

Monday, 9 September 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 6 September 2019.

APA         Smartshares Asia Pacific ETF         2.03850         56,679,500         (200,000)           ASD         Smartshares Australian Dividend ETF         1.69080         15,929,541         125,000           ASF         Smartshares Australian Property ETF         7.59643         3,515,468         -           ASP         Smartshares Australian Peoperty ETF         1.66325         25,297,531         -           ASR         Smartshares Australian Resources ETF         5,07186         6,757,633         -           BOIV         Smartshares Australian Resources ETF         1,266325         25,297,531         -           EMF         Smartshares Australian Resources ETF         1,27945         94,972,000         -           EWF         Smartshares Emerging Markets ETF         1,27945         94,972,000         -           EUF         Smartshares Europe ETF         1,70069         102,030,313         (480,000)           FNZ         Smartshares NZ Top S0 ETF         3,11408         210,451,179         -           GBF         Smartshares NZ Top S0 ETF         3,14408         210,451,179         -           MZY         Smartshares NZ Property ETF         5,94649         27,340,674         -           MZY         Smartshares NZ Property ETF	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.59643         3,515,468         -           ASP         Smartshares Australian Property ETF         1.66325         25,297,531         -           ASR         Smartshares Australian Resources ETF         5.07186         6,757,633         -           DIV         Smartshares Polytidend ETF         1.42128         42,032,211         250,000           EMF         Smartshares Europe ETF         1.70069         102,030,313         (480,000)           FNZ         Smartshares Europe ETF         1.70069         102,030,313         (480,000)           FNZ         Smartshares Top DETF         3.11408         210,451,179         -           GBF         Smartshares NZ Frop DETF         3.28570         65,679,618         (130,000)           MOZ         Smartshares NZ MIC Cap ETF         3.28570         65,679,618         (130,000)           MDZ         Smartshares NZ Bustralian Mid Cap ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZC         Smartshares NZ Bond ETF         3.98029         33,831,267         75,000           NZC         Smartshares NZ Top 10 ETF         1.9489	APA	Smartshares Asia Pacific ETF	2.03850	56,679,500	(200,000)
ASP Smartshares Australian Property ETF 1.66325 25,297,531 - ASR Smartshares Australian Resources ETF 5.07186 6,757,633 - DIV Smartshares NZ Dividend ETF 1.42128 42,032,211 250,000 EMF Smartshares Emerging Markets ETF 1.29345 94,972,000 - EUF Smartshares Europe ETF 1.70069 102,030,313 (480,000) FNZ Smartshares NZ Top 50 ETF 3.11408 210,451,179 - GBF Smartshares NZ Top 50 ETF 3.11408 210,451,179 - GBF Smartshares NZ Mid Cap ETF 3.28570 65,679,618 (130,000) MDZ Smartshares NZ Mid Cap ETF 5.94649 27,340,674 - MZY Smartshares NZ Property ETF 7.33093 16,382,274 75,000 NPF Smartshares NZ Property ETF 1.54454 72,011,748 - NZB Smartshares NZ Property ETF 1.54454 72,011,748 - NZC Smartshares NZ Bond ETF 3.11528 103,521,618 100,000 NZC Smartshares NZ Cash ETF 2.97139 26,478,936 - OZY Smartshares NZ Top 10 ETF 3.98029 33,831,267 75,000 TNZ Smartshares NZ Top 10 ETF 1.94989 60,881,573 - TWF Smartshares NZ Top 10 ETF 1.94989 60,881,573 - USF Smartshares NZ Soo ETF 2.35746 23,581,773 - USF Smartshares Total World ETF 3.522425 14,709,363 (15,045) USG Smartshares US large Growth ETF 5.22210 7,439,770 - USG Smartshares US Large Growth ETF 5.22245 14,709,363 (15,045) USS Smartshares US Small Cap ETF 3.46974 21,357,500 (25,000) BOT Smartshares US Growth ETF 3.46974 21,357,500 (25,000) BOT Smartshares Healthcare Innovation ETF 2.93591 1,636,000 - EMG Smartshares Emerging Markets Equities ESG ETF 2.07933 1,420,000 - EMG Smartshares Emerging Markets Equities ESG ETF 2.07933 1,420,000 - EMG Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 - IPN Smartshares Lappa Equities ESG ETF 2.38332 3,548,000 -	ASD	Smartshares Australian Dividend ETF	1.69080	15,929,541	125,000
