

Friday, 4 October 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 3 October 2019.

APA         Smartshares Asia Pacific ETF         2.08393         55,839,500         -           ASD         Smartshares Australian Dividend ETF         1.66231         15,929,541         -           ASF         Smartshares Australian Florider ETF         7.50459         3.465,668         -           ASP         Smartshares Australian Property ETF         1.65932         25,422,531         -           ASR         Smartshares Australian Resources ETF         4.92394         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.28890         93,462,000         1,045,000           EUF         Smartshares Emerging Markets ETF         1.28890         93,462,000         1,045,000           EUF         Smartshares NZ Dividend ETF         1.68265         101,190,313         -           EWF         Smartshares NZ Drope ETF         1.68265         101,190,313         -           FNZ         Smartshares NZ Drope ETF         3.02191         213,451,179         -           GBF         Smartshares NZ Drope DS ETF         3.02191         213,451,179         -           GBF         Smartshares NZ Mid Cap ETF         5.88637         27,440,674         -           MZY         Smartshares NZ Mid Cap ETF         1.51114 <td< th=""><th>NZX Code</th><th>Fund Name</th><th>NTA</th><th>Units on Issue</th><th>Change in Units</th></td<>	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.50459         3,465,468         -           ASP         Smartshares Australian Property ETF         1,65932         25,422,531         -           ASR         Smartshares Australian Resources ETF         4,92394         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1,37846         44,032,211         -           EMF         Smartshares Europe ETF         1,68265         101,190,313         -           FNZ         Smartshares Europe ETF         3,02191         213,451,179         -           GBF         Smartshares NZ Top 50 ETF         3,02191         213,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3,27822         65,359,618         -           MDZ         Smartshares NZ MIG Cap ETF         7,14306         16,307,274         -           NZY         Smartshares NZ Property ETF         1,51114         74,761,748         -           NZB         Smartshares NZ Property ETF         1,5114         74,761,748         -           NZC         Smartshares NZ Bond ETF         2,97444         27,008,936         -           OZY         Smartshares NZ Cash ETF         2,97444         27,008,936         - <td>APA</td> <td>Smartshares Asia Pacific ETF</td> <td>2.08393</td> <td>55,839,500</td> <td>-</td>	APA	Smartshares Asia Pacific ETF	2.08393	55,839,500	-
ASP         Smartshares Australian Property ETF         1.65932         25,422,531         -           ASR         Smartshares Australian Resources ETF         4.92394         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.37846         44,032,211         -           EMF         Smartshares Emerging Markets ETF         1.28890         93,462,000         1,045,000           EUF         Smartshares Europe ETF         1.68265         101,190,313         -           FNZ         Smartshares NZ Top 50 ETF         3.02191         213,451,179         -           GBF         Smartshares NZ Top 50 ETF         3.02191         213,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.83637         27,440,674         -           MDZ         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           MZY         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares NZ Cash ETF         2.97444         27,008,936         -           TWF         Smartshares NZ Top 10 ETF         3.8899         33,831,267         -<	ASD	Smartshares Australian Dividend ETF	1.66231	15,929,541	-
ASR         Smartshares Australian Resources ETF         4,92394         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1,37846         44,032,211         -           EMF         Smartshares Emerging Markets ETF         1,28890         93,462,000         1,045,000           EUF         Smartshares Europe ETF         1,68265         101,190,313         -           FNZ         Smartshares NZ Top 50 ETF         3,02191         213,451,179         -           GBF         Smartshares NZ Dodd ETF         3,27822         65,359,618         -           MDZ         Smartshares NZ Mid Cap ETF         5,83637         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7,14306         16,307,274         -           NPF         Smartshares NZ Property ETF         1,51114         74,761,748         -           NZC         Smartshares NZ Bond ETF         3,12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2,97444         27,008,936         -           OZY         Smartshares NZ Top 10 ETF         1,85544         61,381,573         -           TWE         Smartshares NZ Top 10 ETF         1,85544         61,381,573         - </td <td>ASF</td> <td>Smartshares Australian Financials ETF</td> <td>7.50459</td> <td>3,465,468</td> <td>-</td>	ASF	Smartshares Australian Financials ETF	7.50459	3,465,468	-
DIV         Smartshares NZ Dividend ETF         1.37846         44,032,211         -           EMF         Smartshares Emerging Markets ETF         1.28890         93,462,000         1,045,000           EUF         Smartshares Europe ETF         1.68265         101,190,313         -           FNZ         Smartshares NZ Top 50 ETF         3.02191         213,451,179         -           GBF         Smartshares Global Bond ETF         3.27822         65,359,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83637         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           NZB         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Bond ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           USF         Smartshares US oo ETF         8.49770         29,361,001         - <td>ASP</td> <td>Smartshares Australian Property ETF</td> <td>1.65932</td> <td>25,422,531</td> <td>-</td>	ASP	Smartshares Australian Property ETF	1.65932	25,422,531	-
