

**To:Company Name/Scheme The Secretary
Sims Metal Management Limited
Level 2, Suite 3
32-34 Lord Street
Botany NSW 2019**

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ACN

114 838 630

1. Details of substantial shareholder

Name
ACN (if applicable)

National Australia Bank Limited and its associated entities listed in Annexure A (ACN 004 044 937)

The holder became a substantial holder on 30/08/2016

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Persons' votes	Voting power
Fully paid ordinary shares	10,261,128	10,261,128	5.189%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
MLC Investments Limited	Power to control the voting and /or disposal of securities pursuant to its position as the responsible entity or trustee of various managed investment schemes and trusts	Fully paid ordinary shares 2,406,933
Antares Capital Partners Limited	Power to control the voting and /or disposal of securities pursuant to its position as the responsible entity, investment manager or trustee of various managed investment schemes or trusts.	Fully paid ordinary shares 22,200
MLC Wealth Management Limited	Power to exercise voting rights pursuant to position held as the Registrable Superannuation Entity licensee for the superannuation products it issues.	Fully paid ordinary shares 55,436
MLC Limited	Power to control the voting and /or disposal of securities pursuant to position held as manager under investment mandate.	Fully paid ordinary shares 248
National Australia Bank Limited	Power to control the voting and /or disposal of securities pursuant to securities lending agreements.	Fully paid ordinary shares 2,431,839
Antares Capital Partners Limited	Power to control the voting and /or disposal of securities pursuant to its position as the responsible entity or trustee of various managed investment schemes or trusts.	Fully paid ordinary shares 3,831,934

Antares Capital Partners Limited	Power to control the voting and /or disposal of securities pursuant to its position as an investment manager in accordance with investment mandates and as an investment manager of Antares Capital Partners Limited trusts.	Fully paid ordinary shares 1,511,141
National Australia Bank Limited	Power to control the voting and /or disposal of securities pursuant to securities lending agreements.	Fully paid ordinary shares 1,397
TOTAL		10,261,128

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
MLC Investments Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 2,406,933
Antares Capital Partners Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 22,200
MLC Wealth Management Limited	Navigator Australia Limited (ACN 006 302 987)		Fully paid ordinary shares 55,436
MLC Limited	Navigator Australia Limited (ACN 006 302 987)		Fully paid ordinary shares 248
National Australia Bank Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 2,431,839
Antares Capital Partners Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 3,831,934
Antares Capital Partners Limited	JP Morgan Nominees Australia Limited (ACN 002 899 961)		Fully paid ordinary shares 1,408,742
	Citicorp Nominees Pty Limited (ACN 000 809 030)		Fully paid ordinary shares 102,399
National Australia Bank Limited	National Nominees Limited (ACN 004 278 899)		Fully paid ordinary shares 1,397
		TOTAL	10,261,128

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	Fully paid ordinary shares
Antares Capital Partners Limited	13/05/2016	\$28,856.91		3,500
Antares Capital Partners Limited	16/05/2016	\$18,048.60		2,200
Antares Capital Partners Limited	19/05/2016	\$24,999.24		3,000
Antares Capital Partners Limited	20/05/2016	\$24,764.22		3,000
Antares Capital Partners Limited	20/06/2016	\$26,767.67		3,500
Antares Capital Partners Limited	20/06/2016	\$15,295.80		2,000
MLC Investments Limited	29/04/2016	\$291,951.16		30,789
MLC Investments Limited	2/05/2016	\$342,065.78		36,014
MLC Investments Limited	3/05/2016	\$155,816.33		16,263
MLC Investments Limited	4/05/2016	\$15,816.96		1,669
MLC Investments Limited	4/05/2016	\$143,739.56		15,252
MLC Investments Limited	5/05/2016	\$94,240.81		10,260
MLC Investments Limited	5/05/2016	\$206,732.49		22,537
MLC Investments Limited	6/05/2016	\$42,623.58		4,500
MLC Investments Limited	9/05/2016	\$112,936.58		11,847
MLC Investments Limited	23/05/2016	\$15,217.21		1,850
MLC Investments Limited	1/06/2016	\$19,179.93		2,350
MLC Investments Limited	7/06/2016	\$41,434.78		5,000
MLC Investments Limited	9/06/2016	\$33,066.97		4,000
MLC Investments Limited	14/06/2016	\$16,382.61		2,000
MLC Investments Limited	17/06/2016	\$35,220.86		4,600
MLC Investments Limited	20/06/2016	\$30,591.62		4,000
MLC Investments Limited	22/06/2016	\$243,517.09		32,031
MLC Investments Limited	23/06/2016	\$15,702.34		2,053
MLC Investments Limited	23/06/2016	\$188,799.97		24,309
MLC Investments Limited	23/06/2016	\$211,725.98		27,293
MLC Investments Limited	24/06/2016	\$517,711.32		67,241

MLC Investments Limited	27/06/2016	\$228,613.97		29,408
MLC Investments Limited	28/06/2016	\$252,414.18		32,638
MLC Investments Limited	28/06/2016	\$308.14		40
MLC Investments Limited	29/06/2016	\$129,127.31		16,771
MLC Investments Limited	29/06/2016	\$2,864.91		369
MLC Investments Limited	30/06/2016	\$273,850.22		34,920
MLC Investments Limited	5/07/2016	\$97,831.62		11,728
MLC Investments Limited	6/07/2016	\$335,589.39		40,969
MLC Investments Limited	6/07/2016	\$6,098.55		746
MLC Investments Limited	7/07/2016	\$195,390.70		24,456
MLC Investments Limited	12/07/2016	\$301,549.71		36,438
MLC Investments Limited	12/07/2016	\$20,335.00		2,450
MLC Investments Limited	15/07/2016	\$7,968.09		875
MLC Investments Limited	19/07/2016	\$180,717.84		20,648
MLC Investments Limited	21/07/2016	\$84,501.10		9,761
MLC Investments Limited	26/07/2016	\$227,487.95		27,355
MLC Investments Limited	26/07/2016	\$8,800.90		1,054
MLC Investments Limited	28/07/2016	\$115,669.67		13,304
MLC Investments Limited	4/08/2016	\$237,360.63		28,501
MLC Investments Limited	4/08/2016	\$12,410.82		1,489
MLC Investments Limited	23/08/2016	\$235,622.91		25,936
MLC Investments Limited	25/08/2016	\$93,424.20		10,104
MLC Investments Limited	26/08/2016	\$680,194.36		70,305
MLC Investments Limited	26/08/2016	\$28,975.92		2,975
MLC Investments Limited	26/08/2016	\$85,525.68		8,808
MLC Investments Limited	29/08/2016	\$58,649.71		5,816
Antares Capital Partners Limited	29/04/2016	\$291,951.16		30,789
Antares Capital Partners Limited	6/05/2016	\$94.71		10
Antares Capital Partners Limited	17/05/2016	\$91,865.63		11,090
Antares Capital Partners Limited	17/05/2016	\$302,916.35		36,568
Antares Capital Partners Limited	17/05/2016	\$497.43		59

Antares Capital Partners Limited	25/05/2016	\$62,922.19		7,805
Antares Capital Partners Limited	25/05/2016	\$645,180.23		79,843
Antares Capital Partners Limited	25/05/2016	\$20,290.42		2,511
Antares Capital Partners Limited	25/05/2016	\$1,975.14		245
Antares Capital Partners Limited	25/05/2016	\$101,022.16		12,531
Antares Capital Partners Limited	25/05/2016	\$1,035,788.88		128,182
Antares Capital Partners Limited	25/05/2016	\$33,448.33		4,149
Antares Capital Partners Limited	25/05/2016	\$342,957.30		42,442
Antares Capital Partners Limited	25/05/2016	\$132,683.64		16,420
Antares Capital Partners Limited	25/05/2016	\$12,939.16		1,605
Antares Capital Partners Limited	26/05/2016	\$196,434.79		23,916
Antares Capital Partners Limited	26/05/2016	\$3,842,828.16		466,836
Antares Capital Partners Limited	26/05/2016	\$4,616.00		562
Antares Capital Partners Limited	26/05/2016	\$90,383.46		10,980
Antares Capital Partners Limited	26/05/2016	\$1,601.64		195
Antares Capital Partners Limited	26/05/2016	\$31,337.87		3,807
Antares Capital Partners Limited	26/05/2016	\$2,069.81		252
Antares Capital Partners Limited	26/05/2016	\$40,549.08		4,926
Antares Capital Partners Limited	26/05/2016	\$12,042.89		1,463
Antares Capital Partners Limited	26/05/2016	\$616.01		75
Antares Capital Partners Limited	27/05/2016	\$4,515,395.50		549,282
Antares Capital Partners Limited	27/05/2016	\$81,884.89		10,000
Antares Capital Partners Limited	27/05/2016	\$107,360.28		13,060
Antares Capital Partners Limited	27/05/2016	\$37,222.61		4,528
Antares Capital Partners Limited	27/05/2016	\$48,164.15		5,859
Antares Capital Partners Limited	27/05/2016	\$14,311.96		1,741
Antares Capital Partners Limited	30/05/2016	\$1,339,700.84		163,113
Antares Capital Partners Limited	30/05/2016	\$31,851.29		3,878
Antares Capital Partners Limited	30/05/2016	\$11,046.92		1,345
Antares Capital Partners Limited	30/05/2016	\$14,291.19		1,740
Antares Capital Partners Limited	30/05/2016	\$4,246.30		517

