

Wednesday, 3 July 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 2 July 2019.

APA         Smartshares Asia Pacific ETF         2.01006         57,509,500         -           ASD         Smartshares Australian Dividend ETF         1.69004         15,554,541         250,000           ASF         Smartshares Australian Property ETF         7.46878         3.465,468         -           ASP         Smartshares Australian Property ETF         1.59479         24,422,531         -           ASR         Smartshares Australian Resources ETF         5.27907         6,532,633         -           BIV         Smartshares Australian Resources ETF         1.29738         34,907,211         750,000           EMF         Smartshares RD Property ETF         1.67629         104,410,313         -           FNZ         Smartshares NZ Top 50 ETF         2.93355         206,551,179         1,600,000           GBF         Smartshares NZ Top 50 ETF         2.93355         206,551,179         1,600,000           MDZ         Smartshares NZ MIC cap ETF         5.67113         26,540,674         200,000           MDZY         Smartshares NZ Property ETF         1,4145         59,636,748         7,000           MZY         Smartshares NZ Property ETF         1,4145         59,636,748         7,000           NZC         Smartshares NZ Caph ETF         <	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.46878         3,465,468         -           ASP         Smartshares Australian Property ETF         1.59479         24,422,531         -           ASR         Smartshares Australian Resources ETF         5.27907         6,532,633         -           DIV         Smartshares Dolvidend ETF         1,32430         34,907,211         750,000           EMF         Smartshares Europe ETF         1,67629         104,410,313         -           FNZ         Smartshares Europe ETF         2,93355         206,551,179         1,600,000           GBF         Smartshares NZ Top 50 ETF         2,93355         206,551,179         1,600,000           MDZ         Smartshares NZ Mid Cap ETF         3,24715         65,164,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         7,03243         16,232,274         200,000           MZY         Smartshares NZ Property ETF         1,41145         59,636,748         -           NZB         Smartshares NZ Property ETF         1,41145         59,636,748         -           NZC         Smartshares NZ Bond ETF         3,08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2,97652         27,283	APA	Smartshares Asia Pacific ETF	2.01006	57,509,500	-
ASP         Smartshares Australian Property ETF         1.59479         24,422,531         -           ASR         Smartshares Australian Resources ETF         5.27907         6,532,633         -           DIV         Smartshares NZ Dividend ETF         1.32430         34,907,211         750,000           EMF         Smartshares Emerging Markets ETF         1.29738         96,692,000         (800,000)           EUF         Smartshares Europe ETF         1.67629         104,410,313         -           FNZ         Smartshares NZ Top 50 ETF         2.93355         206,551,179         1,600,000           GBF         Smartshares NZ Mid Cap ETF         3.24715         65,164,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.67113         26,540,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares NZ Top 10 ETF         3.91059 <th< td=""><td>ASD</td><td>Smartshares Australian Dividend ETF</td><td>1.69004</td><td>15,554,541</td><td>250,000</td></th<>	ASD	Smartshares Australian Dividend ETF	1.69004	15,554,541	250,000
ASR Smartshares Australian Resources ETF 5.27907 6,532,633 - DIV Smartshares NZ Dividend ETF 1.32430 34,907,211 750,000 EMF Smartshares Emerging Markets ETF 1.29738 96,692,000 (800,000) EUF Smartshares Europe ETF 1.67629 104,410,313 - FNZ Smartshares NZ Top 50 ETF 2.93355 206,551,179 1,600,000 GBF Smartshares Global Bond ETF 3.24715 65,164,618 70,000 MDZ Smartshares NZ Mid Cap ETF 5.67113 26,540,674 200,000 MZY Smartshares Australian Mid Cap ETF 5.67113 26,540,674 200,000 MZY Smartshares Australian Mid Cap ETF 7.03243 16,232,274 - NPF Smartshares NZ Property ETF 1.41145 59,636,748 - NZB Smartshares NZ Bond ETF 3.08619 98,781,618 70,000 NZC Smartshares NZ Bond ETF 2.97652 27,283,936 - OZY Smartshares NZ Cash ETF 2.97652 27,283,936 - OZY Smartshares NZ Top 10 ETF 1.81441 59,631,573 - TWF Smartshares NZ Top 10 ETF 1.81441 59,631,573 - TWF Smartshares Total World ETF 2.28293 22,766,773 - USF Smartshares US 500 ETF 8.15634 30,358,001 - USG