EMF         Smartshares Emerging Markets ETF         1.28890         93,462,000         1,045,000           EUF         Smartshares Europe ETF         1.68265         101,190,313         -           FNZ         Smartshares NZ Top 50 ETF         3.02191         213,451,179         -           GBF         Smartshares Global Bond ETF         3.27822         65,359,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83637         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           NPF         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Bond ETF         1.51114         74,761,748         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares US Total World ETF         2.34462         23,584,273         -           USF         Smartshares US Large Growth ETF         5.09940         7,553,637         - <td>ASR</td> <td>Smartshares Australian Resources ETF</td> <td>4.92394</td> <td>6,757,633</td> <td>-</td>	ASR	Smartshares Australian Resources ETF	4.92394	6,757,633	-
EUF Smartshares Europe ETF 1.68265 101,190,313 - FNZ Smartshares NZ Top 50 ETF 3.02191 213,451,179 - GBF Smartshares Global Bond ETF 3.27822 65,359,618 - MDZ Smartshares NZ Mid Cap ETF 5.83637 27,440,674 - MZY Smartshares Australian Mid Cap ETF 7.14306 16,307,274 - NPF Smartshares NZ Property ETF 1.51114 74,761,748 - NZB Smartshares NZ Bond ETF 3.12495 106,501,618 - NZC Smartshares NZ Bond ETF 2.97444 27,008,936 - NZC Smartshares NZ Express NZ Exp	DIV	Smartshares NZ Dividend ETF	1.37846	44,032,211	-
FNZ         Smartshares NZ Top 50 ETF         3.02191         213,451,179         -           GBF         Smartshares Global Bond ETF         3.27822         65,359,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83637         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           NPF         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Bond ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares NZ Top 10 ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USG         Smartshares US Small Cap ETF         4.75293         13,656,892         -	EMF	Smartshares Emerging Markets ETF	1.28890	93,462,000	1,045,000
GBF         Smartshares Global Bond ETF         3.27822         65,359,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.83637         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           NPF         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Bond ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US Large Growth ETF         8.49770         29,361,001         -           USG         Smartshares US Mid Cap ETF         5.09940         7,553,637         -           USM         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares Sul Large Value ETF         4.75293         13,656,892         -	EUF	Smartshares Europe ETF	1.68265	101,190,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.83637         27,440,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           NPF         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Dand ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           USF         Smartshares US Soo ETF         2.34662         23,584,273         -           USF         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USG         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -	FNZ	Smartshares NZ Top 50 ETF	3.02191	213,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.14306         16,307,274         -           NPF         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Bond ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US S00 ETF         8.49770         29,361,001         -           USG         Smartshares US Mid Cap ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USV         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20	GBF	Smartshares Global Bond ETF	3.27822	65,359,618	-
NPF         Smartshares NZ Property ETF         1.51114         74,761,748         -           NZB         Smartshares NZ Bond ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equitties ESG ETF         2.05233         5,122,580	MDZ	Smartshares NZ Mid Cap ETF	5.83637	27,440,674	-
NZB         Smartshares NZ Bond ETF         3.12495         106,501,618         -           NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mild Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122	MZY	Smartshares Australian Mid Cap ETF	7.14306	16,307,274	-
NZC         Smartshares NZ Cash ETF         2.97444         27,008,936         -           OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934	NPF	Smartshares NZ Property ETF	1.51114	74,761,748	-
OZY         Smartshares Australian Top 20 ETF         3.88999         33,831,267         -           TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Japan Equities ESG ETF         2.35010 <td>NZB</td> <td>Smartshares NZ Bond ETF</td> <td>3.12495</td> <td>106,501,618</td> <td>-</td>	NZB	Smartshares NZ Bond ETF	3.12495	106,501,618	-
TNZ         Smartshares NZ Top 10 ETF         1.85544         61,381,573         -           TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Europe Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Japan Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Healthcare Innovation ETF         2.21936<	NZC	Smartshares NZ Cash ETF	2.97444	27,008,936	-