Antares Capital Partners Limited	31/05/2016	\$1,594,405.29		194,487
Antares Capital Partners Limited	31/05/2016	\$37,915.77		4,625
Antares Capital Partners Limited	31/05/2016	\$13,141.40		1,603
Antares Capital Partners Limited	31/05/2016	\$17,010.86		2,075
Antares Capital Partners Limited	31/05/2016	\$5,049.97		616
Antares Capital Partners Limited	1/06/2016	\$4,507,146.84		536,422
Antares Capital Partners Limited	1/06/2016	\$108,052.81		12,860
Antares Capital Partners Limited	1/06/2016	\$37,305.96		4,440
Antares Capital Partners Limited	1/06/2016	\$48,396.91		5,760
Antares Capital Partners Limited	1/06/2016	\$14,376.23		1,711
Antares Capital Partners Limited	3/06/2016	\$89,254.23		10,880
Antares Capital Partners Limited	3/06/2016	\$311,372.56		37,956
Antares Capital Partners Limited	3/06/2016	\$384.50		47
Antares Capital Partners Limited	6/06/2016	\$95,219.69		11,389
Antares Capital Partners Limited	6/06/2016	\$315,138.82		37,693
Antares Capital Partners Limited	7/06/2016	\$3,382,278.07		406,739
Antares Capital Partners Limited	7/06/2016	\$81,135.29		9,757
Antares Capital Partners Limited	7/06/2016	\$27,882.20		3,353
Antares Capital Partners Limited	7/06/2016	\$36,314.22		4,367
Antares Capital Partners Limited	7/06/2016	\$10,760.38		1,294
Antares Capital Partners Limited	8/06/2016	\$1,087,699.58		131,713
Antares Capital Partners Limited	8/06/2016	\$26,087.36		3,159
Antares Capital Partners Limited	8/06/2016	\$8,968.30		1,086
Antares Capital Partners Limited	8/06/2016	\$11,676.96		1,414
Antares Capital Partners Limited	8/06/2016	\$3,460.15		419
Antares Capital Partners Limited	9/06/2016	\$389.20		47
Antares Capital Partners Limited	14/06/2016	\$41,852.90		5,085
Antares Capital Partners Limited	15/06/2016	\$53,518.17		6,500
Antares Capital Partners Limited	15/06/2016	\$18,451.41		2,241
Antares Capital Partners Limited	15/06/2016	\$46,929.06		5,679
Antares Capital Partners Limited	15/06/2016	\$7,097.33		862

Antares Capital Partners Limited	27/06/2016	\$193.02		25
Antares Capital Partners Limited	26/07/2016	\$1,913.81		230
Antares Capital Partners Limited	1/08/2016	\$1,637.94		192
Antares Capital Partners Limited	5/08/2016	\$381.38		46
Antares Capital Partners Limited	10/08/2016	\$377.82		45
Antares Capital Partners Limited	15/08/2016	\$103,540.78		12,369
Antares Capital Partners Limited	15/08/2016	\$1,783.02		213
Antares Capital Partners Limited	15/08/2016	\$7,132.08		852
Antares Capital Partners Limited	15/08/2016	\$2,310.40		276
Antares Capital Partners Limited	15/08/2016	\$837.10		100
Antares Capital Partners Limited	15/08/2016	\$1,096.59		131
Antares Capital Partners Limited	15/08/2016	\$326.47		39
Antares Capital Partners Limited	17/08/2016	\$1,426,198.94		161,952
Antares Capital Partners Limited	17/08/2016	\$982.35		112
Antares Capital Partners Limited	18/08/2016	\$2,365,197.17		269,088
Antares Capital Partners Limited	18/08/2016	\$1,063.96		122
MLC Wealth Management Limited	15/07/2016	\$9,132.00		1,000
National Australia Bank Limited	29/04/2016 to 30/08/2016 - Securities Lending	No consideration directly referable to the securities provided by NAB.		Refer to Appendix 1 Table
National Australia Bank Limited	1/07/2016 - Stock borrow (refer Appendix 2)	No consideration directly referable to the securities provided by NAB.		130,000
National Australia Bank Limited	5/07/2016 - Stock return (refer Appendix 2)	No consideration directly referable to the securities provided by NAB.		24,442
National Australia Bank Limited	6/07/2016 - Stock return (refer Appendix 2)	No consideration directly referable to the securities provided by NAB.		41,230
National Australia Bank Limited	13/07/2016 - Stock return (refer Appendix 2)	No consideration directly referable to the securities provided by NAB.		60,110
National Australia Bank Limited	19/08/2016 - Stock return (refer Appendix 2)	No consideration directly referable to the securities provided by NAB.		2,821
MLC Limited	28/06/2016	\$1,824.37		241
MLC Limited	8/07/2016	\$70.83		9

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
MLC Investments Limited	MLC Investments Limited is a wholly owned subsidiary of MLC Holdings Limited whose ultimate parent is National Australia Bank Limited.
MLC Wealth Management Limited	MLC Wealth Management Limited is a wholly owned subsidiary of National Wealth Management Holdings Limited whose ultimate parent is National Australia Bank Limited.
Antares Capital Partners Limited	Antares Capital Partners Limited is a wholly owned subsidiary of National Wealth Management Holdings Limited whose ultimate parent is National Australia Bank Limited.
MLC Limited	MLC Limited is a wholly owned subsidiary of MLC Holdings Limited whose ultimate parent is National Australia Bank Limited.

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
National Australia Bank Limited	Level 1 800 Bourke Street DOCKLANDS VIC 3008
MLC Investments Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
National Nominees Limited	Level 1 800 Bourke Street DOCKLANDS VIC 3008
MLC Wealth Management Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
Navigator Australia Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
Antares Capital Partners Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
MLC Limited	Ground Floor 105-153 Miller Street NORTH SYDNEY NSW 2060
JP Morgan Nominees Australia Limited	Level 37 259 George Street SYDNEY NSW 2000
Citicorp Nominees Pty Limited	2 Park Street SYDNEY NSW 2000

Signature

Name	Elizabeth Melville-Jones	Capacity Company Secretary for and on behalf of National Australia Bank Limited
Signature		Date 02/09/2016

Appendix 1 - SGM

Type of agreement and parties to agreement	<p>National Australia Bank Limited and</p> <p>Securities Borrowing Agreement (SBA) clients</p> <p>MOTOR TRADES ASSOCIATION OF AUSTRALIA</p> <p>(iv) SUPERANNUATION FUND PTY LIMITED</p> <p>(ix) AUSTSAFE PTY LTD</p> <p>(v) CARE SUPER PTY LTD</p> <p>(vii) VICSUPER PTY LTD</p> <p>(x) POOLED SUPER PTY LTD</p> <p>ELECTRICITY SUPPLY INDUSTRY SUPERANNUATION</p> <p>(xi) (QLD) LTD</p> <p>(xii) PRIME SUPER PTY LTD</p>	<p>National Australia Bank Limited and</p> <p>AMSLA Counterparties</p> <p>(a) DEUTSCHE SECURITIES AUSTRALIA LIMITED</p> <p>(b) UBS SECURITIES AUSTRALIA LTD</p> <p>(c) MACQUARIE BANK LTD</p> <p>(d) MERRILL LYNCH EQUITIES (AUSTRALIA) LTD</p> <p>(f) CREDIT SUISSE</p> <p>(g) INSTINET AUSTRALIA PTY LTD</p> <p>(h) MORGAN STANLEY AUSTRALIA SECURITIES LIMITED</p> <p>(j) NAB ONLINE</p>
Transfer dates	<p>29/4/2016 to 30/8/2016</p> <p>Specific dates for each transfer can be found by matching the number reference in the 'Type of agreement and parties to agreement' field to the accompanying list of transactions.</p>	<p>29/4/2016 to 30/8/2016</p> <p>Specific dates for each transfer can be found by matching the number reference in the 'Type of agreement and parties to agreement' field to the accompanying list of transactions.</p>
Holder of voting rights	Registered owner	Registered owner
Are there any restrictions on voting	The registered owner holds voting rights. However, in certain circumstances the lender may request for the borrower to use best endeavours to arrange for voting instructions to be exercised in accordance with the instructions from the lender.	The registered owner holds voting rights. However, in certain circumstances the lender may request for the borrower to use best endeavours to arrange for voting instructions to be exercised in accordance with the instructions from the lender.
Scheduled date of return (if any)	On demand	On demand
Does the borrower have the right to return early?	Yes - at notice	Yes - at notice
Does the lender have the right to recall early?	Yes - at notice	Yes - at notice
Will the securities be returned on settlement?	Yes, or equivalent protection under Securities Borrowing Agreement.	Yes, or equivalent protection under AMSLA.
National Australia Bank Limited will, if required by the company or responsible entity to whom the prescribed form must be given or ASIC give a copy of the agreements to the company, responsible entity or ASIC.		

