

10 July 2015

Level 19, 31 Queen Street Melbourne VIC 3000 Australia telephone 03 8616 1957 facsimile 03 8616 1111 www.greencapecapital.com ABN 98 120 328 529 AFSL 303903

The Manager Company Announcements Office ASX Limited 20 Bridge Street SYDNEY NSW 2000

By electronic lodgement

Dear Sir/Madam

# Re: Notice of becoming an initial substantial holder ~ Austbrokers Holdings Limited (AUB)

We enclose notice of becoming an initial substantial holder in Austbrokers Holdings Limited. This notice is given by Greencape Capital Pty Ltd.

Yours faithfully

Melissa Robinson Company Secretary

Yeuna & Boinson

attach

### Form 603

Corporations Act 2001 Section 671B

#### Notice of initial substantial holder

To Company Name/Scheme Austbrokers Holdings Limited

ACN/ARSN 000 000 715

1. Details of substantial holder (1)

Name Greencape Capital Pty Ltd

ACN/ARSN (if applicable) 120 328 529

The holder became a substantial holder on **08.07.2015** 

# 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary Fully Paid	Same as persons votes	3,551,519	5.70%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Greencape Capital Pty Ltd	Ordinary fully paid shares purchased and sold on market by Greencape Capital Pty Ltd.	See Annexure 1

# 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Greencape	Citicorp Nominees Pty	Citicorp Nominees Pty	385,988
Capital Pty Ltd	Limited	Limited	(fully paid ordinary shares)
Greencape	HSBC Custody	HSBC Custody	1,654,166
Capital Pty Ltd	Nominees (Australia)	Nominees (Australia)	(fully paid ordinary shares)
	Limited	Limited	
Greencape	JP Morgan Nominees	JP Morgan Nominees	1,017,901
Capital Pty Ltd	Australia Ltd	Australia Ltd	(fully paid ordinary shares)
Greencape	National Nominees Ltd	National Nominees	493,464
Capital Pty Ltd		Ltd	(fully paid ordinary shares)

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant	Date of acquisition	Consideration (9)		Class and number
interest		Cash Non-cash		of securities
See Annexure 1	See Annexure 1	See		See Annexure 1
		Annexure 1		

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See Annexure 1	See Annexure 1

### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Greencape Capital Pty Ltd	Level 19, 31 Queen Street, Melbourne

Signature

print name Melissa Robinson

**Company Secretary** 

sign here

Yeuna & Boinson date 10/07/2015

capacity

# **Annexure 1**

Annexure 1 consists of 3 pages and is referred to in Form 603 signed by me and dated

10/07/2015

Melissa Rohinson

Yeuna & Boin

**Transactions:** 

Company Name/Scheme: Austbrokers Holdings Limited (AUB)

As at: 08/07/2015

Class of security: Ordinary Fully Paid
Holder of relevant interest: Greencape Capital Pty Ltd

Date of Change	Nature of Change	Consideration	Number of Securities	Person's Votes Affected
6/3/2015	Opening Balance	Consideration	1,702,378	1,702,378
10/3/2015	Buy	9,747.80	1,702,378	1,702,378
10/3/2015	Sell	16,143.72	1,888	1,888
10/3/2015	Buy	7,028.68	822	822
10/3/2015	Buy	376.23	44	44
10/3/2015	Buy	3,086.80	361	361
10/3/2015	Buy	162.46	19	19
10/3/2015	Buy	3,779.41	442	442
10/3/2015	Buy	28,405.43	3,322	3,322
11/3/2015	Buy	124,276.83	14,519	14,519
11/3/2015	Buy	205,764.22	24,039	24,039
11/3/2015	Buy	89,644.69	10,473	10,473
11/3/2015	Buy	4,750.58	555	555
11/3/2015	Buy	39,391.28	4,602	4,602
11/3/2015	Buy	2,037.18	238	238
11/3/2015	Buy	48,216.23	5,633	5,633
11/3/2015	Buy	361,942.69	42,285	42,285
12/3/2015	Buy	201,452.68	23,913	23,913
12/3/2015	Buy	1,920.76	228	228
12/3/2015	Buy	47,479.92	5,636	5,636
12/3/2015	Buy	38,491.08	4,569	4,569
12/3/2015	Buy	121,901.07	14,470	14,470
12/3/2015	Buy	87,900.19	10,434	10,434
12/3/2015	Buy	354,802.03	42,116	42,116
12/3/2015	Buy	5,130.46	609	609
13/3/2015	Buy	122,205.06	14,394	14,394
13/3/2015	Buy	87,659.25	10,325	10,325
13/3/2015	Buy	5,119.47	603	603
13/3/2015	Buy	355,094.25	41,825	41,825
13/3/2015	Buy	201,544.11	23,739	23,739
13/3/2015	Buy	38,391.78	4,522	4,522
13/3/2015	Buy	47,348.73	5,577	5,577
13/3/2015	Buy	1,918.74	226	226
21/4/2015	Buy	48,729.53	5,803	5,803
21/4/2015	Buy	29,424.14	3,504	3,504
21/4/2015	Buy	85,660.86	10,201	10,201
21/4/2015	Buy	11,336.36	1,350	1,350
21/4/2015	Buy	9,195.04	1,095	1,095
21/4/2015	Buy	1,226.01	146	146
21/4/2015	Buy	915.31	109	109
21/4/2015	Buy	21,455.10	2,555	2,555
22/4/2015	Buy	34,292.76	4,095	4,095
22/4/2015	Buy	20,709.64	2,473	2,473
22/4/2015	Buy	60,286.59	7,199	7,199
22/4/2015	Buy	7,980.71	953	953
22/4/2015	Buy	6,473.33	773	773

