

Thursday, 26 September 2019

NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 25 September 2019.

| APA Smartshares Asia Pacific ETF 2.11284 56,554,500 - ASD Smartshares Australian Dividend ETF 1.72610 15,929,541 - ASF Smartshares Australian Financials ETF 7.89166 3,515,468 - ASP Smartshares Australian Property ETF 1.67788 25,422,531 125,000 ASR Smartshares Australian Resources ETF 5.13390 6,757,633 - DIV Smartshares Australian Resources ETF 1.30172 94,747,000 - EMF Smartshares Emerging Markets ETF 1.30172 94,747,000 - EUF Smartshares Emerging Markets ETF 1.30172 94,747,000 - EUF Smartshares Roy Top 50 ETF 3.03463 212,451,179 - GBF Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares NZ Mid Cap ETF 5.8037 27,340,674 - MZY Smartshares NZ Mid Cap ETF 1.50816 74,011,748 - NZE Smartshares NZ Property ETF 1.50816 | NZX Code | Fund Name | NTA | Units on Issue | Change in Units |
|--|----------|---|---------|----------------|-----------------|
| ASF Smartshares Australian Financials ETF 7.89166 3,515,468 - ASP Smartshares Australian Property ETF 1.67788 25,422,531 125,000 ASR Smartshares Australian Resources ETF 5.13390 6,757,633 - DIV Smartshares Portal Dividend ETF 1.37632 43,282,211 - EMF Smartshares Europe ETF 1.30172 94,747,000 - EUF Smartshares Europe ETF 1.72049 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares NZ Mof Cap ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mof Cap ETF 3.83037 27,340,674 - NZY Smartshares NZ Burdl Cap ETF 1.50816 74,011,748 - NZE Smartshares NZ Property ETF 1.50816 74,011,748 - NZC Smartshares NZ Bond ETF 2.97356 26,098,936 | APA | Smartshares Asia Pacific ETF | 2.11284 | 56,554,500 | - |
| ASP Smartshares Australian Property ETF 1.67788 25,422,531 125,000 ASR Smartshares Australian Resources ETF 5.13390 6,757,633 - DIV Smartshares NZ Dividend ETF 1.37632 43,282,211 - EMF Smartshares Emerging Markets ETF 1.30172 94,747,000 - EUF Smartshares Europe ETF 1.72049 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares NZ Mid Cap ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares NZ Top 10 ETF 1.50816 74,011,748 - NZB Smartshares NZ Top 10 ETF 1.50816 74,011,748 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares NZ Top 10 ETF 1.86707 61,381,573 - | ASD | Smartshares Australian Dividend ETF | 1.72610 | 15,929,541 | - |
| ASR Smartshares Australian Resources ETF 5.13390 6,757,633 - DIV Smartshares NZ Dividend ETF 1.37632 43,282,211 - EMF Smartshares Europe ETF 1.30172 94,747,000 - EUF Smartshares Europe ETF 1.72049 101,960,313 - FNZ Smartshares LUTOP 50 ETF 3.03463 212,451,179 - GBF Smartshares Global Bond ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Bond ETF 2.97356 26,098,936 - OZY Smartshares NZ Top 10 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares NZ Top 10 ETF 2.38376 23,579,273 - USF Smartshares Total World ETF 5.17287 7,497,969 - USG Smartshares US Smol Cap ETF 5.27366 14,619,093 - USG Smartshares US Smol Cap ETF 5.27366 14,619,093 - USG Smartshares US Smal Cap ETF 5.27366 14,619,093 - USS Smartshares US Smal Cap ETF 4.88592 13,691,998 - USS Smartshares US Smal Cap ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Europe Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Europe Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares IJ Smartshares I Lincologic ESG ETF 2.41252 3,588,155 - JPN Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | ASF | Smartshares Australian Financials ETF | 7.89166 | 3,515,468 | - |
| DIV Smartshares NZ Dividend ETF 1.37632 43,282,211 - EMF Smartshares Emerging Markets ETF 1.30172 94,747,000 - EUF Smartshares Europe ETF 1.72049 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares Global Bond ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NZB Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - USF Smartshares Total World ETF 2.38376 23,579,273 - < | ASP | Smartshares Australian Property ETF | 1.67788 | 25,422,531 | 125,000 |
| EMF Smartshares Emerging Markets ETF 1.30172 94,747,000 - EUF Smartshares Europe ETF 1.72049 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares Global Bond ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 1.50816 74,011,748 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - USF Smartshares US Total World ETF 2.38376 23,579,273 - USF Smartshares US Garge Growth ETF 5.17287 7,497,969 - | ASR | Smartshares Australian Resources ETF | 5.13390 | 6,757,633 | - |
| EUF Smartshares Europe ETF 1.72049 101,960,313 - FNZ Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares Global Bond ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Bond ETF 2.97356 26,098,936 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - NZC Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USS Smartshares US Small Cap ETF 3.53955 21,312,500 - AGG Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Europe Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Europe Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - IJPN Smartshares Lapan Equities ESG ETF 2.4252 3,588,155 - IJPN Smartshares Japan Equities ESG ETF 2.32740 1,260,000 - | DIV | Smartshares NZ Dividend ETF | 1.37632 | 43,282,211 | - |
| FNZ Smartshares NZ Top 50 ETF 3.03463 212,451,179 - GBF Smartshares Global Bond ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares NZ Top 10 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares NZ Top 10 ETF 2.38376 23,579,273 - USF Smartshares US Soo ETF 8.6288 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - | EMF | Smartshares Emerging Markets ETF | 1.30172 | 94,747,000 | - |