Appendix 1- SGM

Date	Nature of Change	Consideration	No. of Securities	Class	AMSLA Counterparty
2/05/2016	Return Security		-10000	ORD	(g)
3/05/2016	Return Security		-8000	ORD	(c)
3/05/2016	Return Security		-7000	ORD	(c)
11/05/2016	Return Security		-8400	ORD	(d)
12/05/2016	Return Security		-600	ORD	(d)
13/05/2016	Settle Sec Loan		190000	ORD	(b)
16/05/2016	Return Security		-190000	ORD	(b)
17/05/2016	Settle Sec Loan		7000	ORD	(d)
18/05/2016	Settle Sec Loan		30000	ORD	(b)
18/05/2016	Settle Sec Loan		500000	ORD	(b)
18/05/2016	Collateral Return Security		-70000	ORD	(f)
19/05/2016	Return Security		-7000	ORD	(d)
19/05/2016	Return Security		-30000	ORD	(b)
19/05/2016	Return Security		-500000	ORD	(b)
19/05/2016	Settle Sec Loan		8372	ORD	(b)
19/05/2016	Settle Sec Loan		1000000	ORD	(b)
19/05/2016	Settle Sec Loan		18557	ORD	(b)
20/05/2016	Return Security		-8372	ORD	(b)
20/05/2016	Return Security		-1000000	ORD	(b)
20/05/2016	Return Security		-18557	ORD	(b)
23/05/2016	Settle Sec Loan		10000	ORD	(b)
23/05/2016	Settle Sec Loan		300000	ORD	(b)
24/05/2016	Return Security		-10000	ORD	(b)
24/05/2016	Return Security		-300000	ORD	(b)
25/05/2016	Return Security		-20000	ORD	(d)
26/05/2016	Settle Sec Loan		15000	ORD	(c)
27/05/2016	Settle Sec Loan		85000	ORD	(c)
27/05/2016	Settle Sec Loan		1000000	ORD	(b)
30/05/2016	Return Security		-1000000	ORD	(b)
30/05/2016	Settle Sec Loan		400000	ORD	(b)
31/05/2016	Return Security		-400000	ORD	(b)
31/05/2016	Collateral Return Security		-340000	ORD	(f)
31/05/2016	Collateral Return Security		-380000	ORD	(f)
31/05/2016	Collateral Return Security		-500000	ORD	(f)
1/06/2016	Settle Sec Loan		60000	ORD	(b)
1/06/2016	Settle Sec Loan		5000	ORD	(b)
1/06/2016	Settle Sec Loan		5000	ORD	(b)
1/06/2016	Settle Sec Loan		190000	ORD	(b)
2/06/2016	Return Security		-60000	ORD	(b)
2/06/2016	Return Security		-5000	ORD	(b)
2/06/2016	Return Security		-5000	ORD	(b)
2/06/2016	Return Security		-190000	ORD	(b)
3/06/2016	Return Security		-85000	ORD	(c)
6/06/2016	Settle Sec Loan		150000	ORD	(b)
7/06/2016	Return Security		-150000	ORD	(b)
7/06/2016	Settle Sec Loan		10000	ORD	(b)
8/06/2016	Return Security		-15000	ORD	(c)

8/06/2016	Return Security		-10000	ORD	(b)
14/06/2016	Settle Sec Loan		370000	ORD	(b)
15/06/2016	Return Security		-370000	ORD	(b)
16/06/2016	Settle Sec Loan		48	ORD	(b)
17/06/2016	Settle Sec Loan		1000	ORD	(h)
17/06/2016	Return Security		-48	ORD	(b)
20/06/2016	Settle Sec Loan		15405	ORD	(b)
20/06/2016	Settle Sec Loan		8255	ORD	(b)
20/06/2016	Settle Sec Loan		75511	ORD	(b)
20/06/2016	Settle Sec Loan		10000	ORD	(b)
21/06/2016	Settle Sec Loan		143000	ORD	(a)
21/06/2016	Settle Sec Loan		199000	ORD	(f)
21/06/2016	Settle Sec Loan		36000	ORD	(g)
21/06/2016	Return Security		-15405	ORD	(b)
21/06/2016	Return Security		-8255	ORD	(b)
21/06/2016	Return Security		-75511	ORD	(b)
21/06/2016	Return Security		-10000	ORD	(b)
22/06/2016	Settle Sec Loan		139000	ORD	(f)
22/06/2016	Settle Sec Loan		5000	ORD	(c)
22/06/2016	Settle Sec Loan		2500	ORD	(h)
23/06/2016	Return Security		-139000	ORD	(f)
23/06/2016	Return Security		-36000	ORD	(g)
23/06/2016	Settle Sec Loan		24000	ORD	(d)
23/06/2016	Settle Sec Loan		25000	ORD	(b)
23/06/2016	Settle Sec Loan		179910	ORD	(b)
24/06/2016	Settle Sec Loan		12000	ORD	(a)
24/06/2016	Return Security		-4071	ORD	(f)
24/06/2016	Return Security		-40000	ORD	(f)
24/06/2016	Return Security		-5000	ORD	(c)
24/06/2016	Settle Sec Loan		25000	ORD	(b)
24/06/2016	Settle Sec Loan		30000	ORD	(b)
24/06/2016	Return Security		-93976	ORD	(b)
27/06/2016	Return Security		-25000	ORD	(b)
27/06/2016	Return Security		-7489	ORD	(b)
27/06/2016	Return Security		-30000	ORD	(b)
27/06/2016	Return Security		-85934	ORD	(b)
28/06/2016	Return Security		-12000	ORD	(a)
28/06/2016	Return Security		-17511	ORD	(b)
29/06/2016	Return Security		-143000	ORD	(a)
29/06/2016	Return Security		-24000	ORD	(d)
29/06/2016	Settle Sec Loan		10000	ORD	(b)
30/06/2016	Return Security		-10000	ORD	(b)
4/07/2016	Settle Sec Loan		6000	ORD	(d)
5/07/2016	Settle Sec Loan		60000	ORD	(b)
5/07/2016	Settle Sec Loan		14712	ORD	(b)
6/07/2016	Return Security		-1000	ORD	(h)
6/07/2016	Return Security		-2500	ORD	(h)
6/07/2016	Return Security		-60000	ORD	(b)
6/07/2016	Return Security		-14712	ORD	(b)
7/07/2016	Settle Sec Loan		20000	ORD	(b)

7/07/2016	Settle Sec Loan		20000	ORD	(b)
7/07/2016	Settle Sec Loan		100000	ORD	(b)
7/07/2016	Settle Sec Loan		85000	ORD	(b)
8/07/2016	Settle Sec Loan		10000	ORD	(c)
8/07/2016	Return Security		-6000	ORD	(d)
8/07/2016	Return Security		-20000	ORD	(b)
8/07/2016	Return Security		-20000	ORD	(b)
8/07/2016	Return Security		-100000	ORD	(b)
8/07/2016	Return Security		-85000	ORD	(b)
8/07/2016	Settle Sec Loan		210526	ORD	(b)
11/07/2016	Settle Sec Loan		100000	ORD	(b)
11/07/2016	Settle Sec Loan		100000	ORD	(b)
11/07/2016	Return Security		-210526	ORD	(b)
12/07/2016	Settle Sec Loan		2988	ORD	(j)
12/07/2016	Return Security		-100000	ORD	(b)
12/07/2016	Return Security		-73644	ORD	(b)
13/07/2016	Return Security		-26356	ORD	(b)
13/07/2016	Settle Sec Loan		110000	ORD	(b)
13/07/2016	Settle Sec Loan		20000	ORD	(b)
14/07/2016	Settle Sec Loan		20000	ORD	(h)
14/07/2016	Return Security		-2988	ORD	(j)
14/07/2016	Return Security		-110000	ORD	(b)
14/07/2016	Return Security		-20000	ORD	(b)
14/07/2016	Settle Sec Loan		8133	ORD	(b)
14/07/2016	Settle Sec Loan		100000	ORD	(b)
14/07/2016	Settle Sec Loan		5501	ORD	(b)
15/07/2016	Settle Sec Loan		31000	ORD	(f)
15/07/2016	Return Security		-33163	ORD	(b)
15/07/2016	Return Security		-5501	ORD	(b)
15/07/2016	Settle Sec Loan		100000	ORD	(b)
15/07/2016	Settle Sec Loan		100000	ORD	(b)
18/07/2016	Return Security		-8133	ORD	(b)
18/07/2016	Return Security		-66837	ORD	(b)
18/07/2016	Return Security		-27647	ORD	(b)
18/07/2016	Settle Sec Loan		100000	ORD	(b)
18/07/2016	Settle Sec Loan		10000	ORD	(b)
19/07/2016	Return Security		-72353	ORD	(b)
19/07/2016	Return Security		-100000	ORD	(b)
19/07/2016	Return Security		-44688	ORD	(b)
19/07/2016	Settle Sec Loan		100000	ORD	(b)
19/07/2016	Settle Sec Loan		10000	ORD	(b)
20/07/2016	Settle Sec Loan		15000	ORD	(d)
20/07/2016	Return Security		-55312	ORD	(b)
20/07/2016	Return Security		-10000	ORD	(b)
20/07/2016	Return Security		-100000	ORD	(b)
20/07/2016	Return Security		-10000	ORD	(b)
21/07/2016	Settle Sec Loan		59492	ORD	(b)
22/07/2016	Return Security		-10000	ORD	(c)
22/07/2016	Return Security		-20000	ORD	(h)
26/07/2016	Settle Sec Loan		4000	ORD	(d)

