

16 June 2016

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**Directors & Management**

Mr Bruce McFarlane  
*Non-Executive Chairman*

Mr Lloyd Jones  
*Managing Director*

Mr David Ryan  
*Non-Executive Director*

Mr Rodney Foster  
*Non-Executive Director*

Mr Robert Marusco  
*Company Secretary*

**ASX Code:** NTM

**Website:** [www.northernmanganese.com.au](http://www.northernmanganese.com.au)

**ABN** 24 119 494 772

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## **BREACH OF SECURITIES TRADING POLICY**

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The Company refers to the Appendix 3Y lodged on 8 June 2016 in relation to the disposal of shares by Mr Bruce McFarlane on Monday, 6 June 2016.

Under the Company's Securities Trading Policy, before buying or selling shares a director is required to obtain the prior approval of the Chairman or the Board, or in the case of trading by the Chairman, prior approval by the remaining Board members.

On 6 June 2016 (which was a public holiday in Western Australia but a trading day on ASX) Mr McFarlane sent an email to the other Board members and the Company Secretary requesting permission to sell shares, noting that he was overseas and his phone had been stolen or lost. Prior to receiving a response Mr McFarlane's shares were sold on ASX.

The Company is seeking legal advice in relation to the consequences of the breach and will consider what action (if any) to take with regards to the breach once it has received that advice.

The Company is not aware of any other information relevant to the breach that would require disclosure to ASX pursuant to Listing Rule 3.1.



**Lloyd Jones**

**Managing Director**