ASR         Smartshares Australian Resources ETF         5.07186         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.42128         42,032,211         250,000           EMF         Smartshares Emerging Markets ETF         1.29345         94,972,000         -           EUF         Smartshares Europe ETF         1.70069         102,030,313         (480,000)           FNZ         Smartshares Europe ETF         3.11408         210,451,179         -           GBF         Smartshares Clobal Bond ETF         3.28570         65,679,618         (130,000)           MDZ         Smartshares SM Mid Cap ETF         5.94649         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.33003         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZC         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Bond ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60	ASF	Smartshares Australian Financials ETF	7.59643	3,515,468	-
DIV         Smartshares NZ Dividend ETF         1.42128         42,032,211         250,000           EMF         Smartshares Emerging Markets ETF         1.29345         94,972,000         -           EUF         Smartshares Europe ETF         1.70069         102,030,313         (480,000)           FNZ         Smartshares NZ Top 50 ETF         3.11408         210,451,179         -           GBF         Smartshares Global Bond ETF         3.28570         65,679,618         (130,000)           MDZ         Smartshares NZ Mid Cap ETF         5.94649         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           USF         Smartshares Total World ETF         2.35746         23,581,	ASP	Smartshares Australian Property ETF	1.66325	25,297,531	-
EMF         Smartshares Emerging Markets ETF         1.29345         94,972,000         -           EUF         Smartshares Europe ETF         1.70069         102,030,313         (480,000)           FNZ         Smartshares NZ Top 50 ETF         3.11408         210,451,179         -           GBF         Smartshares Global Bond ETF         3.28570         65,679,618         (130,000)           MDZ         Smartshares NZ Mid Cap ETF         5.94649         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Mid Cap ETF         5.22425         14,709,363 </td <td>ASR</td> <td>Smartshares Australian Resources ETF</td> <td>5.07186</td> <td>6,757,633</td> <td>-</td>	ASR	Smartshares Australian Resources ETF	5.07186	6,757,633	-
EUF Smartshares Europe ETF 1.70069 102,030,313 (480,000)  FNZ Smartshares NZ Top 50 ETF 3.11408 210,451,179 -  GBF Smartshares Global Bond ETF 3.28570 65,679,618 (130,000)  MDZ Smartshares NZ Mid Cap ETF 5.94649 27,340,674 -  MZY Smartshares Australian Mid Cap ETF 7.33093 16,382,274 75,000  NPF Smartshares NZ Property ETF 1.54454 72,011,748 -  NZB Smartshares NZ Bond ETF 3.11528 103,521,618 100,000  NZC Smartshares NZ Cash ETF 2.97139 26,478,936 -  OZY Smartshares Australian Top 20 ETF 3.98029 33,831,267 75,000  TNZ Smartshares NZ Top 10 ETF 1.94989 60,881,573 -  TWF Smartshares Total World ETF 2.35746 23,581,773 -  USF Smartshares US 500 ETF 8.58932 30,198,001 (75,000)  USG Smartshares US arge Growth ETF 5.23210 7,439,770 -  USM Smartshares US Mid Cap ETF 5.22425 14,709,363 (15,045)  USS Smartshares US Small Cap ETF 4.81316 13,732,118 -  USV Smartshares US Small Cap ETF 1.35772 14,148,800 80,000  BOT Smartshares Us Large Value ETF 2.93591 1,636,000 -  EMG Smartshares Energing Markets Equities ESG ETF 2.93591 1,636,000 -  EMG Smartshares Energing Markets Equities ESG ETF 2.07933 1,420,000 -  EMG Smartshares Europe Equities ESG ETF 2.38332 3,548,000 -  JPN Smartshares Lapan Equities ESG ETF 2.3832 3,548,000 -  JPN Smartshares Lapan Equities ESG ETF 2.383978 1,240,000 -	DIV	Smartshares NZ Dividend ETF	1.42128	42,032,211	250,000
FNZ         Smartshares NZ Top 50 ETF         3.11408         210,451,179         -           GBF         Smartshares Global Bond ETF         3.28570         65,679,618         (130,000)           MDZ         Smartshares NZ Mid Cap ETF         5.94649         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Gash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.35746         23,581,773         -           USF         Smartshares US Soo ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Small Cap ETF         4.81316         13,732,118	EMF	Smartshares Emerging Markets ETF	1.29345	94,972,000	-