26/07/2016	Return Security		-59492	ORD	(b)
28/07/2016	Settle Sec Loan		185000	ORD	(c)
29/07/2016	Return Security		-185000	ORD	(c)
5/08/2016	Settle Sec Loan		1100000	ORD	(b)
5/08/2016	Settle Sec Loan		10000	ORD	(b)
8/08/2016	Return Security		-1100000	ORD	(b)
8/08/2016	Return Security		-10000	ORD	(b)
11/08/2016	Settle Sec Loan		5000	ORD	(b)
12/08/2016	Return Security		-5000	ORD	(b)
15/08/2016	Settle Sec Loan		10000	ORD	(c)
15/08/2016	Settle Sec Loan		100000	ORD	(b)
16/08/2016	Return Security		-100000	ORD	(b)
17/08/2016	Settle Sec Loan		36000	ORD	(h)
17/08/2016	Settle Sec Loan		10000	ORD	(b)
17/08/2016	Settle Sec Loan		50000	ORD	(b)
17/08/2016	Settle Sec Loan		75000	ORD	(b)
17/08/2016	Settle Sec Loan		20000	ORD	(b)
18/08/2016	Return Security		-10000	ORD	(c)
18/08/2016	Return Security		-10000	ORD	(b)
18/08/2016	Return Security		-50000	ORD	(b)
18/08/2016	Return Security		-75000	ORD	(b)
18/08/2016	Return Security		-20000	ORD	(b)
18/08/2016	Settle Sec Loan		60000	ORD	(b)
19/08/2016	Settle Sec Loan		2000	ORD	(f)
22/08/2016	Settle Sec Loan		168000	ORD	(f)
22/08/2016	Return Security		-2318	ORD	(b)
23/08/2016	Return Security		-57682	ORD	(b)
23/08/2016	Settle Sec Loan		35000	ORD	(b)
23/08/2016	Settle Sec Loan		20000	ORD	(b)
23/08/2016	Return Security		-7946	ORD	(b)
23/08/2016	Settle Sec Loan		50000	ORD	(b)
24/08/2016	Return Security		-8375	ORD	(b)
25/08/2016	Settle Sec Loan		92000	ORD	(h)
26/08/2016	Return Security		-35000	ORD	(b)
26/08/2016	Return Security		-3679	ORD	(b)
26/08/2016	Return Security		-425	ORD	(b)
29/08/2016	Settle Sec Loan		130000	ORD	(f)
29/08/2016	Settle Sec Loan		11000	ORD	(h)
29/08/2016	Settle Sec Loan		2000	ORD	(b)
29/08/2016	Pending Loan		50000	ORD	(b)
30/08/2016	Return Security		-31000	ORD	(f)
30/08/2016	Return Security		-2000	ORD	(f)
30/08/2016	Settle Sec Loan		37000	ORD	(f)
30/08/2016	Settle Sec Loan		15000	ORD	(g)
30/08/2016	Return Security		-15000	ORD	(d)
30/08/2016	Return Security		-4000	ORD	(d)
30/08/2016	Return Security		-28090	ORD	(h)
30/08/2016	Settle Sec Loan		30000	ORD	(h)
30/08/2016	Return Security		-49575	ORD	(b)
30/08/2016	Return Security		-2000	ORD	(b)

30/08/2016	Settle Sec Loan		1300000	ORD	(b)
30/08/2016	Pending Loan		436000	ORD	(f)

Appendix 1- SGM

Date	Nature of Change	Consideration	No. of Securities	Class	SBA Counterparty
2/05/2016	Return Security		-10000	ORD	(ix)
3/05/2016	Return Security		-8000	ORD	(v)
3/05/2016	Return Security		-7000	ORD	(ix)
9/05/2016	Re-Alloc Rtn		-9000	ORD	(xi)
9/05/2016	Re-Alloc Loan		9000	ORD	(ix)
11/05/2016	Return Security		-8400	ORD	(ix)
12/05/2016	Return Security		-600	ORD	(ix)
13/05/2016	Settle Sec Loan		149264	ORD	(ix)
13/05/2016	Settle Sec Loan		40736	ORD	(iv)
16/05/2016	Return Security		-149264	ORD	(ix)
16/05/2016	Return Security		-40736	ORD	(iv)
17/05/2016	Settle Sec Loan		7000	ORD	(v)
18/05/2016	Settle Sec Loan		30000	ORD	(iv)
18/05/2016	Settle Sec Loan		51686	ORD	(iv)
18/05/2016	Settle Sec Loan		199463	ORD	(xi)
18/05/2016	Settle Sec Loan		165008	ORD	(xii)
18/05/2016	Settle Sec Loan		61041	ORD	(iv)
18/05/2016	Settle Sec Loan		22802	ORD	(ix)
19/05/2016	Return Security		-7000	ORD	(v)
19/05/2016	Return Security		-30000	ORD	(iv)
19/05/2016	Return Security		-51686	ORD	(iv)
19/05/2016	Return Security		-199463	ORD	(xi)
19/05/2016	Return Security		-165008	ORD	(xii)
19/05/2016	Return Security		-61041	ORD	(iv)
19/05/2016	Return Security		-22802	ORD	(ix)
19/05/2016	Settle Sec Loan		8372	ORD	(ix)
19/05/2016	Settle Sec Loan		146146	ORD	(ix)
19/05/2016	Settle Sec Loan		44662	ORD	(x)
19/05/2016	Settle Sec Loan		642463	ORD	(vii)
19/05/2016	Settle Sec Loan		25339	ORD	(xii)
19/05/2016	Settle Sec Loan		14696	ORD	(xii)
19/05/2016	Settle Sec Loan		86710	ORD	(vii)
19/05/2016	Settle Sec Loan		39984	ORD	(v)
19/05/2016	Settle Sec Loan		18557	ORD	(v)
20/05/2016	Return Security		-8372	ORD	(ix)
20/05/2016	Return Security		-146146	ORD	(ix)
20/05/2016	Return Security		-44662	ORD	(x)
20/05/2016	Return Security		-642463	ORD	(vii)
20/05/2016	Return Security		-25339	ORD	(xii)
20/05/2016	Return Security		-14696	ORD	(xii)
20/05/2016	Return Security		-86710	ORD	(vii)
20/05/2016	Return Security		-39984	ORD	(v)
20/05/2016	Return Security		-18557	ORD	(v)
23/05/2016	Settle Sec Loan		10000	ORD	(xii)
23/05/2016	Settle Sec Loan		135008	ORD	(xii)
23/05/2016	Settle Sec Loan		61041	ORD	(iv)
23/05/2016	Settle Sec Loan		44662	ORD	(x)

23/05/2016	Settle Sec Loan		25339	ORD	(xii)
23/05/2016	Settle Sec Loan		33950	ORD	(ix)
24/05/2016	Return Security		-10000	ORD	(xii)
24/05/2016	Return Security		-135008	ORD	(xii)
24/05/2016	Return Security		-61041	ORD	(iv)
24/05/2016	Return Security		-44662	ORD	(x)
24/05/2016	Return Security		-25339	ORD	(xii)
24/05/2016	Return Security		-33950	ORD	(ix)
25/05/2016	Return Security		-20000	ORD	(xii)
26/05/2016	Settle Sec Loan		15000	ORD	(ix)
27/05/2016	Settle Sec Loan		4649	ORD	(ix)
27/05/2016	Settle Sec Loan		80351	ORD	(v)
27/05/2016	Settle Sec Loan		642463	ORD	(vii)
27/05/2016	Settle Sec Loan		145008	ORD	(xii)
27/05/2016	Settle Sec Loan		86710	ORD	(vii)
27/05/2016	Settle Sec Loan		125819	ORD	(ix)
30/05/2016	Return Security		-642463	ORD	(vii)
30/05/2016	Return Security		-145008	ORD	(xii)
30/05/2016	Return Security		-86710	ORD	(vii)
30/05/2016	Return Security		-125819	ORD	(ix)
30/05/2016	Settle Sec Loan		73920	ORD	(ix)
30/05/2016	Settle Sec Loan		44662	ORD	(x)
30/05/2016	Settle Sec Loan		28467	ORD	(xii)
30/05/2016	Settle Sec Loan		20000	ORD	(xii)
30/05/2016	Settle Sec Loan		232951	ORD	(v)
31/05/2016	Return Security		-73920	ORD	(ix)
31/05/2016	Return Security		-44662	ORD	(x)
31/05/2016	Return Security		-28467	ORD	(xii)
31/05/2016	Return Security		-20000	ORD	(xii)
31/05/2016	Return Security		-232951	ORD	(v)
1/06/2016	Settle Sec Loan		60000	ORD	(v)
1/06/2016	Settle Sec Loan		5000	ORD	(v)
1/06/2016	Settle Sec Loan		5000	ORD	(v)
1/06/2016	Settle Sec Loan		107881	ORD	(vii)
1/06/2016	Settle Sec Loan		82119	ORD	(v)
2/06/2016	Return Security		-60000	ORD	(v)
2/06/2016	Return Security		-5000	ORD	(v)
2/06/2016	Return Security		-5000	ORD	(v)
2/06/2016	Return Security		-107881	ORD	(vii)
2/06/2016	Return Security		-82119	ORD	(v)
3/06/2016	Return Security		-4649	ORD	(ix)
3/06/2016	Return Security		-80351	ORD	(v)
6/06/2016	Settle Sec Loan		150000	ORD	(v)
7/06/2016	Return Security		-150000	ORD	(v)
7/06/2016	Settle Sec Loan		10000	ORD	(v)
8/06/2016	Return Security		-15000	ORD	(ix)
8/06/2016	Return Security		-10000	ORD	(v)
14/06/2016	Settle Sec Loan		164703	ORD	(v)
14/06/2016	Settle Sec Loan		205297	ORD	(v)
15/06/2016	Return Security		-164703	ORD	(v)

