



Bligh Resources Ltd  
Level 5  
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North Sydney NSW 2060  
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[www.blighresources.com.au](http://www.blighresources.com.au)

Company Announcements  
ASX Limited  
Exchange Centre  
20 Bridge Street  
Sydney NSW 2000

29 July 2016

### **ASX APPENDICIES 3X AND 3Y**

On 26 July the Company lodged ASX Appendix 3Zs for Mr Peiqi Zhang and Dr Eric Zhang following their resignation as Directors. The Company also lodged ASX Appendix 3Ys for Dr Zhang and Mr Richie Yang as a consequence of the transfer of Performance Shares to Mr Yang by Dr Zhang prior to his resignation. These documents were lodged 2 days later than the 5 business days required by the listing rules 3.19A.

1. The documents were lodged late as a consequence of a clerical oversight.
2. The Company advises Directors on appointment of their obligations under listing rule 3.19B and reminds Directors of this obligation at formal Board meetings.
3. The Company considers the current arrangements to be adequate to ensure future compliance with ASX listing rule 3.19B.

A handwritten signature in black ink, appearing to read "Ian K White". The signature is written in a cursive style and is positioned above a dotted line.

.....  
Ian K White  
Company Secretary





27 July 2016

Mr Ian White  
Company Secretary  
Bligh Resources Limited  
Level 5, 1 Pacific Highway  
North Sydney NSW 2060

[By email: [ian.white@hotmail.com](mailto:ian.white@hotmail.com)]

Dear Mr White

**Bligh Resources Limited – Change of Director’s Interest Notices**

- 1 We refer to the following:
  - 1.1 The Appendix 3Y lodged by the Entity with ASX on 27 July 2016 for Dr Eric Zhang;
  - 1.2 The Appendix 3Y lodged by the Entity with ASX on 27 July 2016 for Mr Peiqi Zhang (together the “3Y Appendices”);
  - 1.3 The Appendix 3Z lodged by the Entity with ASX on 27 July 2016 for Dr Eric Zhang; and
  - 1.4 The Appendix 3Z lodged by the Entity with ASX on 27 July 2016 for Bill Richie Yang (together, the “3Z Appendices” and, together with the 3Y Appendices, “the Appendices”).
- 2 Listing rule 3.19A requires an entity to tell ASX the following:
  - 3.19A.1 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the following times.
    - On the date that the entity is admitted to the official list.
    - On the date that a director is appointed.The entity must complete Appendix 3X and give it to ASX no more than 5 business days after the entity’s admission or a director’s appointment.
  - 3.19A.2 A change to a notifiable interest of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) including whether the change occurred during a closed period where prior written clearance was required and, if so, whether prior written clearance was provided. The entity must complete Appendix 3Y and give it to ASX no more than 5 business days after the change occurs.
  - 3.19A.3 The notifiable interests of a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) at the date that the director ceases to be a director. The entity must complete Appendix 3Z and give it to ASX no more than 5 business days after the director ceases to be a director.



3 Listing rule 3.19B which states as follows:

An entity must make such arrangements as are necessary with a director of the entity (or in the case of a trust, a director of the responsible entity of the trust) to ensure that the director discloses to the entity all the information required by the entity to give ASX completed Appendices 3X, 3Y and 3Z within the time period allowed by listing rule 3.19.A. The entity must enforce the arrangements with the director.

The 3Y Appendices indicate that a change in the notifiable interest of each of the Directors occurred on 17 July 2016. It appears that the 3Y Appendices should have been lodged with ASX by 22 July 2016. Consequently, the Entity may be in breach of listing rules 3.19A and/or 3.19B. It also appears the directors concerned may have breached section 205G of the Corporations Act.

Further, as the Appendix 3Z relating to Dr Eric Zhang indicated that the director ceased to be a director on 17 Jul 2016 and as the Appendix 3Z relating to Mr Peiqi Zhang indicated that the director ceased to be a director on 16 Jul 2016, it appears the 3Z Appendices should have lodged with ASX by 22 July 2016. As it was lodged on 27 July 2016 it also appears that there may have been a further breach by the Entity of listing rules 3.19A and/or 3.19B

Please note that ASX is required to record details of breaches of the listing rules by listed entities for its reporting requirements.

ASX reminds the Entity of its contract with ASX to comply with the listing rules. In the circumstances ASX considers that it is appropriate that the Entity make necessary arrangements to ensure there is not a reoccurrence of a breach of the listing rules.

Having regard to listing rules 3.19A and 3.19B and Guidance Note 22: *Director Disclosure of Interests and Transactions in Securities - Obligations of Listed Entities*, under listing rule 18.7 we ask that you answer each of the following questions.

1. Please explain why the Appendices were lodged late.
2. What arrangements does the Entity have in place under listing rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A?
3. If the current arrangements are inadequate or not being enforced, what additional steps does the Entity intend to take to ensure compliance with listing rule 3.19B?

Your response should be sent to me by e-mail at [johanna.o'shea@asx.com.au](mailto:johanna.o'shea@asx.com.au). It should not be sent to the ASX Market Announcements Office.

A response is requested as soon as possible and, in any event, not later than half an hour before the start of trading (i.e. before 9.30 a.m. A.E.S.T.) on Monday 1 August 2016.

Under listing rule 18.7A, a copy of this letter and your response will be released to the market, so your response should be in a form suitable for release and must separately address each of the questions asked.



If you have any queries or concerns about any of the above, please contact me immediately.

Yours sincerely

*[Sent electronically without signature]*

Johanna O'Shea

**Adviser, Listings Compliance (Sydney)**