

Wednesday, 25 September 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 24 September 2019.

APA         Smartshares Asia Pacific ETF         2.13439         56,554,500         (45,000)           ASD         Smartshares Australian Dividend ETF         1.74533         15,929,541         -           ASF         Smartshares Australian Financials ETF         7.93549         3,515,688         -           ASP         Smartshares Australian Property ETF         1.67864         25,297,531         -           ASR         Smartshares Australian Resources ETF         5,22171         6,757,633         -           ASR         Smartshares Australian Resources ETF         1,34458         43,282,211         250,000           EMF         Smartshares RD Dividend ETF         1,34158         94,747,000         -           EUF         Smartshares RD Top 50 ETF         1,34158         94,747,000         -           EUF         Smartshares NZ Top 50 ETF         3,03536         212,451,179         -           GBF         Smartshares NZ Top 50 ETF         3,03536         212,451,179         -           GBF         Smartshares NZ MIC cap ETF         5,83719         27,340,674         -           MZY         Smartshares NZ Mic Cap ETF         1,50795         7,401,748         500,000           NZB         Smartshares NZ Caph ETF         3,1690 <t< th=""><th>NZX Code</th><th>Fund Name</th><th>NTA</th><th>Units on Issue</th><th>Change in Units</th></t<>	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.93549         3,515,468         -           ASP         Smartshares Australian Property ETF         1.67864         25,297,531         -           ASR         Smartshares Australian Resources ETF         5.22171         6,757,633         -           DIV         Smartshares Portal Dividend ETF         1.37485         43,282,211         250,000           EMF         Smartshares Europe ETF         1.74990         101,960,313         -           FNZ         Smartshares Europe ETF         1.74990         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03536         212,451,179         -           GBF         Smartshares NZ Mod Cap ETF         3.27438         66,669,618         -           MDZ         Smartshares NZ Mod Cap ETF         5.83719         27,340,674         -           MZY         Smartshares NZ Broperty ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Bond ETF         2.97346         26,098,936         -           NZC         Smartshares NZ Top 10 ETF         4.09462         33,831,267	APA	Smartshares Asia Pacific ETF	2.13439	56,554,500	(45,000)
ASP         Smartshares Australian Property ETF         1 67864         25,297,531         -           ASR         Smartshares Australian Resources ETF         5,22171         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1,37485         43,282,211         250,000           EMF         Smartshares Emerging Markets ETF         1,34158         94,747,000         -           EUF         Smartshares Europe ETF         1,74990         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3,03536         212,451,179         -           GBF         Smartshares NZ Top 50 ETF         3,03536         212,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3,27438         66,669,618         -           MDZ         Smartshares Australian Mid Cap ETF         7,41252         16,307,274         -           MZY         Smartshares NZ Property ETF         1,50795         74,011,748         500,000           NZB         Smartshares NZ Cash ETF         2,97346         26,098,936         -           OZY         Smartshares NZ Cash ETF         2,97346         26,098,936         -           TWF         Smartshares NZ Top 10 ETF         4,09462         33,831,267         <	ASD	Smartshares Australian Dividend ETF	1.74533	15,929,541	-
ASR         Smartshares Australian Resources ETF         5.22171         6.757,633         -           DIV         Smartshares NZ Dividend ETF         1.37485         43,282,211         250,000           EMF         Smartshares Emerging Markets ETF         1.34158         94,747,000         -           EUF         Smartshares Europe ETF         1.74990         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03536         212,451,179         -           GBF         Smartshares NZ Dodd ETF         3.27438         66,669,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83719         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41252         16,307,274         -           NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Cash ETF         2.97346         26,098,936         -           NZC         Smartshares NZ Cash ETF         1.86494         61,381,573         500,000           TWE         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares VS Cos ETF         2.42690         23,579,273         -	ASF	Smartshares Australian Financials ETF	7.93549	3,515,468	-
DIV         Smartshares NZ Dividend ETF         1.37485         43,282,211         250,000           EMF         Smartshares Emerging Markets ETF         1.34158         94,747,000         -           EUF         Smartshares Europe ETF         1.74990         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03536         212,451,179         -           GBF         Smartshares Global Bond ETF         3.27438         66,669,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83719         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41252         16,307,274         -           NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.11690         104,201,618         -           NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Stola World ETF         2.42690         23,579,273 <td< td=""><td>ASP</td><td>Smartshares Australian Property ETF</td><td>1.67864</td><td>25,297,531</td><td>-</td></td<>	ASP	Smartshares Australian Property ETF	1.67864	25,297,531	-
