

Wednesday, 23 October 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 22 October 2019.

APA         Smartshares Asia Pacific ETF         2.12063         55,822,000         -           ASD         Smartshares Australian Dividend ETF         1.70536         15,804,541         -           ASF         Smartshares Australian Florengety ETF         7.71985         3,465,468         -           ASP         Smartshares Australian Property ETF         1.71071         26,297,531         -           ASR         Smartshares Australian Resources ETF         4.97246         6,757,633         -           ASR         Smartshares Australian Resources ETF         1.39096         45,657,211         125,000           EMF         Smartshares Nattralian Resources ETF         1.39096         45,657,211         125,000           EMF         Smartshares Nattralian Resources ETF         1.32105         93,422,000         -           EUF         Smartshares Reging Markets ETF         1.32105         93,422,000         -           EUF         Smartshares Roy Top 50 ETF         1.308820         214,451,179         100,000           GBF         Smartshares NZ on 50 ETF         3.08820         214,451,179         100,000           GBF         Smartshares NZ mix diagnetic ETF         1.59699         27,640,674         -           MZY         Smartshares NZ diagnetic E	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.74985         3,465,468         -           ASP         Smartshares Australian Property ETF         1.71071         26,297,531         -           ASR         Smartshares Australian Resources ETF         4.97246         6,757,633         -           DIV         Smartshares Portal Dividend ETF         1.39096         45,657,211         125,000           EMF         Smartshares Europe ETF         1.75348         101,230,313         -           FNZ         Smartshares Europe ETF         1.75348         101,230,313         -           FNZ         Smartshares NZ Top 50 ETF         3.08820         214,451,179         100,000           GBF         Smartshares NZ More Cap ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Mulc Cap ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Murd Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,167,48         250,000           NZC         Smartshares NZ Property ETF         1.53941         77,167,48         250,000           NZC         Smartshares NZ Bond ETF         2.97643	APA	Smartshares Asia Pacific ETF	2.12063	55,822,000	-
ASP         Smartshares Australian Property ETF         1,71071         26,297,531         -           ASR         Smartshares Australian Resources ETF         4,97246         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1,39096         45,657,211         125,000           EMF         Smartshares Emerging Markets ETF         1,32105         93,422,000         -           EUF         Smartshares Europe ETF         1,75348         101,230,313         -           FNZ         Smartshares NZ Top 50 ETF         3,08820         214,451,179         100,000           GBF         Smartshares NZ Top 50 ETF         3,08820         214,451,179         100,000           MDZ         Smartshares NZ Mid Cap ETF         3,26253         65,619,618         30,000           MDZ         Smartshares Australian Mid Cap ETF         7,27053         16,307,274         -           MZY         Smartshares NZ Property ETF         1,53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         1,53941         77,136,748         250,000           NZC         Smartshares NZ Cash ETF         2,97643         27,068,936         -           OZY         Smartshares NZ Cash ETF         1,90266         61,8	ASD	Smartshares Australian Dividend ETF	1.70536	15,804,541	-
ASR         Smartshares Australian Resources ETF         4.97246         6.757,633         -           DIV         Smartshares NZ Dividend ETF         1.39096         45,657,211         125,000           EMF         Smartshares Emerging Markets ETF         1.32105         93,422,000         -           EUF         Smartshares Europe ETF         1.75348         101,230,313         -           FNZ         Smartshares NZ Top 50 ETF         3.08820         214,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Mid Cap ETF         5.96899         27,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares NZ Top 10 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573	ASF	Smartshares Australian Financials ETF	7.74985	3,465,468	-
DIV         Smartshares NZ Dividend ETF         1.39096         45,657,211         125,000           EMF         Smartshares Emerging Markets ETF         1.32105         93,422,000         -           EUF         Smartshares Europe ETF         1.75348         101,230,313         -           FNZ         Smartshares NZ Top 50 ETF         3.08820         214,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Mid Cap ETF         5.96899         27,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares SU Soo ETF         8.65966         29,516,001	ASP	Smartshares Australian Property ETF	1.71071	26,297,531	-
