

Tuesday, 24 September 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 23 September 2019.

APA         Smartshares Asia Pacific ETF         2.13396         56,599,500         (40,000)           ASD         Smartshares Australian Dividend ETF         1.75624         15,929,541         -           ASF         Smartshares Australian Financials ETF         7,93953         3,515,468         -           ASP         Smartshares Australian Property ETF         1,68210         25,297,531         -           ASR         Smartshares Australian Resources ETF         5,26075         6,757,633         -           BDV         Smartshares Australian Resources ETF         1,37187         43,032,211         250,000           EMF         Smartshares RD Dividend ETF         1,37187         43,042,211         250,000           EUF         Smartshares RD Dividend ETF         1,32522         94,747,000         (25,000)           EUF         Smartshares RD Top 50 ETF         1,30339         212,451,179         100,000           GBF         Smartshares NZ Top 50 ETF         3,0339         212,451,179         100,000           GBF         Smartshares NZ MIC cap ETF         3,28851         66,669,618         560,000           MDZ         Smartshares NZ more property ETF         1,49679         73,511,748         375,000           NZZ         Smartshares NZ fond ETF<	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.93953         3,515,468         -           ASP         Smartshares Australian Property ETF         1.68210         25,297,531         -           ASR         Smartshares Australian Resources ETF         5,26075         6,757,633         -           DIV         Smartshares Portal Dividend ETF         1,37187         43,032,211         250,000           EMF         Smartshares Europe ETF         1,75054         101,960,313         -           FNZ         Smartshares Europe ETF         1,75054         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3,03539         212,451,179         100,000           GBF         Smartshares NZ Mod Cap ETF         3,26851         66,669,618         560,000           MDZ         Smartshares NZ Mod Cap ETF         7,45917         16,307,274         -           MZY         Smartshares NZ Property ETF         1,96679         73,511,748         375,000           NZB         Smartshares NZ Property ETF         1,9679         73,511,748         375,000           NZC         Smartshares NZ Bond ETF         3,11733         104,201,618         100,000           NZC         Smartshares NZ Bond ETF         2,97334         26	APA	Smartshares Asia Pacific ETF	2.13396	56,599,500	(40,000)
ASP         Smartshares Australian Property ETF         1.68210         25,297,531         -           ASR         Smartshares Australian Resources ETF         5.26075         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.37187         43,032,211         250,000           EMF         Smartshares Emerging Markets ETF         1.32522         94,747,000         (25,000)           EUF         Smartshares Europe ETF         1.75054         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03539         212,451,179         100,000           GBF         Smartshares NZ Top 50 ETF         3.26851         66,669,618         560,000           MDZ         Smartshares NZ Mid Cap ETF         5.82984         27,340,674         -           MZY         Smartshares NZ Top 10 ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Tog 10 ETF         2.197334         26,098,936         -           OZY         Smartshares NZ Tog 10 ETF         4.10601         33,831,677         -           TWF         Smartshares NZ Tog 10 ETF         4.10601         33,83	ASD	Smartshares Australian Dividend ETF	1.75624	15,929,541	-
ASR         Smartshares Australian Resources ETF         5,26075         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1,37187         43,032,211         250,000           EMF         Smartshares Emerging Markets ETF         1,32522         94,747,000         (25,000)           EUF         Smartshares Europe ETF         1,75054         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3,03539         212,451,179         100,000           GBF         Smartshares Global Bond ETF         3,26851         66,669,618         560,000           MDZ         Smartshares NZ Mid Cap ETF         5,82984         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7,45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1,49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         3,11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2,97334         26,098,936         -           OZY         Smartshares NZ Top 10 ETF         4,10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1,87109         60,881,5	ASF	Smartshares Australian Financials ETF	7.93953	3,515,468	-
DIV         Smartshares NZ Dividend ETF         1.37187         43,032,211         250,000           EMF         Smartshares Emerging Markets ETF         1.32522         94,747,000         (25,000)           EUF         Smartshares Europe ETF         1.75054         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03539         212,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26851         66,669,618         560,000           MDZ         Smartshares NZ Mid Cap ETF         5.82984         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         3.11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           USF         Smartshares US oo ETF         8.77700         30,241,001 <td>ASP</td> <td>Smartshares Australian Property ETF</td> <td>1.68210</td> <td>25,297,531</td> <td>-</td>	ASP	Smartshares Australian Property ETF	1.68210	25,297,531	-