Smartshares US Green ETF 5.02551 14,849,785 - USG Smartshares US Mid Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 5.02551 14,849,785 - USS Smartshares Global Aggregate Bond ETF 5.02551 14,849,785 - USS Smartshares Global Aggregate Bond ETF 5.02551 14,849,785 - USS Smartshares Global Aggregate Bond ETF 5.02551 14,849,785 - USS Smartshares Global Aggregate Bond ETF 5.02551 14,849,785 - USS Smartshares Europe Equities ESG ETF 2.90349 904,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 904,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 904,000 - EMG Smartshares Europe Equities ESG ETF 2.07793 1,944,000 - EMG Smartshares Europe Equities ESG ETF 2.33525 1,672,000 - EMG Smartshares Equities ESG ETF 2.33525 1,672,000 -	ASF	Smartshares Australian Financials ETF	7.46878	3,465,468	-
DIV         Smartshares NZ Dividend ETF         1.32430         34,907,211         750,000           EMF         Smartshares Emerging Markets ETF         1.29738         96,692,000         (800,000)           EUF         Smartshares Europe ETF         1.67629         104,410,313         -           FNZ         Smartshares NZ Top 50 ETF         2.93355         206,551,179         1,600,000           GBF         Smartshares Global Bond ETF         3.24715         65,164,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.67113         26,540,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           USF         Smartshares SToal World ETF         2.28293         22,766,7	ASP	Smartshares Australian Property ETF	1.59479	24,422,531	-
EMF         Smartshares Emerging Markets ETF         1.29738         96,692,000         (800,000)           EUF         Smartshares Europe ETF         1.67629         104,410,313         -           FNZ         Smartshares NZ Top 50 ETF         2.93355         206,551,179         1,600,000           GBF         Smartshares Global Bond ETF         3.24715         65,164,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.67113         26,540,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares US Total World ETF         2.28293         22,766,773         -           USF         Smartshares US Sou ETF         8.15634         30,358,001	ASR	Smartshares Australian Resources ETF	5.27907	6,532,633	-
EUF Smartshares Europe ETF 1.67629 104,410,313 - FNZ Smartshares NZ Top 50 ETF 2.93355 206,551,179 1,600,000 GBF Smartshares Global Bond ETF 3.24715 65,164,618 70,000 MDZ Smartshares NZ Mid Cap ETF 5.67113 26,540,674 200,000 MZY Smartshares Australian Mid Cap ETF 7.03243 16,232,274 - NPF Smartshares NZ Property ETF 1.41145 59,636,748 - NZB Smartshares NZ Bond ETF 3.08619 98,781,618 70,000 NZC Smartshares NZ Bond ETF 2.97652 27,283,936 - OZY Smartshares Australian Top 20 ETF 3.91059 33,231,267 - TNZ Smartshares NZ Top 10 ETF 1.81441 59,631,573 - TWF Smartshares NZ Top 10 ETF 1.81441 59,631,573 - USF Smartshares Total World ETF 2.28293 22,766,773 - USF Smartshares US 500 ETF 8.15634 30,358,001 - USG Smartshares US arge Growth ETF 4.87937 7,277,827 - USG Smartshares US Mid Cap ETF 5.02551 14,849,785 - USS Smartshares US Small Cap ETF 4.69718 13,767,224 - USS Smartshares US Large Value ETF 3.35952 21,302,500 - AGG Smartshares US Large Value ETF 1.33395 4,540,800 - BOT Smartshares Automation and Robotics ETF 2.90349 904,000 - EMG Smartshares Energing Markets Equities ESG ETF 2.90349 904,000 - EMG Smartshares Energing Markets Equities ESG ETF 2.90349 904,000 - EMG Smartshares Energing Markets Equities ESG ETF 2.90349 904,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 500,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 500,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 500,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 500,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 500,000 - EMG Smartshares Europe Equities ESG ETF 2.90349 500,000 - EMG Smartshares Europe Equities ESG ETF 2.93325 1,672,000 - EMG Smartshares Europe Equities ESG ETF 2.33325 1,672,000 - EMG Smartshares Europe Equities ESG ETF 2.33325 1,672,000 -	DIV	Smartshares NZ Dividend ETF	1.32430	34,907,211	750,000
FNZ         Smartshares NZ Top 50 ETF         2.93355         206,551,179         1,600,000           GBF         Smartshares Global Bond ETF         3.24715         65,164,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.67113         26,540,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares NZ Cash ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           USF         Smartshares US Doo ETF         8.15634         30,358,001         -           USF         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USG         Smartshares US Mid Cap ETF         5.02551         14,849,785         -	EMF	Smartshares Emerging Markets ETF	1.29738	96,692,000	(800,000)
