

Disclosure of movement of 1% or more in substantial holding
or change in nature of relevant interest, or both

Sections 277 and 278, Financial Markets Conduct Act 2013

To NZX Limited

and

To Abano Healthcare Group Limited (ABA)

Relevant event being disclosed: **Disclosure of movement of 1% or more in substantial holding**

Date of relevant event: 30 August 2017

Date this disclosure made: 31 August 2017

Date last disclosure made: 16 August 2017

Substantial product holder(s) giving disclosure

Full name(s): Harbour Asset Management Limited

Summary of substantial holding

Class of quoted voting products: Ordinary Shares

Summary for **Harbour Asset Management Limited and First NZ Capital Securities Limited**

For **this** disclosure,—

- (a) total number held in class: **2,525,280**
- (b) total in class: **25,757,775**
- (c) total percentage held in class: **9.804%**

For **last** disclosure,—

- (a) total number held in class: **1,561,880**
- (b) total in class: **21,465,266**
- (c) total percentage held in class: **7.280%**

Details of transactions and events giving rise to relevant event

Details of the transactions or other events requiring disclosure: **As a result of ABA issuing 4,292,509 new ordinary shares on 30 August 2017, via a 1:5 rights issue on 1 August 2017, the following transactions have occurred. As noted above, on 16 August 2017 Harbour Asset Management Limited held 1,506,880 ABA ordinary shares (7.02%) and First NZ Capital Securities Limited held 55,000 ABA ordinary shares (0.26%). Between 16 August 2017 and 30 August 2017, as a result of normal on market trading activity, Harbour Asset Management Limited purchased 102,900 ABA ordinary shares for a consideration of \$952,470.00 and exercised**

654,950 rights for a consideration of \$5,337,843.00. First NZ Capital Securities Limited as a result of normal on market trading activity sold net 18,219 ABA ordinary shares and exercised 223,769 rights.

Details after relevant event

Details for **Harbour Asset Management Limited**

Nature of relevant interest(s): **Harbour Asset Management Limited, as a fund manager, the power to exercise a right to vote attached to, and power to acquire or dispose of, the products, under powers of investment contained in investment management contracts with clients (the beneficial owners of the product).**

For that relevant interest,—

- (a) number held in class: **2,264,730**
- (b) percentage held in class: **8.792%**
- (c) current registered holder(s): **2,264,730 – Various nominee companies on behalf of clients as beneficial owners.**
- (d) registered holder(s) once transfers are registered: **No relevant agreement needs to be attached under regulation 139.**

Details for **First NZ Capital Securities Limited**

Nature of relevant interest(s): **Beneficial owners of financial products**

For that relevant interest,—

- (a) number held in class: **260,550**
- (b) percentage held in class: **1.012%**
- (c) current registered holder(s): **260,550 – First NZ Capital Securities Limited**
- (d) registered holder(s) once transfers are registered: **No relevant agreement needs to be attached under regulation 139.**

Additional information

Address(es) of substantial product holder(s): **Level 16, 171 Featherston Street, Wellington**

Contact details: **Helen Stevens, + 64 4 474 4430, helen.stevens@fnzc.co.nz**

Nature of connection between substantial product holders: **First NZ Capital Group Limited, First NZ Capital Securities Limited and Harbour Asset Management Limited are related bodies corporate.**

Name of any other person believed to have given, or believed to be required to give, a disclosure under the Financial Markets Conduct Act 2013 in relation to the financial products to which this disclosure relates: **None**

Certification

I, **Helen Stevens**, certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.