EMF         Smartshares Emerging Markets ETF         1.32522         94,747,000         (25,000)           EUF         Smartshares Europe ETF         1.75054         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03539         212,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26851         66,669,618         560,000           MDZ         Smartshares NZ Mid Cap ETF         5.82984         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         3.11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USF         Smartshares US Mid Cap ETF         5.25307         14,669,243	ASR	Smartshares Australian Resources ETF	5.26075	6,757,633	-
EUF Smartshares Europe ETF 1.75054 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03539 212,451,179 100,000 GBF Smartshares Global Bond ETF 3.26851 66,669,618 560,000 MDZ Smartshares NZ Mid Cap ETF 5.82984 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.45917 16,307,274 - NPF Smartshares NZ Property ETF 1.49679 73,511,748 375,000 NZB Smartshares NZ Bond ETF 3.11733 104,201,618 100,000 NZC Smartshares NZ Cash ETF 2.97334 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.10601 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.87109 60,881,573 - TWF Smartshares Total World ETF 2.42267 23,579,273 - TWF Smartshares US 500 ETF 8.77700 30,241,001 - USG Smartshares US Large Growth ETF 5.27371 7,513,151 - USM Smartshares US Mid Cap ETF 5.35307 14,669,243 (40,120) USS Smartshares US Small Cap ETF 4.96597 13,717,073 (15,045) USV Smartshares US Small Cap ETF 1.34885 14,812,304 222,438 BOT Smartshares Automation and Robotics ETF 1.34885 14,812,304 222,438 BOT Smartshares Automation and Robotics ETF 1.34885 14,812,304 222,438 BOT Smartshares Europe Equities ESG ETF 2.11999 4,320,161 - ESG Smartshares Europe Equities ESG ETF 2.14446 1,520,148 - EUG Smartshares Europe Equities ESG ETF 2.49979 3,568,000 - IPN Smartshares Japan Equities ESG ETF 2.49979 3,568,000 - IPN Smartshares Japan Equities ESG ETF 2.49979 3,568,000 - IDN Smartshares Japan Equities ESG ETF 2.49979 3,568,000 -	DIV	Smartshares NZ Dividend ETF	1.37187	43,032,211	250,000
FNZ         Smartshares NZ Top 50 ETF         3.03539         212,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26851         66,669,618         560,000           MDZ         Smartshares NZ Mid Cap ETF         5.82984         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         1.49679         73,511,748         375,000           NZC         Smartshares NZ Gash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USG         Smartshares US Mid Cap ETF         4.96597         13,717,073	EMF	Smartshares Emerging Markets ETF	1.32522	94,747,000	(25,000)
GBF         Smartshares Global Bond ETF         3.26851         66,669,618         560,000           MDZ         Smartshares NZ Mid Cap ETF         5.82984         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         3.11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.42267         23,579,273         -           USF         Smartshares US So0 ETF         8.77700         30,241,001         -           USF         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares Sul Large Value ETF         3.59513         21,327,500	EUF	Smartshares Europe ETF	1.75054	101,960,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.82984         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Deporty ETF         1.49679         73,511,748         375,000           NZC         Smartshares NZ Expond ETF         2.97334         26,098,936         -           OZY         Smartshares NZ Top 10 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           USF         Smartshares US Soo ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Small Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4,96597         13,717,073         (15,045)           USV         Smartshares Global Aggregate Bond ETF         3.59513         21,327,500	FNZ	Smartshares NZ Top 50 ETF	3.03539	212,451,179	100,000
MZY         Smartshares Australian Mid Cap ETF         7.45917         16,307,274         -           NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         3.11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US S00 ETF         8.77700         30,241,001         -           USG         Smartshares US Mid Cap ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         <	GBF	Smartshares Global Bond ETF	3.26851	66,669,618	560,000
NPF         Smartshares NZ Property ETF         1.49679         73,511,748         375,000           NZB         Smartshares NZ Bond ETF         3.11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Small Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF <t< td=""><td>MDZ</td><td>Smartshares NZ Mid Cap ETF</td><td>5.82984</td><td>27,340,674</td><td>-</td></t<>	MDZ	Smartshares NZ Mid Cap ETF	5.82984	27,340,674	-
NZB         Smartshares NZ Bond ETF         3.11733         104,201,618         100,000           NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mild Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.1444	MZY	Smartshares Australian Mid Cap ETF	7.45917	16,307,274	-