GBF         Smartshares Global Bond ETF         3.28570         65,679,618         (130,000)           MDZ         Smartshares NZ Mid Cap ETF         5.94649         27,340,674         -           MZY         Smartshares NZ Bond ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           USF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800 </td <td>EUF</td> <td>Smartshares Europe ETF</td> <td>1.70069</td> <td>102,030,313</td> <td>(480,000)</td>	EUF	Smartshares Europe ETF	1.70069	102,030,313	(480,000)
MDZ         Smartshares NZ Mid Cap ETF         5.94649         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591	FNZ	Smartshares NZ Top 50 ETF	3.11408	210,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.33093         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US S00 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Mid Cap ETF         5.23210         7,439,770         -           USM         Smartshares US Smil Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Earge Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Emerging Markets Equities ESG ETF         2.0	GBF	Smartshares Global Bond ETF	3.28570	65,679,618	(130,000)
NPF         Smartshares NZ Property ETF         1.54454         72,011,748         -           NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Small Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488	MDZ	Smartshares NZ Mid Cap ETF	5.94649	27,340,674	-
NZB         Smartshares NZ Bond ETF         3.11528         103,521,618         100,000           NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Small Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Large Value ETF         4.81316         13,732,118         -           USV         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.07933         1,420,000         -           EVG         Smartshares Global Equities ESG ETF <t< td=""><td>MZY</td><td>Smartshares Australian Mid Cap ETF</td><td>7.33093</td><td>16,382,274</td><td>75,000</td></t<>	MZY	Smartshares Australian Mid Cap ETF	7.33093	16,382,274	75,000
NZC         Smartshares NZ Cash ETF         2.97139         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Emerging Markets Equities ESG ETF         2.93591         1,636,000         -           EMG         Smartshares Global Equities ESG ETF         2.05488         4,280,000         -           EVG         Smartshares Global Equities ESG ETF <td< td=""><td>NPF</td><td>Smartshares NZ Property ETF</td><td>1.54454</td><td>72,011,748</td><td>-</td></td<>	NPF	Smartshares NZ Property ETF	1.54454	72,011,748	-
OZY         Smartshares Australian Top 20 ETF         3.98029         33,831,267         75,000           TNZ         Smartshares NZ Top 10 ETF         1.94989         60,881,573         -           TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Emerging Markets Equities ESG ETF         2.93591         1,636,000         -           EMG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EVG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           EVG         Smartshares Japan Equities ESG ETF	NZB	Smartshares NZ Bond ETF	3.11528	103,521,618	100,000
TNZ Smartshares NZ Top 10 ETF 1.94989 60,881,573 -  TWF Smartshares Total World ETF 2.35746 23,581,773 -  USF Smartshares US 500 ETF 8.58932 30,198,001 (75,000)  USG Smartshares US Large Growth ETF 5.23210 7,439,770 -  USM Smartshares US Mid Cap ETF 5.22425 14,709,363 (15,045)  USS Smartshares US Small Cap ETF 4.81316 13,732,118 -  USV Smartshares US Large Value ETF 3.46974 21,357,500 (25,000)  AGG Smartshares Global Aggregate Bond ETF 1.35772 14,148,800 80,000  BOT Smartshares Automation and Robotics ETF 2.93591 1,636,000 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.05488 4,280,000 -  ESG Smartshares Global Equities ESG ETF 2.38332 3,548,000 -  EUG Smartshares Europe Equities ESG ETF 2.38332 3,548,000 -  JPN Smartshares Japan Equities ESG ETF 1.89719 3,344,000 16,000  LIV Smartshares Healthcare Innovation ETF 2.30978 1,240,000 -	NZC	Smartshares NZ Cash ETF	2.97139	26,478,936	-
TWF         Smartshares Total World ETF         2.35746         23,581,773         -           USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488         4,280,000         -           ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innov	OZY	Smartshares Australian Top 20 ETF	3.98029	33,831,267	75,000
