

Friday, 1 November 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 31 October 2019.

| APA         Smartshares Asia Pacific ETF         2.15536         55,687,000         (135,000)           ASD         Smartshares Australian Dividend ETF         1,71198         15,804,541         -           ASF         Smartshares Australian Financials ETF         7,65829         3,465,468         -           ASP         Smartshares Australian Property ETF         1,70621         26,297,531         -           ASR         Smartshares Australian Resources ETF         5,0426         6,757,633         -           BDV         Smartshares NZ Dividend ETF         1,33933         46,157,211         125,000           EWF         Smartshares NZ Dividend ETF         1,33915         93,282,000         -           EWF         Smartshares NZ Dividend ETF         1,78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3,00127         214,551,179         -           GBF         Smartshares NZ Top 50 ETF         3,00127         214,551,179         -           GBF         Smartshares NZ Mid Cap ETF         3,26433         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         7,31855         16,382,274         75,000           MZY         Smartshares NZ Mid Cap ETF         1,48592   | NZX Code | Fund Name                                     | NTA     | Units on Issue | Change in Units |
|---|----------|---|---------|----------------|-----------------|
| ASF         Smartshares Australian Financials ETF         7.65829         3.465,468         -           ASP         Smartshares Australian Property ETF         1.70621         26,297,531         -           ASR         Smartshares Australian Resources ETF         5.05426         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.33393         46,157,211         125,000           EMF         Smartshares Europe ETF         1.78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares NZ Top 50 ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Broperty ETF         1.48592         78,011,748         75,000           NZB         Smartshares NZ Property ETF         1.48592         78,011,748         75,000           NZB         Smartshares NZ Property ETF         1.48592         78,011,748         75,000           NZB         Smartshares NZ Bond ETF         1.97754         27  | APA      | Smartshares Asia Pacific ETF                  | 2.15536 | 55,687,000     | (135,000)       |
| ASP         Smartshares Australian Property ETF         1.70621         26,297,531         -           ASR         Smartshares Australian Resources ETF         5.05426         6,757,633         -           DIV         Smartshares NZ Dividend ETF         1.33393         46,157,211         125,000           EMF         Smartshares Emerging Markets ETF         1.33915         93,282,000         -           EUF         Smartshares Europe ETF         1.78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           MDZ         Smartshares NZ Mid Cap ETF         3.26453         65,789,618         -           MDZ         Smartshares Australian Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares NZ Top 10 ETF         1.48592         78,011,748         750,000           NPF         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares NZ Top 10 ETF         4.02740         33,906,267         -           TWF         Smartshares NZ Top 10 ETF         4.02740         33,906,267<  | ASD      | Smartshares Australian Dividend ETF           | 1.71198 | 15,804,541     | -               |
| ASR         Smartshares Australian Resources ETF         5.05426         6.757,633         -           DIV         Smartshares NZ Dividend ETF         1.33393         46.157,211         125,000           EMF         Smartshares Emerging Markets ETF         1.33915         93,282,000         -           EUF         Smartshares Europe ETF         1.78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares NZ Top 50 ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         1.48592         78,011,748         750,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares NZ Cash ETF         2.97754         27,068,936         -           TWF         Smartshares NZ Top 10 ETF         4.02740         33,906,267         -           TWF         Smartshares NZ Top 10 ETF         2.43553         23,599,273  | ASF      | Smartshares Australian Financials ETF         | 7.65829 | 3,465,468      | -               |
| DIV         Smartshares NZ Dividend ETF         1.33393         46,157,211         125,000           EMF         Smartshares Emerging Markets ETF         1.33915         93,282,000         -           EUF         Smartshares Europe ETF         1.78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares Global Bond ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         75,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           USF         Smartshares So 500 ETF         8.80083         29,541,001  | ASP      | Smartshares Australian Property ETF           | 1.70621 | 26,297,531     | -               |
| EMF         Smartshares Emerging Markets ETF         1.33915         33,282,000         -           EUF         Smartshares Europe ETF         1.78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares Global Bond ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.22009         7,677,876   | ASR      | Smartshares Australian Resources ETF          | 5.05426 | 6,757,633      | -               |