TWF         Smartshares Total World ETF         2.34462         23,584,273         -           USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Europe Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Japan Equities ESG ETF         1.96511         3,860,254         -           LIV         Smartshares Healthcare Innovation ETF <td< td=""><td>OZY</td><td>Smartshares Australian Top 20 ETF</td><td>3.88999</td><td>33,831,267</td><td>-</td></td<>	OZY	Smartshares Australian Top 20 ETF	3.88999	33,831,267	-
USF         Smartshares US 500 ETF         8.49770         29,361,001         -           USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Europe Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Japan Equities ESG ETF         1.96511         3,860,254         -           LIV         Smartshares Healthcare Innovation ETF         2.21936         1,296,288         -	TNZ	Smartshares NZ Top 10 ETF	1.85544	61,381,573	-
USG         Smartshares US Large Growth ETF         5.09940         7,553,637         -           USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Europe Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Japan Equities ESG ETF         1.96511         3,860,254         -           LIV         Smartshares Healthcare Innovation ETF         2.21936         1,296,288         -	TWF	Smartshares Total World ETF	2.34462	23,584,273	-
USM         Smartshares US Mid Cap ETF         5.17555         14,654,198         -           USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Europe Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Japan Equities ESG ETF         1.96511         3,860,254         -           LIV         Smartshares Healthcare Innovation ETF         2.21936         1,296,288         -	USF	Smartshares US 500 ETF	8.49770	29,361,001	-
USS         Smartshares US Small Cap ETF         4.75293         13,656,892         -           USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Europe Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Japan Equities ESG ETF         1.96511         3,860,254         -           LIV         Smartshares Healthcare Innovation ETF         2.21936         1,296,288         -	USG	Smartshares US Large Growth ETF	5.09940	7,553,637	-
USV         Smartshares US Large Value ETF         3.47648         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.35857         18,836,403         -           BOT         Smartshares Automation and Robotics ETF         2.93361         1,801,002         20,122           EMG         Smartshares Emerging Markets Equities ESG ETF         2.05233         5,122,580         -           ESG         Smartshares Global Equities ESG ETF         2.05934         1,741,779         -           EUG         Smartshares Europe Equities ESG ETF         2.35010         4,200,861         -           JPN         Smartshares Japan Equities ESG ETF         1.96511         3,860,254         -           LIV         Smartshares Healthcare Innovation ETF         2.21936         1,296,288         -	USM	Smartshares US Mid Cap ETF	5.17555	14,654,198	-
AGG Smartshares Global Aggregate Bond ETF 1.35857 18,836,403 - BOT Smartshares Automation and Robotics ETF 2.93361 1,801,002 20,122 EMG Smartshares Emerging Markets Equities ESG ETF 2.05233 5,122,580 - ESG Smartshares Global Equities ESG ETF 2.05934 1,741,779 - EUG Smartshares Europe Equities ESG ETF 2.35010 4,200,861 - JPN Smartshares Japan Equities ESG ETF 1.96511 3,860,254 - LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	USS	Smartshares US Small Cap ETF	4.75293	13,656,892	-
BOT Smartshares Automation and Robotics ETF 2.93361 1,801,002 20,122 EMG Smartshares Emerging Markets Equities ESG ETF 2.05233 5,122,580 - ESG Smartshares Global Equities ESG ETF 2.05934 1,741,779 - EUG Smartshares Europe Equities ESG ETF 2.35010 4,200,861 - JPN Smartshares Japan Equities ESG ETF 1.96511 3,860,254 - LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	USV	Smartshares US Large Value ETF	3.47648	21,312,500	-
EMG Smartshares Emerging Markets Equities ESG ETF 2.05233 5,122,580 -  ESG Smartshares Global Equities ESG ETF 2.05934 1,741,779 -  EUG Smartshares Europe Equities ESG ETF 2.35010 4,200,861 -  JPN Smartshares Japan Equities ESG ETF 1.96511 3,860,254 -  LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	AGG	Smartshares Global Aggregate Bond ETF	1.35857	18,836,403	-
ESG Smartshares Global Equities ESG ETF 2.05934 1,741,779 -  EUG Smartshares Europe Equities ESG ETF 2.35010 4,200,861 -  JPN Smartshares Japan Equities ESG ETF 1.96511 3,860,254 -  LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	ВОТ	Smartshares Automation and Robotics ETF	2.93361	1,801,002	20,122
EUG Smartshares Europe Equities ESG ETF 2.35010 4,200,861 -  JPN Smartshares Japan Equities ESG ETF 1.96511 3,860,254 -  LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.05233	5,122,580	-
JPN Smartshares Japan Equities ESG ETF 1.96511 3,860,254 - LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	ESG	Smartshares Global Equities ESG ETF	2.05934	1,741,779	-
LIV Smartshares Healthcare Innovation ETF 2.21936 1,296,288 -	EUG	Smartshares Europe Equities ESG ETF	2.35010	4,200,861	-
	JPN	Smartshares Japan Equities ESG ETF	1.96511	3,860,254	-
USA Smartshares US Equities ESG ETF 2.10782 5,591,076 -	LIV	Smartshares Healthcare Innovation ETF	2.21936	1,296,288	-
	USA	Smartshares US Equities ESG ETF	2.10782	5,591,076	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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