

Tuesday, 1 October 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 30 September 2019.

APA         Smartshares Asia Pacific ETF         2.09563         56,129,500         (425,000)           ASD         Smartshares Australian Dividend ETF         1,72656         15,929,541         -           ASF         Smartshares Australian Financials ETF         7,84073         3,515,668         -           ASP         Smartshares Australian Property ETF         1,66995         25,422,531         -           ASR         Smartshares Australian Resources ETF         5,11381         6,757,633         -           DIV         Smartshares Australian Resources ETF         1,38074         43,407,211         -           EMF         Smartshares RD Dividend ETF         1,28888         39,297,000         (1,450,000)           EUF         Smartshares RD Europe ETF         1,72274         101,280,313         (680,000)           FNZ         Smartshares NZ Top 50 ETF         3,05646         212,451,179         -           GBF         Smartshares NZ MIC Cap ETF         3,27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ MIC Cap ETF         5,88721         27,440,674         -           MZY         Smartshares NZ Property ETF         1,52705         7,401,1748         -           NZE         Smartshares NZ Property ETF <t< th=""><th>NZX Code</th><th>Fund Name</th><th>NTA</th><th>Units on Issue</th><th>Change in Units</th></t<>	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7,84073         3,515,468         -           ASP         Smartshares Australian Property ETF         1,66995         25,422,531         -           ASR         Smartshares Australian Resources ETF         5,11381         6,757,633         -           DIV         Smartshares Portal Dividend ETF         1,38074         43,407,211         -           EMF         Smartshares Europe ETF         1,22888         93,297,000         (1,450,000)           EUF         Smartshares Europe ETF         1,72274         101,280,313         (680,000)           FNZ         Smartshares NZ Top 50 ETF         3,05646         212,451,179         -           GBF         Smartshares NZ Mod Cap ETF         3,27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Mod Cap ETF         3,27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Bustralian Mid Cap ETF         7,30880         16,307,274         -           NPE         Smartshares NZ Property ETF         1,52705         74,011,748         -           NZC         Smartshares NZ Bond ETF         3,11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2,97403	APA	Smartshares Asia Pacific ETF	2.09563	56,129,500	(425,000)
ASP         Smartshares Australian Property ETF         1.66995         25,422,531         -           ASR         Smartshares Australian Resources ETF         5.11381         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.38074         43,407,211         -           EMF         Smartshares Emerging Markets ETF         1.28888         93,297,000         (1,450,000)           EUF         Smartshares Europe ETF         1.72274         101,280,313         (680,000)           FNZ         Smartshares NZ Top 50 ETF         3.05646         212,451,179         -           GBF         Smartshares NZ Top 50 ETF         3.27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Mid Cap ETF         5.88721         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.30880         16,307,274         -           NPE         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZC         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares NZ Top 10 ETF         4.04287	ASD	Smartshares Australian Dividend ETF	1.72656	15,929,541	-
