



Initial Disclosure Notice

Disclosure of Directors and Senior Managers Relevant Interests

Sections 297(1) and 298(1), Financial Markets Conduct Act 2013

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date on which issuer listed or appointment made:

NZX Limited
3-May-17
26/04/2017*

*Date on which Mr Phillips was identified as a Senior Manager for the purpose of the Financial Markets Conduct Act 2013.

Director or senior manager giving disclosure

Full name:
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Benjamin Phillips
NZX Limited
N/A
Head of Market Operations

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Nature of relevant interest:
Number held in class:
Current registered holder:

NZX Ordinary Shares (NZL)
Legal
630
Benjamin Phillips

Summary of relevant interest (excluding specified derivatives)

Class of quoted financial product:
Nature of relevant interest:
Number held in class:
Current registered holder:

NZX non-participating redeemable shares (NZXTRP)
Beneficial
67,556
NZX Executive Share Plan Nominees Limited

Certification

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.

Signature of officer:
Date of signature:


3-May-17

or
Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:
