

Wednesday, 11 September 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 10 September 2019.

APA         Smartshares Asia Pacific ETF         2.03891         56,639,500         (40,000)           ASD         Smartshares Australian Dividend ETF         1.69517         15,929,541         -           ASF         Smartshares Australian Financials ETF         7.65742         3,515,468         -           ASP         Smartshares Australian Property ETF         1.64973         25,297,531         -           ASR         Smartshares Australian Resources ETF         5.04816         6,757,633         -           ASR         Smartshares Australian Resources ETF         1.41781         42,282,211         250,000           EMF         Smartshares RD Dividend ETF         1.28912         94,897,000         (75,000)           EUF         Smartshares RD Dividend ETF         1.69063         102,030,313         -           FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.9334         27,340,674         -           MZY         Smartshares NZ Property ETF         1.54614         77,636,748         -           NZE         Smartshares NZ Property ETF         1.54614<	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.65742         3,515,468         -           ASP         Smartshares Australian Property ETF         1.64973         25,297,531         -           ASR         Smartshares Australian Resources ETF         5.04816         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.41781         42,282,211         250,000           EMF         Smartshares Europe ETF         1.69063         102,030,313         -           FNZ         Smartshares Europe ETF         1.69063         102,030,313         -           FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mulc Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZZ         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZE         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Bond ETF         3.97004         33,831,267         -	APA	Smartshares Asia Pacific ETF	2.03891	56,639,500	(40,000)
ASP         Smartshares Australian Property ETF         1.64973         25,297,531         -           ASR         Smartshares Australian Resources ETF         5.04816         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.41781         42,282,211         250,000           EMF         Smartshares Emerging Markets ETF         1.28912         94,897,000         (75,000)           EUF         Smartshares Europe ETF         1.69063         102,030,313         -           FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares NZ Top 50 ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.93334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares NZ Cash ETF         3.97004         33,831,67         -           TWF         Smartshares NZ Top 10 ETF         1.92972         61,131,573	ASD	Smartshares Australian Dividend ETF	1.69517	15,929,541	-
ASR         Smartshares Australian Resources ETF         5.04816         6.757,633         -           DIV         Smartshares NZ Dividend ETF         1.41781         42,282,211         250,000           EMF         Smartshares Emerging Markets ETF         1.28912         94,897,000         (75,000)           EUF         Smartshares Europe ETF         1.69063         102,030,313         -           FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares NZ Dodd ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.93334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZC         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         3.97004         33,831,667         -           OZY         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares NZ Soo ETF         1.92979         61,131,573	ASF	Smartshares Australian Financials ETF	7.65742	3,515,468	-
DIV         Smartshares NZ Dividend ETF         1.41781         42,282,211         250,000           EMF         Smartshares Emerging Markets ETF         1.28912         94,897,000         (75,000)           EUF         Smartshares Europe ETF         1.69063         102,030,313         -           FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares Global Bond ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.93334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares SU Soo ETF         8.51940         30,198,001 <t< td=""><td>ASP</td><td>Smartshares Australian Property ETF</td><td>1.64973</td><td>25,297,531</td><td>-</td></t<>	ASP	Smartshares Australian Property ETF	1.64973	25,297,531	-
EMF         Smartshares Emerging Markets ETF         1.28912         94,897,000         (75,000)           EUF         Smartshares Europe ETF         1.69063         102,030,313         -           FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares Global Bond ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.9334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares US 500 ETF         8.51940         30,198,001         -           USF         Smartshares US Large Growth ETF         5.14385         7,439,770         -<	ASR	Smartshares Australian Resources ETF	5.04816	6,757,633	-
