

Monday, 4 November 2019

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 1 November 2019.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.13520	55,722,000	35,000
ASD	Smartshares Australian Dividend ETF	1.70179	15,804,541	-
ASF	Smartshares Australian Financials ETF	7.56428	3,465,468	-
ASP	Smartshares Australian Property ETF	1.70897	26,297,531	-
ASR	Smartshares Australian Resources ETF	5.04496	6,757,633	-
DIV	Smartshares NZ Dividend ETF	1.32950	46,407,211	250,000
EMF	Smartshares Emerging Markets ETF	1.31950	93,337,000	55,000
EUF	Smartshares Europe ETF	1.76271	100,770,313	35,000
FNZ	Smartshares NZ Top 50 ETF	2.99186	215,151,179	600,000
GBF	Smartshares Global Bond ETF	3.27484	65,819,618	30,000
MDZ	Smartshares NZ Mid Cap ETF	5.82961	27,940,674	-
MZY	Smartshares Australian Mid Cap ETF	7.29394	16,382,274	-
NPF	Smartshares NZ Property ETF	1.49338	78,261,748	250,000
NZB	Smartshares NZ Bond ETF	3.11339	107,761,618	160,000
NZC	Smartshares NZ Cash ETF	2.97765	27,068,936	-
OZY	Smartshares Australian Top 20 ETF	4.00317	33,906,267	-
TNZ	Smartshares NZ Top 10 ETF	1.83936	61,881,573	-
TWF	Smartshares Total World ETF	2.41124	23,824,273	225,000
USF	Smartshares US 500 ETF	8.71079	29,616,001	75,000
USG	Smartshares US Large Growth ETF	5.23352	7,713,373	35,497
USM	Smartshares US Mid Cap ETF	5.25470	14,659,213	25,075
USS	Smartshares US Small Cap ETF	4.84527	13,636,832	-
USV	Smartshares US Large Value ETF	3.56227	21,282,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.35214	18,986,043	24,266
BOT	Smartshares Automation and Robotics ETF	3.05594	2,046,495	128,783
EMG	Smartshares Emerging Markets Equities ESG ETF	2.10150	5,199,194	12,097
ESG	Smartshares Global Equities ESG ETF	2.11315	1,806,254	28,208
EUG	Smartshares Europe Equities ESG ETF	2.45821	4,289,543	20,155
JPN	Smartshares Japan Equities ESG ETF	2.00928	4,025,616	40,332
LIV	Smartshares Healthcare Innovation ETF	2.31474	1,380,959	40,319
USA	Smartshares US Equities ESG ETF	2.15866	5,691,842	12,092

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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