15/06/2016	Return Security		-205297	ORD	(v)
16/06/2016	Settle Sec Loan		48	ORD	(v)
17/06/2016	Settle Sec Loan		1000	ORD	(v)
17/06/2016	Return Security		-48	ORD	(v)
20/06/2016	Settle Sec Loan		15405	ORD	(v)
20/06/2016	Settle Sec Loan		8255	ORD	(v)
20/06/2016	Settle Sec Loan		75511	ORD	(v)
20/06/2016	Settle Sec Loan		10000	ORD	(v)
21/06/2016	Settle Sec Loan		143000	ORD	(v)
21/06/2016	Settle Sec Loan		199000	ORD	(v)
21/06/2016	Settle Sec Loan		36000	ORD	(v)
21/06/2016	Return Security		-15405	ORD	(v)
21/06/2016	Return Security		-8255	ORD	(v)
21/06/2016	Return Security		-75511	ORD	(v)
21/06/2016	Return Security		-10000	ORD	(v)
22/06/2016	Settle Sec Loan		139000	ORD	(v)
22/06/2016	Settle Sec Loan		5000	ORD	(v)
22/06/2016	Settle Sec Loan		2500	ORD	(v)
23/06/2016	Return Security		-139000	ORD	(v)
23/06/2016	Return Security		-36000	ORD	(v)
23/06/2016	Settle Sec Loan		24000	ORD	(v)
23/06/2016	Settle Sec Loan		25000	ORD	(v)
23/06/2016	Settle Sec Loan		179910	ORD	(v)
24/06/2016	Settle Sec Loan		12000	ORD	(ix)
24/06/2016	Return Security		-4071	ORD	(v)
24/06/2016	Return Security		-40000	ORD	(v)
24/06/2016	Return Security		-5000	ORD	(v)
24/06/2016	Settle Sec Loan		25000	ORD	(v)
24/06/2016	Settle Sec Loan		30000	ORD	(v)
24/06/2016	Return Security		-93976	ORD	(v)
27/06/2016	Return Security		-25000	ORD	(v)
27/06/2016	Return Security		-7489	ORD	(v)
27/06/2016	Return Security		-30000	ORD	(v)
27/06/2016	Return Security		-85934	ORD	(v)
28/06/2016	Return Security		-12000	ORD	(ix)
28/06/2016	Return Security		-17511	ORD	(v)
29/06/2016	Return Security		-143000	ORD	(v)
29/06/2016	Return Security		-24000	ORD	(v)
29/06/2016	Settle Sec Loan		10000	ORD	(ix)
30/06/2016	Return Security		-10000	ORD	(ix)
4/07/2016	Settle Sec Loan		6000	ORD	(vii)
5/07/2016	Settle Sec Loan		60000	ORD	(vii)
5/07/2016	Settle Sec Loan		14712	ORD	(vii)
6/07/2016	Return Security		-1000	ORD	(v)
6/07/2016	Return Security		-2500	ORD	(v)
6/07/2016	Return Security		-60000	ORD	(vii)
6/07/2016	Return Security		-14712	ORD	(vii)
7/07/2016	Settle Sec Loan		20000	ORD	(iv)
7/07/2016	Settle Sec Loan		20000	ORD	(iv)
7/07/2016	Settle Sec Loan		21041	ORD	(iv)

7/07/2016	Settle Sec Loan		78959	ORD	(xi)
7/07/2016	Settle Sec Loan		85000	ORD	(xi)
8/07/2016	Settle Sec Loan		10000	ORD	(v)
8/07/2016	Return Security		-6000	ORD	(vii)
8/07/2016	Return Security		-20000	ORD	(iv)
8/07/2016	Return Security		-20000	ORD	(iv)
8/07/2016	Return Security		-21041	ORD	(iv)
8/07/2016	Return Security		-78959	ORD	(xi)
8/07/2016	Return Security		-85000	ORD	(xi)
8/07/2016	Settle Sec Loan		210526	ORD	(v)
11/07/2016	Settle Sec Loan		93838	ORD	(vii)
11/07/2016	Settle Sec Loan		6162	ORD	(xi)
11/07/2016	Settle Sec Loan		1442	ORD	(xi)
11/07/2016	Settle Sec Loan		98558	ORD	(ix)
11/07/2016	Return Security		-210526	ORD	(v)
12/07/2016	Settle Sec Loan		2988	ORD	(v)
12/07/2016	Return Security		-93838	ORD	(vii)
12/07/2016	Return Security		-6162	ORD	(xi)
12/07/2016	Return Security		-73644	ORD	(ix)
13/07/2016	Return Security		-1442	ORD	(xi)
13/07/2016	Return Security		-24914	ORD	(ix)
13/07/2016	Settle Sec Loan		7192	ORD	(vii)
13/07/2016	Settle Sec Loan		102808	ORD	(xi)
13/07/2016	Settle Sec Loan		20000	ORD	(xi)
14/07/2016	Settle Sec Loan		20000	ORD	(iv)
14/07/2016	Return Security		-2988	ORD	(v)
14/07/2016	Return Security		-7192	ORD	(vii)
14/07/2016	Return Security		-102808	ORD	(xi)
14/07/2016	Return Security		-20000	ORD	(xi)
14/07/2016	Settle Sec Loan		8133	ORD	(v)
14/07/2016	Settle Sec Loan		100000	ORD	(v)
14/07/2016	Settle Sec Loan		5501	ORD	(v)
15/07/2016	Settle Sec Loan		31000	ORD	(iv)
15/07/2016	Return Security		-33163	ORD	(v)
15/07/2016	Return Security		-5501	ORD	(v)
15/07/2016	Settle Sec Loan		93838	ORD	(vii)
15/07/2016	Settle Sec Loan		6162	ORD	(xi)
15/07/2016	Settle Sec Loan		41151	ORD	(xi)
15/07/2016	Settle Sec Loan		58849	ORD	(v)
18/07/2016	Return Security		-8133	ORD	(v)
18/07/2016	Return Security		-66837	ORD	(v)
18/07/2016	Return Security		-27647	ORD	(vii)
18/07/2016	Settle Sec Loan		100000	ORD	(iv)
18/07/2016	Settle Sec Loan		10000	ORD	(iv)
19/07/2016	Return Security		-66191	ORD	(vii)
19/07/2016	Return Security		-6162	ORD	(xi)
19/07/2016	Return Security		-41151	ORD	(xi)
19/07/2016	Return Security		-58849	ORD	(v)
19/07/2016	Return Security		-44688	ORD	(iv)
19/07/2016	Settle Sec Loan		23520	ORD	(iv)

19/07/2016	Settle Sec Loan		14175	ORD	(vii)
19/07/2016	Settle Sec Loan		62305	ORD	(xi)
19/07/2016	Settle Sec Loan		10000	ORD	(xi)
20/07/2016	Settle Sec Loan		15000	ORD	(vii)
20/07/2016	Return Security		-55312	ORD	(iv)
20/07/2016	Return Security		-10000	ORD	(iv)
20/07/2016	Return Security		-23520	ORD	(iv)
20/07/2016	Return Security		-14175	ORD	(vii)
20/07/2016	Return Security		-62305	ORD	(xi)
20/07/2016	Return Security		-10000	ORD	(xi)
21/07/2016	Settle Sec Loan		59492	ORD	(vii)
22/07/2016	Return Security		-10000	ORD	(v)
22/07/2016	Return Security		-20000	ORD	(iv)
26/07/2016	Settle Sec Loan		4000	ORD	(v)
26/07/2016	Return Security		-59492	ORD	(vii)
28/07/2016	Settle Sec Loan		171299	ORD	(iv)
28/07/2016	Settle Sec Loan		6275	ORD	(xii)
28/07/2016	Settle Sec Loan		7426	ORD	(v)
29/07/2016	Return Security		-171299	ORD	(iv)
29/07/2016	Return Security		-6275	ORD	(xii)
29/07/2016	Return Security		-7426	ORD	(v)
5/08/2016	Settle Sec Loan		206763	ORD	(vii)
5/08/2016	Settle Sec Loan		43716	ORD	(iv)
5/08/2016	Settle Sec Loan		14440	ORD	(xii)
5/08/2016	Settle Sec Loan		58311	ORD	(iv)
5/08/2016	Settle Sec Loan		133508	ORD	(xi)
5/08/2016	Settle Sec Loan		109605	ORD	(vii)
5/08/2016	Settle Sec Loan		69411	ORD	(xii)
5/08/2016	Settle Sec Loan		409517	ORD	(v)
5/08/2016	Settle Sec Loan		54729	ORD	(v)
5/08/2016	Settle Sec Loan		10000	ORD	(v)
8/08/2016	Re-Alloc Rtn		-9590	ORD	(xii)
8/08/2016	Re-Alloc Loan		8622	ORD	(xii)
8/08/2016	Re-Alloc Loan		968	ORD	(v)
8/08/2016	Return Security		-206763	ORD	(vii)
8/08/2016	Return Security		-43716	ORD	(iv)
8/08/2016	Return Security		-4850	ORD	(xii)
8/08/2016	Return Security		-58311	ORD	(iv)
8/08/2016	Return Security		-133508	ORD	(xi)
8/08/2016	Return Security		-109605	ORD	(vii)
8/08/2016	Return Security		-78033	ORD	(xii)
8/08/2016	Return Security		-409517	ORD	(v)
8/08/2016	Return Security		-55697	ORD	(v)
8/08/2016	Return Security		-10000	ORD	(v)
11/08/2016	Settle Sec Loan		5000	ORD	(vii)
12/08/2016	Return Security		-5000	ORD	(vii)
15/08/2016	Settle Sec Loan		10000	ORD	(iv)
15/08/2016	Settle Sec Loan		100000	ORD	(vii)
16/08/2016	Re-Alloc Rtn		-26943	ORD	(vii)
16/08/2016	Re-Alloc Loan		26943	ORD	(iv)