EUF Smartshares Europe ETF 1.69063 102,030,313 - FNZ Smartshares NZ Top 50 ETF 3.10383 210,451,179 - GBF Smartshares Global Bond ETF 3.28147 65,789,618 70,000 MDZ Smartshares NZ Mid Cap ETF 5.93334 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.28525 16,382,274 - NPF Smartshares NZ Property ETF 1.54614 71,636,748 - NZB Smartshares NZ Bond ETF 3.11086 103,591,618 - NZC Smartshares NZ Bond ETF 2.97184 26,478,936 - NZC Smartshares NZ Cash ETF 2.97184 26,478,936 - NZC Smartshares Australian Top 20 ETF 3.97004 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.92972 61,131,573 250,000 TWF Smartshares Total World ETF 2.34189 23,581,773 - USF Smartshares US 500 ETF 8.51940 30,198,001 - USG Smartshares US Large Growth ETF 5.14385 7,439,770 - USG Smartshares US Mid Cap ETF 5.18072 14,709,363 - USS Smartshares US Small Cap ETF 5.18072 14,709,363 - USS Smartshares US Small Cap ETF 1.35587 14,148,800 - USS Smartshares US Large Value ETF 1.35587 14,148,800 - BOT Smartshares Automation and Robotics ETF 2.92998 1,636,000 - EMG Smartshares Automation and Robotics ETF 2.92998 1,636,000 - EMG Smartshares Europe Equities ESG ETF 2.06389 1,500,000 - EMG Smartshares Europe Equities ESG ETF 2.06389 1,500,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - EMG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 -	DIV	Smartshares NZ Dividend ETF	1.41781	42,282,211	250,000
FNZ         Smartshares NZ Top 50 ETF         3.10383         210,451,179         -           GBF         Smartshares Global Bond ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.93334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares VZ Soo ETF         8.51940         30,198,001         -           USF         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USG         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -	EMF	Smartshares Emerging Markets ETF	1.28912	94,897,000	(75,000)
GBF         Smartshares Global Bond ETF         3.28147         65,789,618         70,000           MDZ         Smartshares NZ Mid Cap ETF         5.93334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US Large Growth ETF         8.51940         30,198,001         -           USG         Smartshares US Mid Cap ETF         5.14385         7,439,770         -           USM         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares Sul Large Value ETF         3.46981         21,357,500 <td< td=""><td>EUF</td><td>Smartshares Europe ETF</td><td>1.69063</td><td>102,030,313</td><td>-</td></td<>	EUF	Smartshares Europe ETF	1.69063	102,030,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.93334         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ DR DR ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1,92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2,34189         23,581,773         -           USF         Smartshares US Large Growth ETF         8,51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5,14385         7,439,770         -           USM         Smartshares US Small Cap ETF         5,18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4,77958         13,732,118         -           USV         Smartshares Global Aggregate Bond ETF         1,35587         14,148,800	FNZ	Smartshares NZ Top 50 ETF	3.10383	210,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.28525         16,382,274         -           NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US S00 ETF         8.51940         30,198,001         -           USG         Smartshares US Mid Cap ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USV         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000	GBF	Smartshares Global Bond ETF	3.28147	65,789,618	70,000
NPF         Smartshares NZ Property ETF         1.54614         71,636,748         -           NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000	MDZ	Smartshares NZ Mid Cap ETF	5.93334	27,340,674	-
NZB         Smartshares NZ Bond ETF         3.11086         103,591,618         -           NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mild Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,0	MZY	Smartshares Australian Mid Cap ETF	7.28525	16,382,274	-
NZC         Smartshares NZ Cash ETF         2.97184         26,478,936         -           OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           EVG         Smartshares Lurope Equities ESG ETF         2.36160	NPF	Smartshares NZ Property ETF	1.54614	71,636,748	-
OZY         Smartshares Australian Top 20 ETF         3.97004         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Europe Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Japan Equities ESG ETF         2.36160 <td>NZB</td> <td>Smartshares NZ Bond ETF</td> <td>3.11086</td> <td>103,591,618</td> <td>-</td>	NZB	Smartshares NZ Bond ETF	3.11086	103,591,618	-