EMF         Smartshares Emerging Markets ETF         1.32105         93,422,000         -           EUF         Smartshares Europe ETF         1.75348         101,230,313         -           FNZ         Smartshares NZ Top 50 ETF         3.08820         214,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Mid Cap ETF         5.96899         27,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US Goe ETF         5.26398         14,654,198	ASR	Smartshares Australian Resources ETF	4.97246	6,757,633	-
EUF Smartshares Europe ETF 1.75348 101,230,313 - FNZ Smartshares NZ Top 50 ETF 3.08820 214,451,179 100,000 GBF Smartshares Global Bond ETF 3.26253 65,619,618 30,000 MDZ Smartshares NZ Mid Cap ETF 5.96899 27,640,674 - MZY Smartshares Australian Mid Cap ETF 7.27053 16,307,274 - NPF Smartshares NZ Property ETF 1.53941 77,136,748 250,000 NZB Smartshares NZ Bond ETF 3.10849 107,281,618 50,000 NZC Smartshares NZ Cash ETF 2.97643 27,068,936 - CZY Smartshares NZ Cash ETF 4.03405 33,906,267 - NNZ Smartshares NZ Top 10 ETF 1.90266 61,881,573 250,000 TWF Smartshares NZ Top 10 ETF 1.90266 61,881,573 250,000 TWF Smartshares Total World ETF 2.39734 23,599,273 - USF Smartshares US 500 ETF 8.65966 29,516,001 - USG Smartshares US arge Growth ETF 5.20330 7,627,166 20,284 USM Smartshares US Small Cap ETF 4.83856 13,656,892 - USS Smartshares US Small Cap ETF 4.83856 13,656,892 - USS Smartshares US Small Cap ETF 3.526398 14,654,198 - USS Smartshares US Small Cap ETF 3.52639 1,901,614 - USG Smartshares US Large Value ETF 3.53727 21,312,500 - AGG Smartshares Automation and Robotics ETF 3.02863 1,901,614 - EMG Smartshares Automation and Robotics ETF 3.02863 1,901,614 - EMG Smartshares Europe Equities ESG ETF 2.10375 5,162,903 - EMG Smartshares Europe Equities ESG ETF 2.10375 5,162,903 - EMG Smartshares Europe Equities ESG ETF 2.10551 1,761,927 - EUG Smartshares Lurope Equities ESG ETF 2.45475 4,253,264 - IPN Smartshares Japan Equities ESG ETF 2.30292 1,340,640 -	DIV	Smartshares NZ Dividend ETF	1.39096	45,657,211	125,000
FNZ         Smartshares NZ Top 50 ETF         3.08820         214,451,179         100,000           GBF         Smartshares Global Bond ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Mid Cap ETF         5.96899         27,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Small Cap ETF         4.83856         13,656,892 <td>EMF</td> <td>Smartshares Emerging Markets ETF</td> <td>1.32105</td> <td>93,422,000</td> <td>-</td>	EMF	Smartshares Emerging Markets ETF	1.32105	93,422,000	-
GBF         Smartshares Global Bond ETF         3.26253         65,619,618         30,000           MDZ         Smartshares NZ Mid Cap ETF         5.96899         27,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US So0 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500	EUF	Smartshares Europe ETF	1.75348	101,230,313	-
MDZ         Smartshares NZ Mid Cap ETF         5.96899         27,640,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1,90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2,39734         23,599,273         -           USF         Smartshares US Soo ETF         8,65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5,20330         7,627,166         20,284           USM         Smartshares US Small Cap ETF         4,83856         13,656,892         -           USV         Smartshares US Large Value ETF         3,53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1,34366         18,860,669<	FNZ	Smartshares NZ Top 50 ETF	3.08820	214,451,179	100,000
MZY         Smartshares Australian Mid Cap ETF         7.27053         16,307,274         -           NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375	GBF	Smartshares Global Bond ETF	3.26253	65,619,618	30,000
NPF         Smartshares NZ Property ETF         1.53941         77,136,748         250,000           NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375	MDZ	Smartshares NZ Mid Cap ETF	5.96899	27,640,674	-
NZB         Smartshares NZ Bond ETF         3.10849         107,281,618         50,000           NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mild Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         2.10375         5,162,903         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375	MZY	Smartshares Australian Mid Cap ETF	7.27053	16,307,274	-
NZC         Smartshares NZ Cash ETF         2.97643         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           EMG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Lquities ESG ETF         2.45475         4	NPF	Smartshares NZ Property ETF	1.53941	77,136,748	250,000
OZY         Smartshares Australian Top 20 ETF         4.03405         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Japan Equities ESG ETF         2.454	NZB	Smartshares NZ Bond ETF	3.10849	107,281,618	50,000