16/08/2016	Return Security		-73057	ORD	(vii)
16/08/2016	Return Security		-26943	ORD	(iv)
17/08/2016	Settle Sec Loan		26943	ORD	(iv)
17/08/2016	Settle Sec Loan		9057	ORD	(vii)
17/08/2016	Settle Sec Loan		10000	ORD	(vii)
17/08/2016	Settle Sec Loan		11506	ORD	(vii)
17/08/2016	Settle Sec Loan		38494	ORD	(iv)
17/08/2016	Settle Sec Loan		75000	ORD	(iv)
17/08/2016	Settle Sec Loan		20000	ORD	(iv)
18/08/2016	Return Security		-10000	ORD	(iv)
18/08/2016	Return Security		-10000	ORD	(vii)
18/08/2016	Return Security		-11506	ORD	(vii)
18/08/2016	Return Security		-38494	ORD	(iv)
18/08/2016	Return Security		-75000	ORD	(iv)
18/08/2016	Return Security		-20000	ORD	(iv)
18/08/2016	Settle Sec Loan		38995	ORD	(iv)
18/08/2016	Settle Sec Loan		21005	ORD	(xi)
19/08/2016	Settle Sec Loan		2000	ORD	(xi)
22/08/2016	Settle Sec Loan		55503	ORD	(xi)
22/08/2016	Settle Sec Loan		92881	ORD	(vii)
22/08/2016	Settle Sec Loan		19616	ORD	(v)
22/08/2016	Return Security		-2318	ORD	(iv)
23/08/2016	Re-Alloc Rtn		-9057	ORD	(vii)
23/08/2016	Re-Alloc Loan		9057	ORD	(v)
23/08/2016	Return Security		-36677	ORD	(iv)
23/08/2016	Return Security		-21005	ORD	(xi)
23/08/2016	Settle Sec Loan		35000	ORD	(xi)
23/08/2016	Settle Sec Loan		20000	ORD	(xi)
23/08/2016	Return Security		-7946	ORD	(xi)
23/08/2016	Settle Sec Loan		50000	ORD	(v)
24/08/2016	Return Security		-8375	ORD	(xi)
25/08/2016	Settle Sec Loan		92000	ORD	(vii)
26/08/2016	Return Security		-35000	ORD	(xi)
26/08/2016	Return Security		-3679	ORD	(xi)
26/08/2016	Return Security		-425	ORD	(v)
29/08/2016	Re-Alloc Rtn		-8435	ORD	(v)
29/08/2016	Re-Alloc Loan		8435	ORD	(xi)
29/08/2016	Settle Sec Loan		130000	ORD	(iv)
29/08/2016	Settle Sec Loan		11000	ORD	(iv)
29/08/2016	Settle Sec Loan		2000	ORD	(vii)
29/08/2016	Pending Loan		27858	ORD	(xi)
29/08/2016	Pending Loan		22142	ORD	(iv)
30/08/2016	Re-Alloc Rtn		-35764	ORD	(v)
30/08/2016	Re-Alloc Loan		30647	ORD	(iv)
30/08/2016	Re-Alloc Loan		5117	ORD	(xii)
30/08/2016	Return Security		-31000	ORD	(iv)
30/08/2016	Return Security		-2000	ORD	(xi)
30/08/2016	Settle Sec Loan		236	ORD	(xii)
30/08/2016	Settle Sec Loan		36764	ORD	(v)
30/08/2016	Settle Sec Loan		2000	ORD	(xi)

30/08/2016	Settle Sec Loan		13000	ORD	(iv)
30/08/2016	Return Security		-15000	ORD	(vii)
30/08/2016	Return Security		-4000	ORD	(v)
30/08/2016	Return Security		-26943	ORD	(iv)
30/08/2016	Return Security		-1147	ORD	(v)
30/08/2016	Settle Sec Loan		1147	ORD	(v)
30/08/2016	Settle Sec Loan		28853	ORD	(iv)
30/08/2016	Return Security		-49575	ORD	(v)
30/08/2016	Return Security		-2000	ORD	(vii)
30/08/2016	Settle Sec Loan		866133	ORD	(v)
30/08/2016	Settle Sec Loan		15605	ORD	(vii)
30/08/2016	Settle Sec Loan		39712	ORD	(xi)
30/08/2016	Settle Sec Loan		10067	ORD	(iv)
30/08/2016	Settle Sec Loan		2807	ORD	(xii)
30/08/2016	Settle Sec Loan		278526	ORD	(ix)
30/08/2016	Settle Sec Loan		15114	ORD	(xii)
30/08/2016	Settle Sec Loan		72036	ORD	(xii)
30/08/2016	Pending Loan		49575	ORD	(v)
30/08/2016	Pending Loan		2000	ORD	(vii)
30/08/2016	Pending Loan		16090	ORD	(iv)
30/08/2016	Pending Loan		368335	ORD	(v)

APPENDIX 2 - SGM

Type of agreement and parties to agreement	National Australia Bank Limited and Securities Borrowing Agreement clients AMSLA Counterparties Barclays Bank PLC
Transfer dates	29 April 2016 to 30 August 2016
Holder of voting rights	Registered owner
Are there any restrictions on voting	No
Scheduled date of return (if any)	N/A
Does the borrower have the right to return early?	Yes – at notice
Does the lender have the right to recall early?	Yes – at notice
Will the securities be returned on settlement?	Yes, or equivalent protection under Securities Borrowing Agreement /AMSLA

National Australia Bank Limited will, if required by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreements to the company, responsible entity or ASIC.

This is Annexure A of 9 pages referred to in the Form 603 Notice of initial substantial holder signed by me and dated 02/09/2016

Elizabeth Melville-Jones Company Secretary

MLC INVESTMENTS LIMITED

W M POOL - EQUITIES TRUST NO.25

WM POOL - EQUITIES TRUST NO. 13

WM POOL - EQUITIES TRUST NO.24

ACCESS FUND NO 1

ACCESS FUND NO 10

ACCESS FUND NO 11

ACCESS FUND NO 12

ACCESS FUND NO 13

ACCESS FUND NO 14

ACCESS FUND NO 15

ACCESS FUND NO 16

ACCESS FUND NO 17

ACCESS FUND NO 18

ACCESS FUND NO 19

ACCESS FUND NO 2

ACCESS FUND NO 20

ACCESS FUND NO 21

ACCESS FUND NO 22

ACCESS FUND NO 23

ACCESS FUND NO 24

ACCESS FUND NO 25

ACCESS FUND NO 26

ACCESS FUND NO 27

ACCESS FUND NO 28

ACCESS FUND NO 29

ACCESS FUND NO 3

ACCESS FUND NO 30

ACCESS FUND NO 31

ACCESS FUND NO 32

ACCESS FUND NO 4

ACCESS FUND NO 5

ACCESS FUND NO 6

ACCESS FUND NO 7

ACCESS FUND NO 8

ACCESS FUND NO 9

ADVANTEDGE FINANCIAL SERVICES HOLDINGS PTY LTD

ADVANTEDGE FINANCIAL SERVICES PTY LTD

ADVANTEDGE FINANCIAL SOLUTIONS PTY LTD

AF&L DRAGON LEASING PTY LTD

AF&L VISTA PTY LTD

AFSH NOMINEES PTY LTD
ALTERNATIVE ASSETS AIRPORTS TRUST
ALTERNATIVE ASSETS BRISBANE AIRPORTS TRUST
ALTERNATIVE ASSETS INFRASTRUCTURE TRUST
ALTERNATIVE EQUITY - INFRASTRUCTURE (APTC) TRUST
ALTERNATIVE EQUITY - INFRASTRUCTURE (RAIL) TRUST
ALTERNATIVE EQUITY BACL TRUST
ANCHORAGE HOME LOANS PTY LTD
ANGARA COMPANY LIMITED
ANTARES CAPITAL PARTNERS LTD
ANTARES INDEX ENHANCED AUSTRALIAN FIXED INTEREST TRUST
APOGEE FINANCIAL PLANNING LIMITED
ARDB LIMITED
ASIBOND PTY. LIMITED
ATM ACCESS AUSTRALIA LIMITED
AUSMAQ LIMITED
AUSTRALIAN COMPANY NUMBER 094 484 625 LIMITED
AUSTRALIAN PAYMENTS CLEARING ASSOCIATION LIMITED
AUSTRALIAN SHARES INDEX FUND
BANK OF NEW ZEALAND
BASTION INFRASTRUCTURE GROUP INC.
BLSSA PTY LTD
BNZ AGRICAPITAL LIMITED
BNZ BRANCH PROPERTIES LTD
BNZ CASH PIE UNIT TRUST
BNZ EQUITY INVESTMENTS NO.2 LIMITED
BNZ FACILITIES MANAGEMENT LIMITED
BNZ INCOME MANAGEMENT LIMITED
BNZ INCOME SECURITIES 2 LIMITED
BNZ INCOME SECURITIES LIMITED
BNZ INSURANCE SERVICES LIMITED
BNZ INTERNATIONAL FUNDING LTD
BNZ INVESTMENT SERVICES LIMITED
BNZ INVESTMENTS LTD
BNZ LIFE INSURANCE LIMITED
BNZ PROPERTY INVESTMENTS LTD
BNZ RMBS TRUST SERIES 2008-1
C.B.C. PROPERTIES LIMITED (IN LIQUIDATION)
CAMBRIDGE REAL ESTATE INVESTMENTS PTE LTD
CARDLINK SERVICES LIMITED
CARDS NZ LIMITED
CASH ACCOUNT INCOME FUND
CASH SERVICES AUSTRALIA PTY LIMITED
CBC HOLDINGS LIMITED (IN LIQUIDATION)
CHOICE LEND PTY LTD
CNA-CAPITAL INTERNATIONAL - EMERGING MARKETS EQUITY TRUST
CRUICKSHANK ASSET MANAGEMENT PTY LTD
CUSTOM CREDIT CORPORATION LTD (IN LIQUIDATION)
CUSTOM CREDIT HOLDINGS LTD (IN LIQUIDATION)