EMF         Smartshares Emerging Markets ETF         1.34158         94,747,000         -           EUF         Smartshares Europe ETF         1.74990         101,960,313         -           FNZ         Smartshares NZ Top 50 ETF         3.03536         212,451,179         -           GBF         Smartshares Global Bond ETF         3.27438         66,669,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83719         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41252         16,307,274         -           NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Dand ETF         1.50795         74,011,748         500,000           NZC         Smartshares NZ Dand ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares US 500 ETF         2.42690         23,579,273         -           USF         Smartshares US Large Growth ETF         5.25695         7,497,969         (1	ASR	Smartshares Australian Resources ETF	5.22171	6,757,633	-
EUF Smartshares Europe ETF 1.74990 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03536 212,451,179 - GBF Smartshares Global Bond ETF 3.27438 66,669,618 - MDZ Smartshares NZ Mid Cap ETF 5.83719 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.41252 16,307,274 - NPF Smartshares NZ Property ETF 1.50795 74,011,748 500,000 NZB Smartshares NZ Bond ETF 3.11690 104,201,618 - NZC Smartshares NZ Bond ETF 2.97346 26,098,936 - NZC Smartshares NZ Cash ETF 2.97346 26,098,936 - NZC Smartshares Australian Top 20 ETF 4.09462 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86494 61,381,573 500,000 TWF Smartshares Total World ETF 2.42690 23,579,273 - USF Smartshares US 500 ETF 8.75053 30,241,001 - USG Smartshares US Large Growth ETF 5.25695 7,497,969 (15,182) USM Smartshares US Small Cap ETF 5.34114 14,619,093 (50,150) USS Smartshares US Small Cap ETF 4.94881 13,691,998 (25,075) USV Smartshares US Large Value ETF 3.58112 21,312,500 (15,000) AGG Smartshares US Large Value ETF 3.58312 21,312,500 (15,000) AGG Smartshares Automation and Robotics ETF 3.03410 1,688,317 - EMG Smartshares Automation and Robotics ETF 3.03410 1,688,317 - EMG Smartshares Europe Equities ESG ETF 2.10328 4,320,161 - ESG Smartshares Europe Equities ESG ETF 2.11681 1,548,356 28,208 EUG Smartshares Europe Equities ESG ETF 2.12829 3,804,499 28,233 EUV Smartshares Japan Equities ESG ETF 1.99285 3,404,499 28,233 EUV Smartshares Healthcare Innovation ETF 2.38399 1,260,000 -	DIV	Smartshares NZ Dividend ETF	1.37485	43,282,211	250,000
FNZ Smartshares NZ Top 50 ETF 3.03536 212,451,179 - GBF Smartshares Global Bond ETF 3.27438 66,669,618 - MDZ Smartshares NZ Mid Cap ETF 5.83719 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.41252 16,307,274 - NPF Smartshares NZ Property ETF 1.50795 74,011,748 500,000 NZB Smartshares NZ Bond ETF 3.11690 104,201,618 - NZC Smartshares NZ Cash ETF 2.97346 26,098,936 - NZC Smartshares NZ Cash ETF 4.09462 33,831,267 - NZC Smartshares NZ Top 10 ETF 1.86494 61,381,573 500,000 TWF Smartshares NZ Top 10 ETF 2.42690 23,579,273 - USF Smartshares Total World ETF 2.42690 23,579,273 - USF Smartshares US 500 ETF 8.75053 30,241,001 - USG Smartshares US Large Growth ETF 5.25695 7,497,969 (15,182) USM Smartshares US Mid Cap ETF 5.34114 14,619,093 (50,150) USS Smartshares US Small Cap ETF 4.94881 13,691,998 (25,075) USS Smartshares US Large Value ETF 3.58312 21,312,500 (15,000) AGG Smartshares US Large Value ETF 3.58312 21,312,500 (15,000) AGG Smartshares US Large Value ETF 3.03410 1,688,317 - EMG Smartshares Emerging Markets Equities ESG ETF 2.10328 4,320,161 - ESG Smartshares Emerging Markets Equities ESG ETF 2.11681 1,548,356 28,208 EUG Smartshares Europe Equities ESG ETF 2.42719 3,588,155 20,155 JPN Smartshares Leathcare Innovation ETF 2.38399 1,260,000 -	EMF	Smartshares Emerging Markets ETF	1.34158	94,747,000	-
GBF         Smartshares Global Bond ETF         3.27438         66,669,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83719         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41252         16,307,274         -           NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.11690         104,201,618         -           NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US So0 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USS         Smartshares US Large Value ETF         3.58312         21,312,500	EUF	Smartshares Europe ETF	1.74990	101,960,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.83719         27,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41252         16,307,274         -           NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.11690         104,201,618         -           NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares NZ Top 10 ETF         2.42690         23,579,273         -           USF         Smartshares US Soo ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Small Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares Global Aggregate Bond ETF         3.58312         21,3	FNZ	Smartshares NZ Top 50 ETF	3.03536	212,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.41252         16,307,274         -           NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.11690         104,201,618         -           NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US S00 ETF         8.75053         30,241,001         -           USG         Smartshares US Mid Cap ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares Global Aggregate Bond ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Automation and Robotics ETF         3.03410	GBF	Smartshares Global Bond ETF	3.27438	66,669,618	-