TNZ         Smartshares NZ Top 10 ETF         1.90266         61,881,573         250,000           TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Europe Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Japan Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Healthcare Innovation ETF         2.	NZC	Smartshares NZ Cash ETF	2.97643	27,068,936	-
TWF         Smartshares Total World ETF         2.39734         23,599,273         -           USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF <td< td=""><td>OZY</td><td>Smartshares Australian Top 20 ETF</td><td>4.03405</td><td>33,906,267</td><td>-</td></td<>	OZY	Smartshares Australian Top 20 ETF	4.03405	33,906,267	-
USF         Smartshares US 500 ETF         8.65966         29,516,001         -           USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF         2.30292         1,340,640         -	TNZ	Smartshares NZ Top 10 ETF	1.90266	61,881,573	250,000
USG         Smartshares US Large Growth ETF         5.20330         7,627,166         20,284           USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF         2.30292         1,340,640         -	TWF	Smartshares Total World ETF	2.39734	23,599,273	-
USM         Smartshares US Mid Cap ETF         5.26398         14,654,198         -           USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF         2.30292         1,340,640         -	USF	Smartshares US 500 ETF	8.65966	29,516,001	-
USS         Smartshares US Small Cap ETF         4.83856         13,656,892         -           USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF         2.30292         1,340,640         -	USG	Smartshares US Large Growth ETF	5.20330	7,627,166	20,284
USV         Smartshares US Large Value ETF         3.53727         21,312,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34366         18,860,669         -           BOT         Smartshares Automation and Robotics ETF         3.02863         1,901,614         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.10375         5,162,903         -           ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF         2.30292         1,340,640         -	USM	Smartshares US Mid Cap ETF	5.26398	14,654,198	-
AGG Smartshares Global Aggregate Bond ETF 1.34366 18,860,669 - BOT Smartshares Automation and Robotics ETF 3.02863 1,901,614 - EMG Smartshares Emerging Markets Equities ESG ETF 2.10375 5,162,903 - ESG Smartshares Global Equities ESG ETF 2.10551 1,761,927 - EUG Smartshares Europe Equities ESG ETF 2.45475 4,253,264 - JPN Smartshares Japan Equities ESG ETF 1.99827 3,961,085 - LIV Smartshares Healthcare Innovation ETF 2.30292 1,340,640 -	USS	Smartshares US Small Cap ETF	4.83856	13,656,892	-
BOT Smartshares Automation and Robotics ETF 3.02863 1,901,614 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.10375 5,162,903 -  ESG Smartshares Global Equities ESG ETF 2.10551 1,761,927 -  EUG Smartshares Europe Equities ESG ETF 2.45475 4,253,264 -  JPN Smartshares Japan Equities ESG ETF 1.99827 3,961,085 -  LIV Smartshares Healthcare Innovation ETF 2.30292 1,340,640 -	USV	Smartshares US Large Value ETF	3.53727	21,312,500	-
EMG Smartshares Emerging Markets Equities ESG ETF 2.10375 5,162,903 -  ESG Smartshares Global Equities ESG ETF 2.10551 1,761,927 -  EUG Smartshares Europe Equities ESG ETF 2.45475 4,253,264 -  JPN Smartshares Japan Equities ESG ETF 1.99827 3,961,085 -  LIV Smartshares Healthcare Innovation ETF 2.30292 1,340,640 -	AGG	Smartshares Global Aggregate Bond ETF	1.34366	18,860,669	-
ESG         Smartshares Global Equities ESG ETF         2.10551         1,761,927         -           EUG         Smartshares Europe Equities ESG ETF         2.45475         4,253,264         -           JPN         Smartshares Japan Equities ESG ETF         1.99827         3,961,085         -           LIV         Smartshares Healthcare Innovation ETF         2.30292         1,340,640         -	ВОТ	Smartshares Automation and Robotics ETF	3.02863	1,901,614	-
EUG Smartshares Europe Equities ESG ETF 2.45475 4,253,264 -  JPN Smartshares Japan Equities ESG ETF 1.99827 3,961,085 -  LIV Smartshares Healthcare Innovation ETF 2.30292 1,340,640 -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.10375	5,162,903	-
JPN Smartshares Japan Equities ESG ETF 1.99827 3,961,085 - LIV Smartshares Healthcare Innovation ETF 2.30292 1,340,640 -	ESG	Smartshares Global Equities ESG ETF	2.10551	1,761,927	-
LIV Smartshares Healthcare Innovation ETF 2.30292 1,340,640 -	EUG	Smartshares Europe Equities ESG ETF	2.45475	4,253,264	-
	JPN	Smartshares Japan Equities ESG ETF	1.99827	3,961,085	-
USA Smartshares US Equities ESG ETF 2.15471 5,651,536 -	LIV	Smartshares Healthcare Innovation ETF	2.30292	1,340,640	-
	USA	Smartshares US Equities ESG ETF	2.15471	5,651,536	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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