GBF         Smartshares Global Bond ETF         3.24715         65,164,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.67113         26,540,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USG         Smartshares US Small Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Large Value ETF         3.35952         21,302,500         -           USV         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800 <td>EUF</td> <td>Smartshares Europe ETF</td> <td>1.67629</td> <td>104,410,313</td> <td>-</td>	EUF	Smartshares Europe ETF	1.67629	104,410,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.67113         26,540,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US Large Growth ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Small Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares Global Aggregate Bond ETF         3.35952         21,302,500	FNZ	Smartshares NZ Top 50 ETF	2.93355	206,551,179	1,600,000
MZY         Smartshares Australian Mid Cap ETF         7.03243         16,232,274         -           NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US S00 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000	GBF	Smartshares Global Bond ETF	3.24715	65,164,618	70,000
NPF         Smartshares NZ Property ETF         1.41145         59,636,748         -           NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US 500 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Small Cap ETF         5.02551         14,849,785         -           USV         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000	MDZ	Smartshares NZ Mid Cap ETF	5.67113	26,540,674	200,000
NZB         Smartshares NZ Bond ETF         3.08619         98,781,618         70,000           NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US 500 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Large Value ETF         3.35952         21,302,500         -           USV         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           EVG         Smartshares Global Equities ESG ETF         2.33525         1,67	MZY	Smartshares Australian Mid Cap ETF	7.03243	16,232,274	-
NZC         Smartshares NZ Cash ETF         2.97652         27,283,936         -           OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US 500 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           EVG         Smartshares Global Equities ESG ETF         2.33525         1,67	NPF	Smartshares NZ Property ETF	1.41145	59,636,748	-
OZY         Smartshares Australian Top 20 ETF         3.91059         33,231,267         -           TNZ         Smartshares NZ Top 10 ETF         1.81441         59,631,573         -           TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US 500 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           ESG         Smartshares Europe Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Japan Equities ESG ETF         2.33525	NZB	Smartshares NZ Bond ETF	3.08619	98,781,618	70,000
TNZ Smartshares NZ Top 10 ETF 1.81441 59,631,573 -  TWF Smartshares Total World ETF 2.28293 22,766,773 -  USF Smartshares US 500 ETF 8.15634 30,358,001 -  USG Smartshares US Large Growth ETF 4.87937 7,277,827 -  USM Smartshares US Mid Cap ETF 5.02551 14,849,785 -  USS Smartshares US Small Cap ETF 4.69718 13,767,224 -  USV Smartshares US Large Value ETF 3.35952 21,302,500 -  AGG Smartshares Global Aggregate Bond ETF 1.33395 4,540,800 -  BOT Smartshares Automation and Robotics ETF 2.90349 904,000 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.07793 1,944,000 -  EMG Smartshares Global Equities ESG ETF 1.99349 500,000 -  EUG Smartshares Europe Equities ESG ETF 2.33525 1,672,000 -  JPN Smartshares Japan Equities ESG ETF 1.83799 1,780,000 -  LIV Smartshares Healthcare Innovation ETF 2.40226 688,000 -	NZC	Smartshares NZ Cash ETF	2.97652	27,283,936	-
TWF         Smartshares Total World ETF         2.28293         22,766,773         -           USF         Smartshares US 500 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           ESG         Smartshares Global Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226 </td <td>OZY</td> <td>Smartshares Australian Top 20 ETF</td> <td>3.91059</td> <td>33,231,267</td> <td>-</td>	OZY	Smartshares Australian Top 20 ETF	3.91059	33,231,267	-