| EUF         Smartshares Europe ETF         1.78040         100,735,313         (470,000)           FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares Global Bond ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Dende ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Dende ETF         1.48592         78,011,748         750,000           NZC         Smartshares NZ Dende ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           USF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US Soo ETF         8.80083         29,541,001  | DIV      | Smartshares NZ Dividend ETF                   | 1.33393 | 46,157,211     | 125,000         |
| FNZ         Smartshares NZ Top 50 ETF         3.00127         214,551,179         -           GBF         Smartshares Global Bond ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares NZ Top 10 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US S00 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Small Cap ETF         4.91460         13,636,832         (20   | EMF      | Smartshares Emerging Markets ETF              | 1.33915 | 93,282,000     | -               |
| GBF         Smartshares Global Bond ETF         3.26453         65,789,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares US Do ETF         2.43553         23,599,273         -           USF         Smartshares US Soo ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500  | EUF      | Smartshares Europe ETF                        | 1.78040 | 100,735,313    | (470,000)       |
| MDZ         Smartshares NZ Mid Cap ETF         5.84827         27,940,674         200,000           MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares NZ Top 10 ETF         4,02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1,84249         61,881,573         -           TWF         Smartshares VZ Top 10 ETF         2,43553         23,599,273         -           USF         Smartshares US S00 ETF         8,80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5,28009         7,677,876         -           USM         Smartshares US Small Cap ETF         4,91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3,60195         21,282,500         (45,000)           AGG         Smartshares Emerging Markets Equities ESG ETF         3,10691         <  | FNZ      | Smartshares NZ Top 50 ETF                     | 3.00127 | 214,551,179    | -               |
| MZY         Smartshares Australian Mid Cap ETF         7.31855         16,382,274         75,000           NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Small Cap ETF         4.91460         13,634,138         (35,105)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Automation and Robotics ETF         1.34403         18,961,777         60,665           BOT         Smartshares Emerging Markets Equities ESG ETF  | GBF      | Smartshares Global Bond ETF                   | 3.26453 | 65,789,618     | -               |
| NPF         Smartshares NZ Property ETF         1.48592         78,011,748         750,000           NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Small Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         1.3403         18,961,777         60,665           BOT         Smartshares Emerging Markets Equities ESG ETF  | MDZ      | Smartshares NZ Mid Cap ETF                    | 5.84827 | 27,940,674     | 200,000         |
| NZB         Smartshares NZ Bond ETF         3.11177         107,601,618         -           NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Large Value ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Automation and Robotics ETF         1.34403         18,961,777         60,665           BOT         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           EMG         Smartshares Elodal Equities ESG ETF         2.1   | MZY      | Smartshares Australian Mid Cap ETF            | 7.31855 | 16,382,274     | 75,000          |
| NZC         Smartshares NZ Cash ETF         2.97754         27,068,936         -           OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           EMG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Lapan Equities ESG ETF <t< td=""><td>NPF</td><td>Smartshares NZ Property ETF</td><td>1.48592</td><td>78,011,748</td><td>750,000</td></t<> | NPF      | Smartshares NZ Property ETF                   | 1.48592 | 78,011,748     | 750,000         |
| OZY         Smartshares Australian Top 20 ETF         4.02740         33,906,267         -           TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Japan Equities ESG ETF<  | NZB      | Smartshares NZ Bond ETF                       | 3.11177 | 107,601,618    | -               |
| TNZ         Smartshares NZ Top 10 ETF         1.84249         61,881,573         -           TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Europe Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Japan Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Healthcare Innovation E  | NZC      | Smartshares NZ Cash ETF                       | 2.97754 | 27,068,936     | -               |