			Number of	Person's Votes
Date of Change	Nature of Change	Consideration	Securities	Affected
22/4/2015	Buy	862.55	103	103
22/4/2015	Buy	644.82	77	77
22/4/2015	Buy	15,098.86	1,803	1,803
23/4/2015	Buy	350,284.00	41,800	41,800
23/4/2015 23/4/2015	Buy Buy	46,090.00 40,224.00	5,500 4,800	5,500 4,800
23/4/2015	Buy	5,028.00	600	4,800
23/4/2015	Buy	4,190.00	500	500
23/4/2015	Buy	122,348.00	14,600	14,600
23/4/2015	Buy	84,638.00	10,100	10,100
23/4/2015	Buy	185,198.00	22,100	22,100
27/4/2015	Buy	120,406.00	14,300	14,300
27/4/2015 27/4/2015	Buy Buy	52,204.00 63,992.00	6,200 7,600	6,200 7,600
27/4/2015	Вuy	272,808.00	32,400	32,400
27/4/2015	Buy	5,894.00	700	700
27/4/2015	Buy	165,032.00	19,600	19,600
27/4/2015	Buy	479,098.00	56,900	56,900
27/4/2015	Buy	6,736.00	800	800
30/4/2015	Buy	12,650.34	1,532	1,532
30/4/2015	Buy	94,889.54	11,498	11,498
30/4/2015	Buy	31,365.58	3,800	3,800
30/4/2015 30/4/2015	Buy Buy	373.08 8,243.88	<u>45</u> 998	45 998
30/4/2015	Buy	1,110.36	134	134
30/4/2015	Buy	26,621.41	3,225	3,225
30/4/2015	Buy	66,659.48	8,077	8,077
30/4/2015	Buy	313,643.90	36,921	36,921
30/4/2015	Buy	912,031.70	107,361	107,361
30/4/2015	Buy	12,377.22	1,457	1,457
30/4/2015 30/4/2015	Buy	228,625.94 121,334.09	26,913	26,913
30/4/2015	Buy Buy	10,729.19	14,283 1,263	14,283 1,263
30/4/2015	Buy	90,794.56	10,688	10,688
30/4/2015	Buy	519,163.43	61,114	61,114
4/5/2015	Buy	109,177.50	12,500	12,500
4/5/2015	Buy	19,215.24	2,200	2,200
4/5/2015	Buy	58,519.14	6,700	6,700
16/6/2015 16/6/2015	Buy Buy	264,191.33	28,874 83,910	28,87 <sup>2</sup> 83,910
16/6/2015	Buy	767,759.72 10,073.93	1,101	1,101
16/6/2015	Buy	194,460.70	21,253	21,253
16/6/2015	Buy	102,267.31	11,177	11,177
16/6/2015	Buy	8,518.46	931	931
16/6/2015	Buy	74,378.72	8,129	8,129
16/6/2015	Buy	436,957.85	47,756	47,756
17/6/2015	Buy	47,216.00	5,200	5,200
17/6/2015 17/6/2015	Buy Buy	279,664.00 123,488.00	30,800 13,600	30,800 13,600
17/6/2015	Buy	7,264.00	800	800
17/6/2015	Buy	64,468.00	7,100	7,100
17/6/2015	Buy	6,356.00	700	700
17/6/2015	Buy	484,872.00	53,400	53,400
17/6/2015	Buy	167,072.00	18,400	18,400
19/6/2015	Buy	15,975.50	1,795	1,795
30/6/2015 30/6/2015	Buy Buy	4,865.59	541	541 40,111
30/6/2015	Buy Buy	360,746.30 4,110.12	40,111 457	40,111
30/6/2015	Buy	205,164.28	22,812	22,812
30/6/2015	Buy	124,256.96	13,816	13,816
30/6/2015	Buy	48,062.33	5,344	5,344
30/6/2015	Buy	85,925.81	9,554	9,554
30/6/2015	Sell	750,600.00	- 83,400	83,400
6/7/2015	Buy	64,177.90	7,211	7,211
6/7/2015	Buy	186,339.30	20,937	20,937

			Number of	Person's Votes
Date of Change	Nature of Change	Consideration	Securities	Affected
6/7/2015	Buy	2,509.80	282	282
6/7/2015	Buy	44,589.00	5,010	5,010
6/7/2015	Buy	24,804.30	2,787	2,787
6/7/2015	Buy	2,127.10	239	239
6/7/2015	Buy	5,598.10	629	629
6/7/2015	Buy	105,954.50	11,905	11,905
8/7/2015	Buy	713,583.20	81,089	81,089
8/7/2015	Buy	2,073,887.20	235,669	235,669
8/7/2015	Buy	276,249.60	31,392	31,392
8/7/2015	Buy	62,013.60	7,047	7,047
8/7/2015	Buy	28,186.40	3,203	3,203
8/7/2015	Buy	491,295.20	55,829	55,829
8/7/2015	Buy	1,179,103.20	133,989	133,989
8/7/2015	Buy	24,164.80	2,746	2,746

Total Number of Securities 3,551,519