ASR         Smartshares Australian Resources ETF         5.11381         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.38074         43,407,211         -           EMF         Smartshares Emerging Markets ETF         1.28888         93,297,000         (1,450,000)           EUF         Smartshares Europe ETF         1.72274         101,280,313         (680,000)           FNZ         Smartshares NZ Top 50 ETF         3.05646         212,451,179         -           GBF         Smartshares Global Bond ETF         3.27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Mid Cap ETF         5.88721         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7,30880         16,307,274         -           NZB         Smartshares NZ Property ETF         1,52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3,11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2,97403         27,008,936         910,000           OZY         Smartshares NZ Top 10 ETF         1,87238         61,381,573         -           TWF         Smartshares NZ Top 10 ETF         1,87238         61,	ASF	Smartshares Australian Financials ETF	7.84073	3,515,468	-
DIV         Smartshares NZ Dividend ETF         1.38074         43,407,211         -           EMF         Smartshares Emerging Markets ETF         1.28888         93,297,000         (1,450,000)           EUF         Smartshares Europe ETF         1.72274         101,280,313         (680,000)           FNZ         Smartshares NZ Top 50 ETF         3.05646         212,451,179         -           GBF         Smartshares Global Bond ETF         3.27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Mid Cap ETF         5.88721         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.30880         16,307,274         -           NZB         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           USF         Smartshares US oo ETF         8.63551         29,286,00	ASP	Smartshares Australian Property ETF	1.66995	25,422,531	-
EMF         Smartshares Emerging Markets ETF         1.28888         93,297,000         (1,450,000)           EUF         Smartshares Europe ETF         1.72274         101,280,313         (680,000)           FNZ         Smartshares NZ Top 50 ETF         3.05646         212,451,179         -           GBF         Smartshares Global Bond ETF         3.27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Mid Cap ETF         5.88721         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.30880         16,307,274         -           NPF         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USF         Smartshares US Mid Cap ETF         5.16014         7	ASR	Smartshares Australian Resources ETF	5.11381	6,757,633	-
EUF Smartshares Europe ETF 1.72274 101,280,313 (680,000) FNZ Smartshares NZ Top 50 ETF 3.05646 212,451,179 GBF Smartshares Global Bond ETF 3.27613 65,169,618 (1,620,000) MDZ Smartshares NZ Mid Cap ETF 5.88721 27,440,674 MZY Smartshares Australian Mid Cap ETF 7.30880 16,307,274 NPF Smartshares NZ Property ETF 1.52705 74,011,748 NZB Smartshares NZ Bond ETF 3.11801 106,451,618 2,220,000 NZC Smartshares NZ Cash ETF 2.97403 27,008,936 910,000 CZY Smartshares Australian Top 20 ETF 4.04287 33,831,267 TNZ Smartshares NZ Top 10 ETF 1.87238 61,381,573 TWF Smartshares NZ Top 10 ETF 1.87238 61,381,573 TWF Smartshares Total World ETF 2.37977 23,484,273 (150,000) USF Smartshares US 500 ETF 8.63551 29,286,001 (975,000) USG Smartshares US Large Growth ETF 5.16014 7,528,333 USM Smartshares US Small Cap ETF 5.26652 14,619,093 USS Smartshares US Small Cap ETF 4.83486 13,606,742 (85,256) USV Smartshares US Small Cap ETF 3.54870 21,312,500 AGG Smartshares US Large Value ETF 3.54870 21,312,500 AGG Smartshares Automation and Robotics ETF 1.35379 18,836,403 3,963,439 BOT Smartshares Automation and Robotics ETF 1.35379 18,836,403 3,963,439 BOT Smartshares Europe Equities ESG ETF 2.08196 4,356,451 36,290 ESG Smartshares Europe Equities ESG ETF 2.10844 1,641,038 36,267 EUG Smartshares Europe Equities ESG ETF 2.10844 1,641,038 36,267 EUG Smartshares Lurope Equities ESG ETF 2.42557 3,588,155 JPN Smartshares Japan Equities ESG ETF 2.42557 3,588,155 JPN Smartshares Japan Equities ESG ETF 2.28209 1,272,096	DIV	Smartshares NZ Dividend ETF	1.38074	43,407,211	-
