

Friday, 1 November 2019

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 31 October 2019.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.15536	55,687,000	(135,000)
ASD	Smartshares Australian Dividend ETF	1.71198	15,804,541	-
ASF	Smartshares Australian Financials ETF	7.65829	3,465,468	-
ASP	Smartshares Australian Property ETF	1.70621	26,297,531	-
ASR	Smartshares Australian Resources ETF	5.05426	6,757,633	-
DIV	Smartshares NZ Dividend ETF	1.33393	46,157,211	125,000
EMF	Smartshares Emerging Markets ETF	1.33915	93,282,000	-
EUF	Smartshares Europe ETF	1.78040	100,735,313	(470,000)
FNZ	Smartshares NZ Top 50 ETF	3.00127	214,551,179	-
GBF	Smartshares Global Bond ETF	3.26453	65,789,618	-
MDZ	Smartshares NZ Mid Cap ETF	5.84827	27,940,674	200,000
MZY	Smartshares Australian Mid Cap ETF	7.31855	16,382,274	75,000
NPF	Smartshares NZ Property ETF	1.48592	78,011,748	750,000
NZB	Smartshares NZ Bond ETF	3.11177	107,601,618	-
NZC	Smartshares NZ Cash ETF	2.97754	27,068,936	-
OZY	Smartshares Australian Top 20 ETF	4.02740	33,906,267	-
TNZ	Smartshares NZ Top 10 ETF	1.84249	61,881,573	-
TWF	Smartshares Total World ETF	2.43553	23,599,273	-
USF	Smartshares US 500 ETF	8.80083	29,541,001	(25,000)
USG	Smartshares US Large Growth ETF	5.28009	7,677,876	-
USM	Smartshares US Mid Cap ETF	5.32255	14,634,138	(35,105)
USS	Smartshares US Small Cap ETF	4.91460	13,636,832	(20,060)
USV	Smartshares US Large Value ETF	3.60195	21,282,500	(45,000)
AGG	Smartshares Global Aggregate Bond ETF	1.34403	18,961,777	60,665
BOT	Smartshares Automation and Robotics ETF	3.10691	1,917,712	-
EMG	Smartshares Emerging Markets Equities ESG ETF	2.13084	5,187,097	-
ESG	Smartshares Global Equities ESG ETF	2.13576	1,778,046	-
EUG	Smartshares Europe Equities ESG ETF	2.48343	4,269,388	-
JPN	Smartshares Japan Equities ESG ETF	2.02433	3,985,284	-
LIV	Smartshares Healthcare Innovation ETF	2.35307	1,340,640	-
USA	Smartshares US Equities ESG ETF	2.18392	5,679,750	-

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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