NZC         Smartshares NZ Cash ETF         2.97334         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF	NPF	Smartshares NZ Property ETF	1.49679	73,511,748	375,000
OZY         Smartshares Australian Top 20 ETF         4.10601         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Japan Equities ESG ETF </td <td>NZB</td> <td>Smartshares NZ Bond ETF</td> <td>3.11733</td> <td>104,201,618</td> <td>100,000</td>	NZB	Smartshares NZ Bond ETF	3.11733	104,201,618	100,000
TNZ         Smartshares NZ Top 10 ETF         1.87109         60,881,573         -           TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Europe Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Japan Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Healthcare Innovation ET	NZC	Smartshares NZ Cash ETF	2.97334	26,098,936	-
TWF         Smartshares Total World ETF         2.42267         23,579,273         -           USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Inno	OZY	Smartshares Australian Top 20 ETF	4.10601	33,831,267	-
USF         Smartshares US 500 ETF         8.77700         30,241,001         -           USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Innovation ETF         2.40816         1,260,000         -	TNZ	Smartshares NZ Top 10 ETF	1.87109	60,881,573	-
USG         Smartshares US Large Growth ETF         5.27371         7,513,151         -           USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Innovation ETF         2.40816         1,260,000         -	TWF	Smartshares Total World ETF	2.42267	23,579,273	-
USM         Smartshares US Mid Cap ETF         5.35307         14,669,243         (40,120)           USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Innovation ETF         2.40816         1,260,000         -	USF	Smartshares US 500 ETF	8.77700	30,241,001	-
USS         Smartshares US Small Cap ETF         4.96597         13,717,073         (15,045)           USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Innovation ETF         2.40816         1,260,000         -	USG	Smartshares US Large Growth ETF	5.27371	7,513,151	-
USV         Smartshares US Large Value ETF         3.59513         21,327,500         (30,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34885         14,812,304         222,438           BOT         Smartshares Automation and Robotics ETF         3.06717         1,688,317         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.11999         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Innovation ETF         2.40816         1,260,000         -	USM	Smartshares US Mid Cap ETF	5.35307	14,669,243	(40,120)
AGG Smartshares Global Aggregate Bond ETF 1.34885 14,812,304 222,438 BOT Smartshares Automation and Robotics ETF 3.06717 1,688,317 20,122 EMG Smartshares Emerging Markets Equities ESG ETF 2.11999 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.14446 1,520,148 - EUG Smartshares Europe Equities ESG ETF 2.45979 3,568,000 - JPN Smartshares Japan Equities ESG ETF 2.01250 3,376,266 - LIV Smartshares Healthcare Innovation ETF 2.40816 1,260,000 -	USS	Smartshares US Small Cap ETF	4.96597	13,717,073	(15,045)
BOT Smartshares Automation and Robotics ETF 3.06717 1,688,317 20,122  EMG Smartshares Emerging Markets Equities ESG ETF 2.11999 4,320,161 -  ESG Smartshares Global Equities ESG ETF 2.14446 1,520,148 -  EUG Smartshares Europe Equities ESG ETF 2.45979 3,568,000 -  JPN Smartshares Japan Equities ESG ETF 2.01250 3,376,266 -  LIV Smartshares Healthcare Innovation ETF 2.40816 1,260,000 -	USV	Smartshares US Large Value ETF	3.59513	21,327,500	(30,000)
EMGSmartshares Emerging Markets Equities ESG ETF2.119994,320,161-ESGSmartshares Global Equities ESG ETF2.144461,520,148-EUGSmartshares Europe Equities ESG ETF2.459793,568,000-JPNSmartshares Japan Equities ESG ETF2.012503,376,266-LIVSmartshares Healthcare Innovation ETF2.408161,260,000-	AGG	Smartshares Global Aggregate Bond ETF	1.34885	14,812,304	222,438
ESG         Smartshares Global Equities ESG ETF         2.14446         1,520,148         -           EUG         Smartshares Europe Equities ESG ETF         2.45979         3,568,000         -           JPN         Smartshares Japan Equities ESG ETF         2.01250         3,376,266         -           LIV         Smartshares Healthcare Innovation ETF         2.40816         1,260,000         -	ВОТ	Smartshares Automation and Robotics ETF	3.06717	1,688,317	20,122
EUG Smartshares Europe Equities ESG ETF 2.45979 3,568,000 -  JPN Smartshares Japan Equities ESG ETF 2.01250 3,376,266 -  LIV Smartshares Healthcare Innovation ETF 2.40816 1,260,000 -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.11999	4,320,161	-
JPN Smartshares Japan Equities ESG ETF 2.01250 3,376,266 - LIV Smartshares Healthcare Innovation ETF 2.40816 1,260,000 -	ESG	Smartshares Global Equities ESG ETF	2.14446	1,520,148	-
LIV Smartshares Healthcare Innovation ETF 2.40816 1,260,000 -	EUG	Smartshares Europe Equities ESG ETF	2.45979	3,568,000	-
	JPN	Smartshares Japan Equities ESG ETF	2.01250	3,376,266	-
USA Smartshares US Equities ESG ETF 2.19735 4,684,184 -	LIV	Smartshares Healthcare Innovation ETF	2.40816	1,260,000	-
	USA	Smartshares US Equities ESG ETF	2.19735	4,684,184	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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