DATA REPUBLIC PTY LTD
DIBA EQUITIES LIMITED
DIBA INVESTMENTS LIMITED
EFTPOS ACCESS AUSTRALIA LIMITED (DE-REGISTERED)
EQUITY MANAGEMENT UNIT HOLDINGS (NO. 2) PTY LTD
EQUITY MANAGEMENT UNIT HOLDINGS PTY LTD
EXPERIAN AUSTRALIA CREDIT SERVICES PTY LTD
FAIRVIEW EQUITY PARTNERS EMERGING COMPANIES FUND
FAIRVIEW EQUITY PARTNERS PTY LTD
FASTLEND PTY LTD
FINANCE & SYSTEMS TECHNOLOGY HOLDINGS PTY LIMITED
FINANCE & SYSTEMS TECHNOLOGY PTY LTD
FINTRACK MEMBERS PTY LTD
GODFREY PEMBROKE LIMITED
GUIDESTAR FINANCIAL GROUP LIMITED(IN LIQUIDATION)
GW DEVELOPMENT AMERICAS, INC
GWM ADVISER SERVICES LIMITED
HICAPS PTY LTD
HORIZON 1 BOND PORTFOLIO
HORIZON 2 INCOME PORTFOLIO
HORIZON 3 CONSERVATIVE GROWTH PORTFOLIO
HORIZON 4 BALANCED PORTFOLIO
HORIZON 5 GROWTH PORTFOLIO
HORIZON 6 SHARE PORTFOLIO
HORIZON 7 ACCELERATED GROWTH PORTFOLIO
INDEPENDENT MORTGAGE AFFILIATES PTY LIMITED
INFRASTRUCTURE NATURAL RESOURCES TRUST
INTEGRATED SEPARATELY MANAGED ACCOUNT
INTERMEDE INVESTMENT PARTNERS LIMITED
INVIA CUSTODIAN PTY LIMITED
JANA AUSTRALIAN SHARE LONG SHORT TRUST
JANA CASH TRUST
JANA CORE AUSTRALIAN SHARE TRUST
JANA CORE GLOBAL SHARE TRUST
JANA CORE GLOBAL SHARE TRUST WITH CURRENCY HEDGED
JANA CORPORATE INVESTMENT SERVICES LIMITED
JANA DIVERSIFIED FIXED INCOME TRUST
JANA EMERGING MARKETS SHARE TRUST
JANA GLOBAL OPPORTUNITIES FUND
JANA GLOBAL SHARE LONG SHORT TRUST
JANA HIGH ALPHA AUSTRALIAN SHARE TRUST
JANA HIGH ALPHA GLOBAL SHARE TRUST
JANA INVESTMENT ADVISERS PTY LIMITED
JANA PASSIVE AUSTRALIAN SHARE TRUST
JANA PASSIVE GLOBAL SHARE TRUST
JANA PROPERTY TRUST
JANA TRIPLEPOINT FUND
JBWERE (NZ) HOLDINGS LIMITED
JBWERE (NZ) NOMINEES LIMITED

JBWERE (NZ) PTY LTD
JBWERE LIMITED
LIMEHOUSE INVESTMENTS PTY LIMITED
LODESTAR AUSTRALIAN EQUITY INCOME FUND
LODESTAR AUSTRALIAN STRATEGIC SHARE FUND
LONG - TERM ABSOLUTE RETURN PORTFOLIO
LONG TERM INCOME FUND
LOYALTY NEW ZEALAND LIMITED
MARGIN LENDING (NZ) LIMITED
MEDFIN AUSTRALIA PTY LIMITED
MERITUM FINANCIAL GROUP PTY LTD
MERITUM FINANCIAL PLANNING PTY LTD
METRICS CREDIT PARTNERS PTY LTD
MLC (NCIT) AUSTRALIAN SHARE TRUST
MLC (NCIT) DIVERSIFIED DEBT TRUST
MLC (NCIT) GLOBAL SHARE TRUST
MLC (NCIT) GLOBAL SHARE TRUST WITH CURRENCY HEDGED
MLC (NCIT) MODERATE TRUST
MLC (NCIT) SHORT-MATURITY DIVERSIFIED DEBT TRUST
MLC ALLIANCE HOLDINGS PTY LIMITED
MLC GLOBAL PROPERTY FUND
MLC HEDGED GLOBAL SHARE FUND
MLC HOLDINGS LIMITED
MLC INTERNATIONAL HOLDINGS (SINGAPORE) PTE LTD
MLC INVESTMENT TRUST PLATINUM GLOBAL FUND
MLC LIFETIME COMPANY LIMITED
MLC LIMITED
MLC MASTERKEY UNIT TRUST ACCELERATED GROWTH PORTFOLIO
MLC MASTERKEY UNIT TRUST AUSTRALIAN SHARE FUND
MLC MASTERKEY UNIT TRUST AUSTRALIAN SHARE GROWTH STYLE FUND
MLC MASTERKEY UNIT TRUST AUSTRALIAN SHARE VALUE STYLE FUND
MLC MASTERKEY UNIT TRUST BALANCED PORTFOLIO
MLC MASTERKEY UNIT TRUST BOND PORTFOLIO
MLC MASTERKEY UNIT TRUST CASH FUND
MLC MASTERKEY UNIT TRUST CONSERVATIVE GROWTH PORTFOLIO
MLC MASTERKEY UNIT TRUST GLOBAL SHARE FUND
MLC MASTERKEY UNIT TRUST GLOBAL SHARE GROWTH STYLE FUND
MLC MASTERKEY UNIT TRUST GLOBAL SHARE VALUE STYLE FUND
MLC MASTERKEY UNIT TRUST GROWTH PORTFOLIO
MLC MASTERKEY UNIT TRUST INCOME PORTFOLIO
MLC MASTERKEY UNIT TRUST INCOMEBUILDER
MLC MASTERKEY UNIT TRUST MLC CAPITAL INTERNATIONAL GLOBAL SHARE FUND
MLC MASTERKEY UNIT TRUST MLC-PLATINUM GLOBAL FUND
MLC MASTERKEY UNIT TRUST PROPERTY SECURITIES FUND
MLC MASTERKEY UNIT TRUST SHARE INDEX FUND
MLC MASTERKEY UNIT TRUST SHARE PORTFOLIO
MLC NOMINEES PTY LTD
MLC PE EVERGREEN TRUST
MLC PROPERTIES PTY. LIMITED

MLC VC TRUST
MLC VINTAGE YEAR TRUST (2008)
MLC VINTAGE YEAR TRUST (2009)
MLC VINTAGE YEAR TRUST (2010)
MLC WEALTH MANAGEMENT LIMITED
MLC WHOLESALE AUSTRALIAN SHARE FUND
MLC WHOLESALE DIVERSIFIED DEBT FUND
MLCI HORIZON 7 TRUST
MLCI POOL - PRIVATE EQUITY TRUST
MLCIC TAILORED TRUST NO. 2
MLCIC TAILORED TRUST NO.1
MLCIC TAILORED TRUST NO.3
MONDEX AUSTRALIA PTY LTD (IN LIQUIDATION)
MORTGAGE SUPPORT SERVICES PTY LTD
MSRA HOLDINGS, INC
MSS MF PTY LTD
MSS MFA PTY LTD
MUDCHUTE PTY LIMITED
NAB ASSET MANAGEMENT LIMITED
NAB CAPITAL LLC
NAB EUROPE LIMITED
NAB GOLD, LLC
NAB INVESTMENTS LIMITED
NAB IRON, LLC
NAB PRIVATE WEALTH ADVISORY LIMITED
NAB PROPERTIES AUSTRALIA LIMITED
NAB VENTURES PTY LIMITED
NABCAPITAL LEASING (NO.2) PTY LIMITED
NABINVEST (UK) LIMITED
NABINVEST CAPITAL PARTNERS PTY LIMITED
NABINVEST OXLEY SINGAPORE PTE LTD
NABINVEST UK RE LIMITED
NABSECURITIES, LLC
NAM NT RAIL PTY LIMITED
NATIONAL AMERICAS CAPITAL INVESTMENTS, LLC
NATIONAL AMERICAS HOLDINGS LLC
NATIONAL ASSET MANAGEMENT LIMITED
NATIONAL AUSTRALIA BALANCED FUND
NATIONAL AUSTRALIA BANK LIMITED
NATIONAL AUSTRALIA BANK SUPERANNUATION FUND PTY LTD (IN LIQUIDATION)
NATIONAL AUSTRALIA CORPORATE SERVICES LIMITED
NATIONAL AUSTRALIA DIVIDEND IMPUTATION FUND
NATIONAL AUSTRALIA FINANCE (ASIA) LIMITED
NATIONAL AUSTRALIA FINANCE (ASSET LEASING) LLP (IN LIQUIDATION)
NATIONAL AUSTRALIA FINANCE (COMMERCIAL LEASING) LIMITED
NATIONAL AUSTRALIA FINANCE (EQUIPMENT LEASING) LIMITED (IN LIQUIDATION)
NATIONAL AUSTRALIA FINANCE (EUROPE HOLDINGS) LIMITED
NATIONAL AUSTRALIA FINANCE (INFRASTRUCTURE LEASING NO.1) LIMITED
NATIONAL AUSTRALIA FINANCE (INFRASTRUCTURE LEASING NO.2) LIMITED

NATIONAL AUSTRALIA FINANCE (LEASING) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.1) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.2) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.3) LIMITED (IN LIQUIDATION)
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.4) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.5) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING NO.6) LIMITED
NATIONAL AUSTRALIA FINANCE (VESSEL LEASING) LIMITED
NATIONAL AUSTRALIA FINANCIAL MANAGEMENT LIMITED
NATIONAL AUSTRALIA FUNDING (DELAWARE) INC.
NATIONAL AUSTRALIA GROUP (NZ) LIMITED
NATIONAL AUSTRALIA GROUP SERVICES LTD
NATIONAL AUSTRALIA INVESTMENT CAPITAL LIMITED
NATIONAL AUSTRALIA MANAGERS LIMITED
NATIONAL AUSTRALIA MERCHANT BANK (SINGAPORE) LIMITED
NATIONAL AUSTRALIA MONTHLY INCOME FUND
NATIONAL AUSTRALIA PKI HOLDINGS LLC
NATIONAL AUSTRALIA PROPERTY FUND
NATIONAL AUSTRALIA TRUSTEES LIMITED
NATIONAL AUSTRALIA UNDERWRITERS LIMITED
NATIONAL CAPITAL FUNDING (UK) LIMITED (IN LIQUIDATION)
NATIONAL CAPITAL HOLDINGS I INC.
NATIONAL CAPITAL INSTRUMENTS [AUD] LLC 2
NATIONAL CAPITAL INSTRUMENTS [EURO] LLC 1
NATIONAL CAPITAL INSTRUMENTS II LLC 2
NATIONAL CAPITAL INSTRUMENTS II LLC1
NATIONAL CAPITAL INSTRUMENTS [AUD] LLC 1
NATIONAL CAPITAL INSTRUMENTS [EURO] LLC 2
NATIONAL CAPITAL TRUST I
NATIONAL CAPITAL TRUST II (DELAWARE)
NATIONAL CAPITAL TRUST III
NATIONAL EQUITIES LIMITED
NATIONAL FUNDING HOLDINGS PTY LTD
NATIONAL FUNDING TRUST I
NATIONAL FUNDING TRUST II (DELAWARE)
NATIONAL HK INVESTMENTS LIMITED
NATIONAL MARGIN SERVICES PTY LIMITED
NATIONAL MARKETS GROUP LIMITED
NATIONAL MORTGAGE SECURITIES, INC.
NATIONAL NOMINEES LIMITED
NATIONAL OBU PTY LIMITED
NATIONAL WEALTH MANAGEMENT HOLDINGS LIMITED
NATIONAL WEALTH MANAGEMENT INTERNATIONAL HOLDINGS LTD
NATIONAL WEALTH MANAGEMENT NEW ZEALAND HOLDINGS LTD
NATIONAL WEALTH MANAGEMENT SERVICES LTD
NAUTILUS INSURANCE PTE LIMITED
NAUTILUS NAB LIMITED
NAVIGATOR AUSTRALIA LIMITED
NAVIGATOR INCOME TRUST

