

Wednesday, 21 November 2018

## ASR NTA & Allotment Notice

Smartshares Limited would like to report details of the Units on Issue for the Australian Resources Fund (ASR) as at 20 November 2018.

**Units on Issue:** 6,232,633

**Units Allotted/(Redeemed):** -

**Net Tangible Assets (NTA):** \$4.39215

**Tracking Difference:** 1.34%

**Please note Basket applications and redemptions will be unavailable from Thursday 15 November to Thursday 29 November. Basket applications and redemptions will resume on Friday 30 November.**

The objective of the Australian Resources Fund (ASR) is to provide a return that closely matches the return on the S&P/ASX 200 Resources Index. The S&P/ASX 200 Resources Index provides exposure to the Resources sector of the Australian equity market.

**The following companies are currently held in the Fund:**

| Code | Security description                       |
|------|--------------------------------------------|
| ASL  | Ausdrill Ltd.                              |
| AWC  | Alumina Ltd.                               |
| BHP  | BHP Billiton Ltd.                          |
| BPT  | Beach Energy Ltd.                          |
| BSL  | Bluescope Steel Ltd.                       |
| CTX  | Caltex Australia Ltd.                      |
| EVN  | Evolution Mining Ltd.                      |
| FMG  | Fortescue Metals Group Ltd.                |
| GXY  | Galaxy Resources Ltd.                      |
| IGO  | Independence Group NL                      |
| ILU  | Iluka Resources Ltd.                       |
| LYC  | Lynas Corporation Ltd.                     |
| MIN  | Mineral Resources Ltd.                     |
| NCM  | Newcrest Mining Ltd.                       |
| NST  | Northern Star Resources Ltd.               |
| ORE  | Orocobre Ltd.                              |
| ORG  | Origin Energy Ltd.                         |
| OSH  | Oil Search Ltd.                            |
| OZL  | OZ Minerals Ltd.                           |
| PLS  | Pilbara Minerals Ltd.                      |
| RIO  | Rio Tinto Ltd.                             |
| RRL  | Regis Resources Ltd.                       |
| RSG  | Resolute Mining Ltd.                       |
| S32  | South32 Ltd.                               |
| SAR  | Saracen Mineral Holdings Ltd.              |
| SBM  | St Barbara Ltd.                            |
| SFR  | Sandfire Resources NL                      |
| SGM  | Sims Metal Management Ltd.                 |
| SOL  | Washington H Soul Pattinson & Company Ltd. |
| STO  | Santos Ltd.                                |
| SYR  | Syrah Resources Ltd.                       |
| VEA  | Viva Energy Group Ltd.                     |
| WHC  | Whitehaven Coal Ltd.                       |
| WOR  | WorleyParsons Ltd.                         |

|     |                         |
|-----|-------------------------|
| WPL | Woodside Petroleum Ltd. |
| WSA | Western Areas Ltd.      |

## About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 14,500 unit holders and more than NZD \$2.5 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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