USF         Smartshares US 500 ETF         8.58932         30,198,001         (75,000)           USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488         4,280,000         -           ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	TNZ	Smartshares NZ Top 10 ETF	1.94989	60,881,573	-
USG         Smartshares US Large Growth ETF         5.23210         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488         4,280,000         -           ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	TWF	Smartshares Total World ETF	2.35746	23,581,773	-
USM         Smartshares US Mid Cap ETF         5.22425         14,709,363         (15,045)           USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488         4,280,000         -           ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	USF	Smartshares US 500 ETF	8.58932	30,198,001	(75,000)
USS         Smartshares US Small Cap ETF         4.81316         13,732,118         -           USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488         4,280,000         -           ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	USG	Smartshares US Large Growth ETF	5.23210	7,439,770	-
USV         Smartshares US Large Value ETF         3.46974         21,357,500         (25,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35772         14,148,800         80,000           BOT         Smartshares Automation and Robotics ETF         2.93591         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05488         4,280,000         -           ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	USM	Smartshares US Mid Cap ETF	5.22425	14,709,363	(15,045)
AGG       Smartshares Global Aggregate Bond ETF       1.35772       14,148,800       80,000         BOT       Smartshares Automation and Robotics ETF       2.93591       1,636,000       -         EMG       Smartshares Emerging Markets Equities ESG ETF       2.05488       4,280,000       -         ESG       Smartshares Global Equities ESG ETF       2.07933       1,420,000       -         EUG       Smartshares Europe Equities ESG ETF       2.38332       3,548,000       -         JPN       Smartshares Japan Equities ESG ETF       1.89719       3,344,000       16,000         LIV       Smartshares Healthcare Innovation ETF       2.30978       1,240,000       -	USS	Smartshares US Small Cap ETF	4.81316	13,732,118	-
BOT Smartshares Automation and Robotics ETF 2.93591 1,636,000 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.05488 4,280,000 -  ESG Smartshares Global Equities ESG ETF 2.07933 1,420,000 -  EUG Smartshares Europe Equities ESG ETF 2.38332 3,548,000 -  JPN Smartshares Japan Equities ESG ETF 1.89719 3,344,000 16,000  LIV Smartshares Healthcare Innovation ETF 2.30978 1,240,000 -	USV	Smartshares US Large Value ETF	3.46974	21,357,500	(25,000)
EMGSmartshares Emerging Markets Equities ESG ETF2.054884,280,000-ESGSmartshares Global Equities ESG ETF2.079331,420,000-EUGSmartshares Europe Equities ESG ETF2.383323,548,000-JPNSmartshares Japan Equities ESG ETF1.897193,344,00016,000LIVSmartshares Healthcare Innovation ETF2.309781,240,000-	AGG	Smartshares Global Aggregate Bond ETF	1.35772	14,148,800	80,000
ESG         Smartshares Global Equities ESG ETF         2.07933         1,420,000         -           EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	ВОТ	Smartshares Automation and Robotics ETF	2.93591	1,636,000	-
EUG         Smartshares Europe Equities ESG ETF         2.38332         3,548,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89719         3,344,000         16,000           LIV         Smartshares Healthcare Innovation ETF         2.30978         1,240,000         -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.05488	4,280,000	-
JPN Smartshares Japan Equities ESG ETF 1.89719 3,344,000 16,000 LIV Smartshares Healthcare Innovation ETF 2.30978 1,240,000 -	ESG	Smartshares Global Equities ESG ETF	2.07933	1,420,000	-
LIV Smartshares Healthcare Innovation ETF 2.30978 1,240,000 -	EUG	Smartshares Europe Equities ESG ETF	2.38332	3,548,000	-
	JPN	Smartshares Japan Equities ESG ETF	1.89719	3,344,000	16,000
USA Smartshares US Equities ESG ETF 2.13640 4,660,000 -	LIV	Smartshares Healthcare Innovation ETF	2.30978	1,240,000	-
	USA	Smartshares US Equities ESG ETF	2.13640	4,660,000	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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