NBA LEASING PTY. LIMITED (IN LIQUIDATION)
NBA PROPERTIES LIMITED
NCIT- PROPERTY SECURITIES TRUST
NCP-CAPITAL INTERNATIONAL GLOBAL EQUITY TRUST WITH CURRENCY
NMS NOMINEES PTY LIMITED
NORTHWARD CAPITAL AUSTRALIAN EQUITY
NORTHWARD CAPITAL PTY LIMITED
NSIM ENHANCED CASH TRUST
NULIS NOMINEES (AUSTRALIA) LIMITED
NWMH SUB (CANADA) INC
NWMH SUB LIMITED
PARTOWN PTY. LIMITED
PAYMARK LIMITED
PAYMENTS NZ LIMITED
PENGANA HOLDINGS PTY LTD
PENNLEY PTY LTD
PFS NOMINEES PTY LIMITED
PLAN AUSTRALIA OPERATIONS PTY. LTD.
PLAN GROUP NOMINEES PTY LTD
PLAN LENDING PTY LTD
PLUM FINANCIAL SERVICES LIMITED
PMJI INC.
PRE SELECT AUSTRALIAN EQUITY FUND
PRE SELECT AUSTRALIAN SMALL COMPANIES FUND
PRE SELECT BALANCED FUND
PRE SELECT BOUTIQUE AUSTRALIAN EQUITY FUND
PRE SELECT CONSERVATIVE FUND
PRE SELECT GROWTH FUND
PRE SELECT HIGH GROWTH FUND
PRE SELECT INTERNATIONAL EQUITY FUND
PRESIMA INC.
PROFESSIONAL LENDERS ASSOCIATION NETWORK OF AUSTRALIA PTY. LTD.
PROPERTY EXCHANGE AUSTRALIA LIMITED
PROQUO PTY LTD
PUGET LIMITED (IN LIQUIDATION)
QW RAIL LEASING LIMITED
REDPOINT INVESTMENT MANAGEMENT PTY LTD
SOCIETY FOR WORLDWIDE INTERBANK FINANCIAL TELECOMMUNICATION
STAR COMMODITIES PTY LTD
STARFISH COMPANY LIMITED
TASOVAC PTY LIMITED
TEMPCO NO.1
THE DEEP IN HULL LIMITED
THE PUGET LIMITED PARTNERSHIP (DISSOLVED 16/06/2016)
THE TRUSTEE FOR NCIT - GLOBAL EQUITIES TRUST NO 2
THE TRUSTEE FOR WM POOL - EQUITIES TRUST NO. 35
THE TRUSTEE FOR WM POOL - EQUITIES TRUST NO. 36
THE TRUSTEE FOR WM POOL - LTAR PART 1 NS TRUST
TOP 200 FUND

VALEWIN PTY. LIMITED
VIPRO PTY LTD
W M POOL - EQUITIES NO. 23 TRUST
WEALTHHUB SECURITIES LIMITED
WEALTHHUB SECURITIES NOMINEES PTY LIMITED
WHOLESALE INCOME BUILDER
WHOLESALE AUSTRALIAN SHARE GROWTH STYLE FUND
WHOLESALE AUSTRALIAN SHARE VALUE STYLE FUND
WHOLESALE CAPITAL INTERNATIONAL GLOBAL SHARE FUND
WHOLESALE GLOBAL SHARE FUND
WHOLESALE GLOBAL SHARE GROWTH STYLE FUND
WHOLESALE GLOBAL SHARE VALUE STYLE FUND
WHOLESALE PROPERTY SECURITIES FUND
WILTSHIRE CAPITAL LIMITED
WILTSHIRE CAPITAL MANAGEMENT LIMITED
WM LIFE AUSTRALIA LIMITED
WM POOL - BANK OF IRELAND GLOBAL EQUITIES TRUST
WM POOL - BEM AGGRESSIVE AUSTRALIAN EQUITIES TRUST
WM POOL - BEM AUSTRALIAN EQUITIES TRUST
WM POOL - BLACKROCK GLOBAL FIXED INTEREST (S) TRUST
WM POOL - BRIDGEWATER GLOBAL FIXED INTEREST TRUST
WM POOL - CAPITAL INTERNATIONAL GLOBAL EQUITIES TRUST
WM POOL - CONCORD AUSTRALIAN EQUITIES TRUST
WM POOL - CONTANGO AUSTRALIAN EQUITIES TRUST
WM POOL - DIMENSIONAL AUSTRALIAN EQUITIES TRUST
WM POOL - EQUITIES TRUST NO 14
WM POOL - EQUITIES TRUST NO 20
WM POOL - EQUITIES TRUST NO. 15
WM POOL - EQUITIES TRUST NO. 16
WM POOL - EQUITIES TRUST NO. 17
WM POOL - EQUITIES TRUST NO. 18
WM POOL - EQUITIES TRUST NO. 19
WM POOL - EQUITIES TRUST NO. 21
WM POOL - EQUITIES TRUST NO. 22
WM POOL - EQUITIES TRUST NO. 26
WM POOL - EQUITIES TRUST NO. 27
WM POOL - EQUITIES TRUST NO. 28
WM POOL - EQUITIES TRUST NO. 31
WM POOL - EQUITIES TRUST NO. 32
WM POOL - EQUITIES TRUST NO. 33
WM POOL - EQUITIES TRUST NO. 34
WM POOL - EQUITIES TRUST NO.1
WM POOL - EQUITIES TRUST NO.11
WM POOL - EQUITIES TRUST NO.2
WM POOL - EQUITIES TRUST NO.9
WM POOL - FIXED INTEREST TRUST NO 1
WM POOL - FIXED INTEREST TRUST NO. 15
WM POOL - FIXED INTEREST TRUST NO. 3
WM POOL - FIXED INTEREST TRUST NO. 5

WM POOL - FIXED INTEREST TRUST NO. 6
WM POOL - FIXED INTEREST TRUST NO. 7
WM POOL - FIXED INTEREST TRUST NO. 8
WM POOL - FIXED INTEREST TRUST NO. 9
WM POOL - GLOBAL EQUITIES TRUST NO 1
WM POOL - GLOBAL EQUITIES TRUST NO. 4
WM POOL - GLOBAL PROPERTIES TRUST
WM POOL - HIGH YIELD FIXED INTEREST TRUST
WM POOL - INFLATION LINKED SECURITIES TRUST
WM POOL - JARDINE FLEMING AUSTRALIAN EQUITIES TRUST
WM POOL - LAZARD AUSTRALIAN EQUITIES TRUST
WM POOL - LTAR PART 1 TRUST
WM POOL - MARVIN & PALMER EMERGING MARKETS TRUST
WM POOL - MBA AUSTRALIAN EQUITIES TRUST
WM POOL - NSIM AUSTRALIAN FIXED INTEREST (A) TRUST
WM POOL - NSIM AUSTRALIAN FIXED INTEREST (S) TRUST
WM POOL - NSIM CASH TRUST
WM POOL - PIMCO GLOBAL FIXED INTEREST (A) TRUST
WM POOL - PIMCO GLOBAL FIXED INTEREST (S) TRUST
WM POOL - PROPERTY SECURITIES TRUST NO 1
WM POOL - RESOLUTION CAPITAL PROPERTY SECURITIES TRUST
WM POOL - UBS AUSTRALIAN FIXED INTEREST (A) TRUST
WM POOL - UBS AUSTRALIAN FIXED INTEREST (S) TRUST
WM POOL - VANGUARD AUSTRALIAN EQUITIES INDEX TRUST
WM POOL - VANGUARD GLOBAL EQUITIES INDEX TRUST
WM POOL - WALLARA AUSTRALIAN EQUITIES TRUST
WM POOL - WELLINGTON GLOBAL EQUITIES TRUST
WM POOL EQUITIES TRUST NO 12
WM POOL GLOBAL EQUITIES TRUST NO 5
WM SECTOR - AUSTRALIAN EQUITIES GROWTH TRUST
WM SECTOR - AUSTRALIAN EQUITIES TRUST
WM SECTOR - AUSTRALIAN EQUITIES VALUE TRUST
WM SECTOR - AUSTRALIAN FIXED INTEREST TRUST
WM SECTOR - DIVERSIFIED DEBT (ALL) TRUST
WORKING CAPITAL SERVICES PTY LIMITED (IN LIQUIDATION)
YELDBROKER PTY LIMITED