| TWF         Smartshares Total World ETF         2.43553         23,599,273         -           USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Inn  | OZY      | Smartshares Australian Top 20 ETF             | 4.02740 | 33,906,267     | -               |
| USF         Smartshares US 500 ETF         8.80083         29,541,001         (25,000)           USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Innovation ETF         2.35307         1,340,640         -  | TNZ      | Smartshares NZ Top 10 ETF                     | 1.84249 | 61,881,573     | -               |
| USG         Smartshares US Large Growth ETF         5.28009         7,677,876         -           USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Innovation ETF         2.35307         1,340,640         -   | TWF      | Smartshares Total World ETF                   | 2.43553 | 23,599,273     | -               |
| USM         Smartshares US Mid Cap ETF         5.32255         14,634,138         (35,105)           USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Innovation ETF         2.35307         1,340,640         -   | USF      | Smartshares US 500 ETF                        | 8.80083 | 29,541,001     | (25,000)        |
| USS         Smartshares US Small Cap ETF         4.91460         13,636,832         (20,060)           USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Innovation ETF         2.35307         1,340,640         -  | USG      | Smartshares US Large Growth ETF               | 5.28009 | 7,677,876      | -               |
| USV         Smartshares US Large Value ETF         3.60195         21,282,500         (45,000)           AGG         Smartshares Global Aggregate Bond ETF         1.34403         18,961,777         60,665           BOT         Smartshares Automation and Robotics ETF         3.10691         1,917,712         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.13084         5,187,097         -           ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Innovation ETF         2.35307         1,340,640         -   | USM      | Smartshares US Mid Cap ETF                    | 5.32255 | 14,634,138     | (35,105)        |
| AGG Smartshares Global Aggregate Bond ETF 1.34403 18,961,777 60,665  BOT Smartshares Automation and Robotics ETF 3.10691 1,917,712 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.13084 5,187,097 -  ESG Smartshares Global Equities ESG ETF 2.13576 1,778,046 -  EUG Smartshares Europe Equities ESG ETF 2.48343 4,269,388 -  JPN Smartshares Japan Equities ESG ETF 2.02433 3,985,284 -  LIV Smartshares Healthcare Innovation ETF 2.35307 1,340,640 -  | USS      | Smartshares US Small Cap ETF                  | 4.91460 | 13,636,832     | (20,060)        |
| BOT Smartshares Automation and Robotics ETF 3.10691 1,917,712 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.13084 5,187,097 -  ESG Smartshares Global Equities ESG ETF 2.13576 1,778,046 -  EUG Smartshares Europe Equities ESG ETF 2.48343 4,269,388 -  JPN Smartshares Japan Equities ESG ETF 2.02433 3,985,284 -  LIV Smartshares Healthcare Innovation ETF 2.35307 1,340,640 -   | USV      | Smartshares US Large Value ETF                | 3.60195 | 21,282,500     | (45,000)        |
| EMG Smartshares Emerging Markets Equities ESG ETF 2.13084 5,187,097 -  ESG Smartshares Global Equities ESG ETF 2.13576 1,778,046 -  EUG Smartshares Europe Equities ESG ETF 2.48343 4,269,388 -  JPN Smartshares Japan Equities ESG ETF 2.02433 3,985,284 -  LIV Smartshares Healthcare Innovation ETF 2.35307 1,340,640 -  | AGG      | Smartshares Global Aggregate Bond ETF         | 1.34403 | 18,961,777     | 60,665          |
| ESG         Smartshares Global Equities ESG ETF         2.13576         1,778,046         -           EUG         Smartshares Europe Equities ESG ETF         2.48343         4,269,388         -           JPN         Smartshares Japan Equities ESG ETF         2.02433         3,985,284         -           LIV         Smartshares Healthcare Innovation ETF         2.35307         1,340,640         -  | ВОТ      | Smartshares Automation and Robotics ETF       | 3.10691 | 1,917,712      | -               |
| EUG Smartshares Europe Equities ESG ETF 2.48343 4,269,388 -  JPN Smartshares Japan Equities ESG ETF 2.02433 3,985,284 -  LIV Smartshares Healthcare Innovation ETF 2.35307 1,340,640 -  | EMG      | Smartshares Emerging Markets Equities ESG ETF | 2.13084 | 5,187,097      | -               |
| JPN Smartshares Japan Equities ESG ETF 2.02433 3,985,284 - LIV Smartshares Healthcare Innovation ETF 2.35307 1,340,640 -  | ESG      | Smartshares Global Equities ESG ETF           | 2.13576 | 1,778,046      | -               |
| LIV Smartshares Healthcare Innovation ETF 2.35307 1,340,640 -   | EUG      | Smartshares Europe Equities ESG ETF           | 2.48343 | 4,269,388      | -               |
|   | JPN      | Smartshares Japan Equities ESG ETF            | 2.02433 | 3,985,284      | -               |
| USA Smartshares US Equities ESG ETF 2.18392 5,679,750 -   | LIV      | Smartshares Healthcare Innovation ETF         | 2.35307 | 1,340,640      | -               |
|   | USA      | Smartshares US Equities ESG ETF               | 2.18392 | 5,679,750      | -               |

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

For further information please contact: Smartshares Limited 0800 80 87 80 smartshares@smartshares.co.nz