FNZ Smartshares NZ Top 50 ETF 3.05646 212,451,179 - GBF Smartshares Global Bond ETF 3.27613 65,169,618 (1,620,000) MDZ Smartshares NZ Mid Cap ETF 5.88721 27,440,674 - MZY Smartshares Australian Mid Cap ETF 7.30880 16,307,274 - NPF Smartshares NZ Property ETF 1.52705 74,011,748 - NZB Smartshares NZ Bond ETF 3.11801 106,451,618 2,220,000 NZC Smartshares NZ Cash ETF 2.97403 27,008,936 910,000 CZY Smartshares Australian Top 20 ETF 4.04287 33,831,267 - TNZ Smartshares NZ Top 10 ETF 1.87238 61,381,573 - TWF Smartshares NZ Top 10 ETF 2.37977 23,484,273 (150,000) USF Smartshares DS S00 ETF 8.63551 29,286,001 (975,000) USG Smartshares US Large Growth ETF 5.16014 7,528,333 - USM Smartshares US Mid Cap ETF 5.26652 14,619,093 - USS Smartshares US Small Cap ETF 5.26652 14,619,093 - USS Smartshares US Small Cap ETF 4.83486 13,606,742 (85,256) USV Smartshares US Large Value ETF 3.54870 21,312,500 - AGG Smartshares US Large Value ETF 3.54870 21,312,500 - AGG Smartshares Emerging Markets Equities ESG ETF 3.00156 1,748,684 - EMG Smartshares Emerging Markets Equities ESG ETF 2.08196 4,356,451 36,290 ESG Smartshares Europe Equities ESG ETF 2.0844 1,641,038 36,267 EUG Smartshares Lurope Equities ESG ETF 2.42557 3,588,155 - JPN Smartshares Large Equities ESG ETF 2.42557 3,588,155 - JPN Smartshares Healthcare Innovation ETF 2.28209 1,272,096 -	EMF	Smartshares Emerging Markets ETF	1.28888	93,297,000	(1,450,000)
GBF         Smartshares Global Bond ETF         3.27613         65,169,618         (1,620,000)           MDZ         Smartshares NZ Mid Cap ETF         5.88721         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.30880         16,307,274         -           NPF         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US Large Growth ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Mid Cap ETF         5.16014         7,528,333         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares Sulchard Explained ETF         3.54870	EUF	Smartshares Europe ETF	1.72274	101,280,313	(680,000)
MDZ         Smartshares NZ Mid Cap ETF         5.88721         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.30880         16,307,274         -           NPF         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares Global Aggregate Bond ETF         1.93379         18,836,403	FNZ	Smartshares NZ Top 50 ETF	3.05646	212,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.30880         16,307,274         -           NPF         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US S00 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares Global Aggregate Bond ETF         3.54870         21,312,500         -           AGG         Smartshares Automation and Robotics ETF         3.00156	GBF	Smartshares Global Bond ETF	3.27613	65,169,618	(1,620,000)
NPF         Smartshares NZ Property ETF         1.52705         74,011,748         -           NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Small Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Automation and Robotics ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Emerging Markets Equities ESG ETF <t< td=""><td>MDZ</td><td>Smartshares NZ Mid Cap ETF</td><td>5.88721</td><td>27,440,674</td><td>-</td></t<>	MDZ	Smartshares NZ Mid Cap ETF	5.88721	27,440,674	-
NZB         Smartshares NZ Bond ETF         3.11801         106,451,618         2,220,000           NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mild Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF	MZY	Smartshares Australian Mid Cap ETF	7.30880	16,307,274	-
NZC         Smartshares NZ Cash ETF         2.97403         27,008,936         910,000           OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         2.08196         4,356,451         36,290           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Lurope Equities ESG	NPF	Smartshares NZ Property ETF	1.52705	74,011,748	-
OZY         Smartshares Australian Top 20 ETF         4.04287         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.87238         61,381,573         -           TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Japan Equities	NZB	Smartshares NZ Bond ETF	3.11801	106,451,618	2,220,000
