

Friday, 13 December 2019

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 12 December 2019.

APA         Smartshares Asia Pacific ETF         2.12456         56,077,000         20,000           ASD         Smartshares Australian Dividend ETF         1.67186         16,429,541         -           ASF         Smartshares Australian Financials ETF         7.08568         3,590,468         -           ASP         Smartshares Australian Property ETF         1.59953         27,172,531         -           ASR         Smartshares Australian Resources ETF         5.07786         6,832,633         -           DIV         Smartshares Australian Resources ETF         1.28838         48,907,211         -           EMF         Smartshares RD Dividend ETF         1.28838         48,907,210         85,000           EUF         Smartshares RD Dividend ETF         1.3343         94,057,000         85,000           EUF         Smartshares RD Top ETF         1.73069         101,685,313         90,000           FNZ         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.06290         220,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.0925         28,340,674         -           MZY         Smartshares NZ Mid Cap ETF         1.45904	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.08568         3,590,468         -           ASP         Smartshares Australian Property ETF         1.59953         27,172,531         -           ASR         Smartshares Australian Resources ETF         5.07786         6,832,633         -           DIV         Smartshares NZ Dividend ETF         1.28838         48,907,211         -           EMF         Smartshares Europe ETF         1.31343         94,057,000         85,000           EUF         Smartshares Europe ETF         1.73069         101,685,313         90,000           FNZ         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.26374         65,889,618         -           MDZ         Smartshares NZ MIG Cap ETF         5.80275         28,340,674         -           MZY         Smartshares NZ Burstalian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211	APA	Smartshares Asia Pacific ETF	2.12456	56,077,000	20,000
ASP         Smartshares Australian Property ETF         1.59953         27,172,531         -           ASR         Smartshares Australian Resources ETF         5.07786         6,832,633         -           DIV         Smartshares NZ Dividend ETF         1.28838         48,907,211         -           EMF         Smartshares Emerging Markets ETF         1.31343         94,057,000         85,000           EUF         Smartshares Europe ETF         1.73069         101,685,313         90,000           FNZ         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares NZ Mid Cap ETF         3.26374         65,889,618         -           MDZ         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           MZY         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Cash ETF         2.96761         34,543,765         -           NZC         Smartshares NZ Cash ETF         2.96761         34,243,265         -           NZC         Smartshares NZ Top 10 ETF         1.95893         63,131,573	ASD	Smartshares Australian Dividend ETF	1.67186	16,429,541	-
ASR         Smartshares Australian Resources ETF         5.07786         6,832,633         -           DIV         Smartshares NZ Dividend ETF         1.28838         48,907,211         -           EMF         Smartshares Europe ETF         1.31343         94,057,000         85,000           EUF         Smartshares Europe ETF         1.73069         101,685,313         90,000           FNZ         Smartshares Europe ETF         3.06290         220,451,179         -           GBF         Smartshares Clobal Bond ETF         3.26374         65,889,618         -           MDZ         Smartshares Clobal Bond ETF         5.80275         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Bond ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         1.95893         63,313,573         -           TWF         Smartshares NZ Top 10 ETF         1.95893         63,313,573         - <td>ASF</td> <td>Smartshares Australian Financials ETF</td> <td>7.08568</td> <td>3,590,468</td> <td>-</td>	ASF	Smartshares Australian Financials ETF	7.08568	3,590,468	-
DIV         Smartshares NZ Dividend ETF         1.28838         48,907,211         -           EMF         Smartshares Emerging Markets ETF         1.31343         94,057,000         85,000           EUF         Smartshares Europe ETF         1.73069         101,685,313         90,000           FNZ         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares Global Bond ETF         3.26374         65,889,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.80275         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           USF         Smartshares Stol World ETF         2.41279         23,954,273         35	ASP	Smartshares Australian Property ETF	1.59953	27,172,531	-
EMF         Smartshares Emerging Markets ETF         1.31343         94,057,000         85,000           EUF         Smartshares Europe ETF         1.73069         101,685,313         90,000           FNZ         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares Global Bond ETF         3.26374         65,889,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.80275         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         1.95893         63,131,573         -           TWF         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.20779         15,030,326         <	ASR	Smartshares Australian Resources ETF	5.07786	6,832,633	-