USF         Smartshares US 500 ETF         8.15634         30,358,001         -           USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           ESG         Smartshares Global Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226         688,000         -	TNZ	Smartshares NZ Top 10 ETF	1.81441	59,631,573	-
USG         Smartshares US Large Growth ETF         4.87937         7,277,827         -           USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           ESG         Smartshares Global Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226         688,000         -	TWF	Smartshares Total World ETF	2.28293	22,766,773	-
USM         Smartshares US Mid Cap ETF         5.02551         14,849,785         -           USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           ESG         Smartshares Global Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226         688,000         -	USF	Smartshares US 500 ETF	8.15634	30,358,001	-
USS         Smartshares US Small Cap ETF         4.69718         13,767,224         -           USV         Smartshares US Large Value ETF         3.35952         21,302,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33395         4,540,800         -           BOT         Smartshares Automation and Robotics ETF         2.90349         904,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07793         1,944,000         -           ESG         Smartshares Global Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226         688,000         -	USG	Smartshares US Large Growth ETF	4.87937	7,277,827	-
USV Smartshares US Large Value ETF 3.35952 21,302,500 - AGG Smartshares Global Aggregate Bond ETF 1.33395 4,540,800 - BOT Smartshares Automation and Robotics ETF 2.90349 904,000 - EMG Smartshares Emerging Markets Equities ESG ETF 2.07793 1,944,000 - ESG Smartshares Global Equities ESG ETF 1.99349 500,000 - EUG Smartshares Europe Equities ESG ETF 2.33525 1,672,000 - JPN Smartshares Japan Equities ESG ETF 1.83799 1,780,000 - LIV Smartshares Healthcare Innovation ETF 2.40226 688,000 -	USM	Smartshares US Mid Cap ETF	5.02551	14,849,785	-
AGG Smartshares Global Aggregate Bond ETF 1.33395 4,540,800 - BOT Smartshares Automation and Robotics ETF 2.90349 904,000 - EMG Smartshares Emerging Markets Equities ESG ETF 2.07793 1,944,000 - ESG Smartshares Global Equities ESG ETF 1.99349 500,000 - EUG Smartshares Europe Equities ESG ETF 2.33525 1,672,000 - JPN Smartshares Japan Equities ESG ETF 1.83799 1,780,000 - LIV Smartshares Healthcare Innovation ETF 2.40226 688,000 -	USS	Smartshares US Small Cap ETF	4.69718	13,767,224	-
BOT Smartshares Automation and Robotics ETF 2.90349 904,000 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.07793 1,944,000 -  ESG Smartshares Global Equities ESG ETF 1.99349 500,000 -  EUG Smartshares Europe Equities ESG ETF 2.33525 1,672,000 -  JPN Smartshares Japan Equities ESG ETF 1.83799 1,780,000 -  LIV Smartshares Healthcare Innovation ETF 2.40226 688,000 -	USV	Smartshares US Large Value ETF	3.35952	21,302,500	-
EMGSmartshares Emerging Markets Equities ESG ETF2.077931,944,000-ESGSmartshares Global Equities ESG ETF1.99349500,000-EUGSmartshares Europe Equities ESG ETF2.335251,672,000-JPNSmartshares Japan Equities ESG ETF1.837991,780,000-LIVSmartshares Healthcare Innovation ETF2.40226688,000-	AGG	Smartshares Global Aggregate Bond ETF	1.33395	4,540,800	-
ESG         Smartshares Global Equities ESG ETF         1.99349         500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226         688,000         -	ВОТ	Smartshares Automation and Robotics ETF	2.90349	904,000	-
EUG         Smartshares Europe Equities ESG ETF         2.33525         1,672,000         -           JPN         Smartshares Japan Equities ESG ETF         1.83799         1,780,000         -           LIV         Smartshares Healthcare Innovation ETF         2.40226         688,000         -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.07793	1,944,000	-
JPN Smartshares Japan Equities ESG ETF 1.83799 1,780,000 - LIV Smartshares Healthcare Innovation ETF 2.40226 688,000 -	ESG	Smartshares Global Equities ESG ETF	1.99349	500,000	-
LIV Smartshares Healthcare Innovation ETF 2.40226 688,000 -	EUG	Smartshares Europe Equities ESG ETF	2.33525	1,672,000	-
<u> </u>	JPN	Smartshares Japan Equities ESG ETF	1.83799	1,780,000	-
USA Smartshares US Equities ESG ETF 2.03439 2,080,000 -	LIV	Smartshares Healthcare Innovation ETF	2.40226	688,000	-
	USA	Smartshares US Equities ESG ETF	2.03439	2,080,000	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 15,000 unit holders and more than NZD \$2.8 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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