NPF         Smartshares NZ Property ETF         1.50795         74,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.11690         104,201,618         -           NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Small Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Automation and Robotics ETF         1.35609         14,872,964         60,660           BOT         Smartshares Emerging Markets Equitties ESG ETF         <	MDZ	Smartshares NZ Mid Cap ETF	5.83719	27,340,674	-
NZB         Smartshares NZ Bond ETF         3.11690         104,201,618         -           NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mild Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         2.10328         4,320,161         -           EMG         Smartshares Emerging Markets Equities ESG ETF	MZY	Smartshares Australian Mid Cap ETF	7.41252	16,307,274	-
NZC         Smartshares NZ Cash ETF         2.97346         26,098,936         -           OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           EMG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Lapan Equities ESG ETF	NPF	Smartshares NZ Property ETF	1.50795	74,011,748	500,000
OZY         Smartshares Australian Top 20 ETF         4.09462         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Japan Equiti	NZB	Smartshares NZ Bond ETF	3.11690	104,201,618	-
TNZ         Smartshares NZ Top 10 ETF         1.86494         61,381,573         500,000           TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Health	NZC	Smartshares NZ Cash ETF	2.97346	26,098,936	-
TWF         Smartshares Total World ETF         2.42690         23,579,273         -           USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares	OZY	Smartshares Australian Top 20 ETF	4.09462	33,831,267	-
USF         Smartshares US 500 ETF         8.75053         30,241,001         -           USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	TNZ	Smartshares NZ Top 10 ETF	1.86494	61,381,573	500,000
USG         Smartshares US Large Growth ETF         5.25695         7,497,969         (15,182)           USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	TWF	Smartshares Total World ETF	2.42690	23,579,273	-
USM         Smartshares US Mid Cap ETF         5.34114         14,619,093         (50,150)           USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	USF	Smartshares US 500 ETF	8.75053	30,241,001	-
USS         Smartshares US Small Cap ETF         4.94881         13,691,998         (25,075)           USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	USG	Smartshares US Large Growth ETF	5.25695	7,497,969	(15,182)
USV         Smartshares US Large Value ETF         3.58312         21,312,500         (15,000)           AGG         Smartshares Global Aggregate Bond ETF         1.35609         14,872,964         60,660           BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	USM	Smartshares US Mid Cap ETF	5.34114	14,619,093	(50,150)
AGG       Smartshares Global Aggregate Bond ETF       1.35609       14,872,964       60,660         BOT       Smartshares Automation and Robotics ETF       3.03410       1,688,317       -         EMG       Smartshares Emerging Markets Equities ESG ETF       2.10328       4,320,161       -         ESG       Smartshares Global Equities ESG ETF       2.11681       1,548,356       28,208         EUG       Smartshares Europe Equities ESG ETF       2.42719       3,588,155       20,155         JPN       Smartshares Japan Equities ESG ETF       1.99285       3,404,499       28,233         LIV       Smartshares Healthcare Innovation ETF       2.38399       1,260,000       -	USS	Smartshares US Small Cap ETF	4.94881	13,691,998	(25,075)
BOT         Smartshares Automation and Robotics ETF         3.03410         1,688,317         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10328         4,320,161         -           ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	USV	Smartshares US Large Value ETF	3.58312	21,312,500	(15,000)
EMG Smartshares Emerging Markets Equities ESG ETF 2.10328 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.11681 1,548,356 28,208 EUG Smartshares Europe Equities ESG ETF 2.42719 3,588,155 20,155  JPN Smartshares Japan Equities ESG ETF 1.99285 3,404,499 28,233 LIV Smartshares Healthcare Innovation ETF 2.38399 1,260,000 -	AGG	Smartshares Global Aggregate Bond ETF	1.35609	14,872,964	60,660
ESG         Smartshares Global Equities ESG ETF         2.11681         1,548,356         28,208           EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	ВОТ	Smartshares Automation and Robotics ETF	3.03410	1,688,317	-
EUG         Smartshares Europe Equities ESG ETF         2.42719         3,588,155         20,155           JPN         Smartshares Japan Equities ESG ETF         1.99285         3,404,499         28,233           LIV         Smartshares Healthcare Innovation ETF         2.38399         1,260,000         -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.10328	4,320,161	-
JPN Smartshares Japan Equities ESG ETF 1.99285 3,404,499 28,233 LIV Smartshares Healthcare Innovation ETF 2.38399 1,260,000 -	ESG	Smartshares Global Equities ESG ETF	2.11681	1,548,356	28,208
LIV Smartshares Healthcare Innovation ETF 2.38399 1,260,000 -	EUG	Smartshares Europe Equities ESG ETF	2.42719	3,588,155	20,155
	JPN	Smartshares Japan Equities ESG ETF	1.99285	3,404,499	28,233
USA Smartshares US Equities ESG ETF 2.17365 4,712,398 28,214	LIV	Smartshares Healthcare Innovation ETF	2.38399	1,260,000	-
	USA	Smartshares US Equities ESG ETF	2.17365	4,712,398	28,214

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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