):</b>	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)
<b>Does the borrower have the right to return early:</b>	Yes	Yes	Yes	Yes
<b>If yes, detail:</b>	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)
<b>Does the lender have the right to recall early:</b>	Yes	Yes	Yes	Yes
<b>If yes, detail:</b>	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)
<b>Will the securities be returned on settlement:</b>	Yes	Yes	Yes	Yes
<b>If yes, detail any exceptions:</b>	N/A	N/A	N/A	N/A



## Schedule 2

<b>Type of Agreement:</b>	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
<b>Parties to Agreement:</b>	(1) Deutsche Bank AG, London Branch (2) Citibank, N.A., London Branch	(1) Deutsche Bank AG, London Branch (2) JP Morgan Chase Bank N.A.	(1) Deutsche Bank AG, London Branch (2) Bank of New York Mellon	(1) Deutsche Bank AG, London Branch (2) RBC Dexia Investor Services Trust
<b>Transfer date:</b>	11 April 2014 29 April 2014 18 November 2014 27 November 2014	8 September 2014 26 September 2014 15 December 2014 22 December 2014 7 January 2015 23 January 2015	21 October 2014 25 November 2014 13 January 2015	9 July 2014
<b>Holder of voting rights:</b>	Registered holder	Registered holder	Registered holder	Registered holder
<b>Are there any restrictions on voting rights:</b>	No	No	No	No
If yes, detail:	N/A	N/A	N/A	N/A
<b>Scheduled return date (if any):</b>	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)
<b>Does the borrower have the right to return early:</b>	Yes	Yes	Yes	Yes
If yes, detail:	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)
<b>Does the lender have the right to recall early:</b>	Yes	Yes	Yes	Yes
If yes, detail:	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)
<b>Will the securities be returned on settlement:</b>	Yes	Yes	Yes	Yes
If yes, detail any exceptions:	N/A	N/A	N/A	N/A

## Schedule 2

<b>Type of Agreement:</b>	Securities Lending Agreement	Securities Lending Agreement	Securities Lending Agreement
<b>Parties to Agreement:</b>	(1) Deutsche Bank AG, London Branch (2) HSBC Securities Services	(1) Deutsche Bank AG, London Branch (2) Allamäna Pensionsfonden, Första, Andra Och Tredje Fondstyrelserna (National Swedish pension Fund, First, Second and Third Fund Boards)	1) Deutsche Bank Securities Inc. (2) State Street Bank and Trust Company
<b>Transfer date:</b>	21 October 2014	5 January 2015	21 October 2014
<b>Holder of voting rights:</b>	Registered holder	Registered holder	Registered holder
<b>Are there any restrictions on voting rights:</b>	No	No	No
If yes, detail:	N/A	N/A	N/A
<b>Scheduled return date (if any):</b>	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)	None (subject to the terms of the relevant loan)
<b>Does the borrower have the right to return early:</b>	Yes	Yes	Yes
If yes, detail:	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)	The borrower has the right to return at any time (subject to the terms of the relevant loan)
<b>Does the lender have the right to recall early:</b>	Yes	Yes	Yes
If yes, detail:	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)	The lender has the right to recall at any time (subject to the terms of the relevant loan)
<b>Will the securities be returned on settlement:</b>	Yes	Yes	Yes
If yes, detail any exceptions:	N/A	N/A	N/A