| GBF Smartshares Global Bond ETF 3.27948 66,719,618 50,000 MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US Large Growth ETF 5.17287 7,497,969 - USG Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Large Value ETF 3.53955 21,312,500 - USV Smartshares Automation and Robotics ETF 3.00715 1,728,562 | EUF | Smartshares Europe ETF | 1.72049 | 101,960,313 | - |
| MDZ Smartshares NZ Mid Cap ETF 5.83037 27,340,674 - MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares NZ Top 10 ETF 1.86707 61,381,573 - USF Smartshares US Soo ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - | FNZ | Smartshares NZ Top 50 ETF | 3.03463 | 212,451,179 | - |
| MZY Smartshares Australian Mid Cap ETF 7.34330 16,307,274 - NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US S00 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Automation and Robotics ETF 1.35740 14,872,964 - </td <td>GBF</td> <td>Smartshares Global Bond ETF</td> <td>3.27948</td> <td>66,719,618</td> <td>50,000</td> | GBF | Smartshares Global Bond ETF | 3.27948 | 66,719,618 | 50,000 |
| NPF Smartshares NZ Property ETF 1.50816 74,011,748 - NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Small Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Automation and Robotics ETF 1.35740 14,872,964 - BOT Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 | MDZ | Smartshares NZ Mid Cap ETF | 5.83037 | 27,340,674 | - |
| NZB Smartshares NZ Bond ETF 3.11646 104,201,618 - NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mild Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 2.08043 4,320,161 - EMG Smartshares Emerging Markets Equities ESG ETF 2.10918 1,548,356< | MZY | Smartshares Australian Mid Cap ETF | 7.34330 | 16,307,274 | - |
| NZC Smartshares NZ Cash ETF 2.97356 26,098,936 - OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 2.08043 4,320,161 - EMG Smartshares Emerging Markets Equities ESG ETF 2.09043 4,320,161 - EVG Smartshares Burope Equities ESG ETF 2.41252 3 | NPF | Smartshares NZ Property ETF | 1.50816 | 74,011,748 | - |
| OZY Smartshares Australian Top 20 ETF 4.06152 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Europe Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Japan Equities ESG ETF 2.41252 <td>NZB</td> <td>Smartshares NZ Bond ETF</td> <td>3.11646</td> <td>104,201,618</td> <td>-</td> | NZB | Smartshares NZ Bond ETF | 3.11646 | 104,201,618 | - |
| TNZ Smartshares NZ Top 10 ETF 1.86707 61,381,573 - TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - IPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | NZC | Smartshares NZ Cash ETF | 2.97356 | 26,098,936 | - |
| TWF Smartshares Total World ETF 2.38376 23,579,273 - USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF <td< td=""><td>OZY</td><td>Smartshares Australian Top 20 ETF</td><td>4.06152</td><td>33,831,267</td><td>-</td></td<> | OZY | Smartshares Australian Top 20 ETF | 4.06152 | 33,831,267 | - |
| USF Smartshares US 500 ETF 8.62888 30,241,001 - USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | TNZ | Smartshares NZ Top 10 ETF | 1.86707 | 61,381,573 | - |
| USG Smartshares US Large Growth ETF 5.17287 7,497,969 - USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | TWF | Smartshares Total World ETF | 2.38376 | 23,579,273 | - |
| USM Smartshares US Mid Cap ETF 5.27366 14,619,093 - USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | USF | Smartshares US 500 ETF | 8.62888 | 30,241,001 | - |
| USS Smartshares US Small Cap ETF 4.85928 13,691,998 - USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | USG | Smartshares US Large Growth ETF | 5.17287 | 7,497,969 | - |
| USV Smartshares US Large Value ETF 3.53955 21,312,500 - AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | USM | Smartshares US Mid Cap ETF | 5.27366 | 14,619,093 | - |
| AGG Smartshares Global Aggregate Bond ETF 1.35740 14,872,964 - BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | USS | Smartshares US Small Cap ETF | 4.85928 | 13,691,998 | - |
| BOT Smartshares Automation and Robotics ETF 3.00715 1,728,562 40,245 EMG Smartshares Emerging Markets Equities ESG ETF 2.08043 4,320,161 - ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | USV | Smartshares US Large Value ETF | 3.53955 | 21,312,500 | - |
| EMGSmartshares Emerging Markets Equities ESG ETF2.080434,320,161-ESGSmartshares Global Equities ESG ETF2.109181,548,356-EUGSmartshares Europe Equities ESG ETF2.412523,588,155-JPNSmartshares Japan Equities ESG ETF1.995523,404,499-LIVSmartshares Healthcare Innovation ETF2.327401,260,000- | AGG | Smartshares Global Aggregate Bond ETF | 1.35740 | 14,872,964 | - |
| ESG Smartshares Global Equities ESG ETF 2.10918 1,548,356 - EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | ВОТ | Smartshares Automation and Robotics ETF | 3.00715 | 1,728,562 | 40,245 |
| EUG Smartshares Europe Equities ESG ETF 2.41252 3,588,155 - JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | EMG | Smartshares Emerging Markets Equities ESG ETF | 2.08043 | 4,320,161 | - |
| JPN Smartshares Japan Equities ESG ETF 1.99552 3,404,499 - LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | ESG | Smartshares Global Equities ESG ETF | 2.10918 | 1,548,356 | - |
| LIV Smartshares Healthcare Innovation ETF 2.32740 1,260,000 - | EUG | Smartshares Europe Equities ESG ETF | 2.41252 | 3,588,155 | - |
| | JPN | Smartshares Japan Equities ESG ETF | 1.99552 | 3,404,499 | - |
| USA Smartshares US Equities ESG ETF 2.16080 4,712,398 - | LIV | Smartshares Healthcare Innovation ETF | 2.32740 | 1,260,000 | - |
| | USA | Smartshares US Equities ESG ETF | 2.16080 | 4,712,398 | - |

About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: www.smartshares.co.nz

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