TNZ         Smartshares NZ Top 10 ETF         1.92972         61,131,573         250,000           TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177	NZC	Smartshares NZ Cash ETF	2.97184	26,478,936	-
TWF         Smartshares Total World ETF         2.34189         23,581,773         -           USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28	OZY	Smartshares Australian Top 20 ETF	3.97004	33,831,267	-
USF         Smartshares US 500 ETF         8.51940         30,198,001         -           USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177         1,260,000         -	TNZ	Smartshares NZ Top 10 ETF	1.92972	61,131,573	250,000
USG         Smartshares US Large Growth ETF         5.14385         7,439,770         -           USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177         1,260,000         -	TWF	Smartshares Total World ETF	2.34189	23,581,773	-
USM         Smartshares US Mid Cap ETF         5.18072         14,709,363         -           USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177         1,260,000         -	USF	Smartshares US 500 ETF	8.51940	30,198,001	-
USS         Smartshares US Small Cap ETF         4.77958         13,732,118         -           USV         Smartshares US Large Value ETF         3.46981         21,357,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35587         14,148,800         -           BOT         Smartshares Automation and Robotics ETF         2.92998         1,636,000         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.04550         4,300,000         -           ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177         1,260,000         -	USG	Smartshares US Large Growth ETF	5.14385	7,439,770	-
USV Smartshares US Large Value ETF 3.46981 21,357,500 - AGG Smartshares Global Aggregate Bond ETF 1.35587 14,148,800 - BOT Smartshares Automation and Robotics ETF 2.92998 1,636,000 - EMG Smartshares Emerging Markets Equities ESG ETF 2.04550 4,300,000 - ESG Smartshares Global Equities ESG ETF 2.06389 1,500,000 - EUG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - JPN Smartshares Japan Equities ESG ETF 1.89759 3,344,000 - LIV Smartshares Healthcare Innovation ETF 2.28177 1,260,000 -	USM	Smartshares US Mid Cap ETF	5.18072	14,709,363	-
AGG Smartshares Global Aggregate Bond ETF 1.35587 14,148,800 - BOT Smartshares Automation and Robotics ETF 2.92998 1,636,000 - EMG Smartshares Emerging Markets Equities ESG ETF 2.04550 4,300,000 - ESG Smartshares Global Equities ESG ETF 2.06389 1,500,000 - EUG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 - JPN Smartshares Japan Equities ESG ETF 1.89759 3,344,000 - LIV Smartshares Healthcare Innovation ETF 2.28177 1,260,000 -	USS	Smartshares US Small Cap ETF	4.77958	13,732,118	-
BOT Smartshares Automation and Robotics ETF 2.92998 1,636,000 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.04550 4,300,000 -  ESG Smartshares Global Equities ESG ETF 2.06389 1,500,000 -  EUG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 -  JPN Smartshares Japan Equities ESG ETF 1.89759 3,344,000 -  LIV Smartshares Healthcare Innovation ETF 2.28177 1,260,000 -	USV	Smartshares US Large Value ETF	3.46981	21,357,500	-
EMG Smartshares Emerging Markets Equities ESG ETF 2.04550 4,300,000 -  ESG Smartshares Global Equities ESG ETF 2.06389 1,500,000 -  EUG Smartshares Europe Equities ESG ETF 2.36160 3,568,000 -  JPN Smartshares Japan Equities ESG ETF 1.89759 3,344,000 -  LIV Smartshares Healthcare Innovation ETF 2.28177 1,260,000 -	AGG	Smartshares Global Aggregate Bond ETF	1.35587	14,148,800	-
ESG         Smartshares Global Equities ESG ETF         2.06389         1,500,000         -           EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177         1,260,000         -	ВОТ	Smartshares Automation and Robotics ETF	2.92998	1,636,000	-
EUG         Smartshares Europe Equities ESG ETF         2.36160         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         1.89759         3,344,000         -           LIV         Smartshares Healthcare Innovation ETF         2.28177         1,260,000         -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.04550	4,300,000	-
JPN Smartshares Japan Equities ESG ETF 1.89759 3,344,000 - LIV Smartshares Healthcare Innovation ETF 2.28177 1,260,000 -	ESG	Smartshares Global Equities ESG ETF	2.06389	1,500,000	-
LIV Smartshares Healthcare Innovation ETF 2.28177 1,260,000 -	EUG	Smartshares Europe Equities ESG ETF	2.36160	3,568,000	-
	JPN	Smartshares Japan Equities ESG ETF	1.89759	3,344,000	-
USA Smartshares US Equities ESG ETF 2.12161 4,660,000 -	LIV	Smartshares Healthcare Innovation ETF	2.28177	1,260,000	-
	USA	Smartshares US Equities ESG ETF	2.12161	4,660,000	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

For further information please contact: Smartshares Limited 0800 80 87 80 smartshares @smartshares.co.nz