TNZ Smartshares NZ Top 10 ETF 1.87238 61,381,573 -  TWF Smartshares Total World ETF 2.37977 23,484,273 (150,000)  USF Smartshares US 500 ETF 8.63551 29,286,001 (975,000)  USG Smartshares US Large Growth ETF 5.16014 7,528,333 -  USM Smartshares US Mid Cap ETF 5.26652 14,619,093 -  USS Smartshares US Small Cap ETF 4.83486 13,606,742 (85,256)  USV Smartshares US Large Value ETF 3.54870 21,312,500 -  AGG Smartshares Global Aggregate Bond ETF 1.35379 18,836,403 3,963,439  BOT Smartshares Automation and Robotics ETF 3.00156 1,748,684 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.08196 4,356,451 36,290  ESG Smartshares Global Equities ESG ETF 2.10844 1,641,038 36,267  EUG Smartshares Europe Equities ESG ETF 2.42557 3,588,155 -  JPN Smartshares Japan Equities ESG ETF 1.98569 3,456,931 52,432  LIV Smartshares Healthcare Innovation ETF 2.28209 1,272,096 -	NZC	Smartshares NZ Cash ETF	2.97403	27,008,936	910,000
TWF         Smartshares Total World ETF         2.37977         23,484,273         (150,000)           USF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares H	OZY	Smartshares Australian Top 20 ETF	4.04287	33,831,267	-
USF         Smartshares US 500 ETF         8.63551         29,286,001         (975,000)           USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	TNZ	Smartshares NZ Top 10 ETF	1.87238	61,381,573	-
USG         Smartshares US Large Growth ETF         5.16014         7,528,333         -           USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	TWF	Smartshares Total World ETF	2.37977	23,484,273	(150,000)
USM         Smartshares US Mid Cap ETF         5.26652         14,619,093         -           USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	USF	Smartshares US 500 ETF	8.63551	29,286,001	(975,000)
USS         Smartshares US Small Cap ETF         4.83486         13,606,742         (85,256)           USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	USG	Smartshares US Large Growth ETF	5.16014	7,528,333	-
USV         Smartshares US Large Value ETF         3.54870         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35379         18,836,403         3,963,439           BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	USM	Smartshares US Mid Cap ETF	5.26652	14,619,093	-
AGG Smartshares Global Aggregate Bond ETF 1.35379 18,836,403 3,963,439  BOT Smartshares Automation and Robotics ETF 3.00156 1,748,684 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.08196 4,356,451 36,290  ESG Smartshares Global Equities ESG ETF 2.10844 1,641,038 36,267  EUG Smartshares Europe Equities ESG ETF 2.42557 3,588,155 -  JPN Smartshares Japan Equities ESG ETF 1.98569 3,456,931 52,432  LIV Smartshares Healthcare Innovation ETF 2.28209 1,272,096 -	USS	Smartshares US Small Cap ETF	4.83486	13,606,742	(85,256)
BOT         Smartshares Automation and Robotics ETF         3.00156         1,748,684         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	USV	Smartshares US Large Value ETF	3.54870	21,312,500	-
EMG         Smartshares Emerging Markets Equities ESG ETF         2.08196         4,356,451         36,290           ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	AGG	Smartshares Global Aggregate Bond ETF	1.35379	18,836,403	3,963,439
ESG         Smartshares Global Equities ESG ETF         2.10844         1,641,038         36,267           EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	ВОТ	Smartshares Automation and Robotics ETF	3.00156	1,748,684	-
EUG         Smartshares Europe Equities ESG ETF         2.42557         3,588,155         -           JPN         Smartshares Japan Equities ESG ETF         1.98569         3,456,931         52,432           LIV         Smartshares Healthcare Innovation ETF         2.28209         1,272,096         -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.08196	4,356,451	36,290
JPN Smartshares Japan Equities ESG ETF 1.98569 3,456,931 52,432 LIV Smartshares Healthcare Innovation ETF 2.28209 1,272,096 -	ESG	Smartshares Global Equities ESG ETF	2.10844	1,641,038	36,267
LIV Smartshares Healthcare Innovation ETF 2.28209 1,272,096 -	EUG	Smartshares Europe Equities ESG ETF	2.42557	3,588,155	-
, · ·	JPN	Smartshares Japan Equities ESG ETF	1.98569	3,456,931	52,432
USA Smartshares US Equities ESG ETF 2.16057 4,825,256 -	LIV	Smartshares Healthcare Innovation ETF	2.28209	1,272,096	-
	USA	Smartshares US Equities ESG ETF	2.16057	4,825,256	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,000 unit holders and more than NZD \$3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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