EUF Smartshares Europe ETF 1.73069 101,685,313 90,000 FNZ Smartshares NZ Top 50 ETF 3.06290 220,451,179 - GBF Smartshares Global Bond ETF 3.26374 65,889,618 - MDZ Smartshares NZ Mid Cap ETF 5.80275 28,340,674 - MZY Smartshares Australian Mid Cap ETF 7.14985 16,682,274 - NPF Smartshares NZ Property ETF 1.45904 78,511,748 250,000 NZB Smartshares NZ Property ETF 1.45904 78,511,748 250,000 NZB Smartshares NZ Property ETF 1.45904 78,511,748 250,000 NZB Smartshares NZ Property ETF 1.45904 34,543,765 - OZY Smartshares NZ Cash ETF 2.96761 34,543,765 - OZY Smartshares NZ Cash ETF 1.95893 63,131,573 - TWF Smartshares NZ Top 10 ETF 1.95893 63,131,573 - TWF Smartshares NZ Top 10 ETF 1.95893 63,131,573 - TWF Smartshares VZ Top 10 ETF 1.95893 30,226,001 15,000 USF Smartshares US 500 ETF 8.79093 30,226,001 15,000 USF Smartshares US Sou ETF 5.29008 7,916,295 20,325 USM Smartshares US Large Growth ETF 5.29008 7,916,295 20,325 USM Smartshares US Small Cap ETF 5.27779 15,030,326 - USS Smartshares US Small Cap ETF 4.90970 13,802,327 - USS Smartshares US Indig ETF 1.33848 22,401,136 - USS Smartshares US Large Value ETF 1.33848 22,401,136 - USS Smartshares US Large Value ETF 1.33848 22,401,136 - USS Smartshares Us Large Value ETF 1.33848 22,401,136 - USS Smartshares Us Large Value ETF 1.33848 22,401,136 - USS Smartshares Us Large Value ETF 1.33848 22,401,136 - USS Smartshares Us Large Value ETF 1.33848 22,401,136 - USS Smartshares Us Large Value ETF 1.33848 22,401,136 - USS Smartshares Us Large Value ETF 1.33848 22,401,136 - USS Smartshares Europe Equities ESG ETF 1.33848 22,401,136 - USS Smartshares Europe Equities ESG ETF 1.33848 22,401,136 - USS Smartshares Europe Equities ESG ETF 1.33848 22,401,401 - USS Smartshares Europe Equities ESG ETF 1.48219 5,729,897 - USS Smartshares Europe Equities ESG ETF 1.48219 5,729,897 - USS Smartshares Europe Equities ESG ETF 1.48219 5,729,897 - USS Smartshares Equities ESG ETF 1.48219 5,729,897 - USS Smartshares Equities ESG ETF 1.48219 5,729,897 - USS Smartshares Equities ESG ETF 1.48513 1,469,8	DIV	Smartshares NZ Dividend ETF	1.28838	48,907,211	-
FNZ         Smartshares NZ Top 50 ETF         3.06290         220,451,179         -           GBF         Smartshares Global Bond ETF         3.26374         65,889,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.80275         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Foroperty ETF         1.96761         34,543,765         -           NZC         Smartshares NZ Gash ETF         2.96761         34,543,765         -           VZY         Smartshares NZ Cash ETF         1.95893         63,131,573         -           TWF         Smartshares UX Top 10 ETF         1.95893         30,226,001	EMF	Smartshares Emerging Markets ETF	1.31343	94,057,000	85,000
GBF         Smartshares Global Bond ETF         3.26374         65,889,618         -           MDZ         Smartshares NZ Mid Cap ETF         5.80275         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.20008         7,916,295         20,325           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USY         Smartshares Global Aggregate Bond ETF         3.59042         21,542,500 </td <td>EUF</td> <td>Smartshares Europe ETF</td> <td>1.73069</td> <td>101,685,313</td> <td>90,000</td>	EUF	Smartshares Europe ETF	1.73069	101,685,313	90,000
MDZ         Smartshares NZ Mid Cap ETF         5.80275         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ DR Dond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares US Soo ETF         8,79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5,29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5,27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4,90970         13,802,327         -           USV         Smartshares Global Aggregate Bond ETF         3,59042         21,542,500	FNZ	Smartshares NZ Top 50 ETF	3.06290	220,451,179	-
MZY         Smartshares Australian Mid Cap ETF         7.14985         16,682,274         -           NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares NZ Top 10 ETF         2.41279         23,954,273         35,000           USF         Smartshares US Soo ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares Global Aggregate Bond ETF         3.59042         21,542,500         -           AGG         Smartshares Automation and Robotics ETF         3.12372         2,437	GBF	Smartshares Global Bond ETF	3.26374	65,889,618	-
NPF         Smartshares NZ Property ETF         1.45904         78,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Small Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Automation and Robotics ETF         1.33848         22,401,136         -           BOT         Smartshares Emerging Markets Equities ESG ETF         2.09022         <	MDZ	Smartshares NZ Mid Cap ETF	5.80275	28,340,674	-
NZB         Smartshares NZ Bond ETF         3.08291         109,211,618         -           NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mild Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Large Value ETF         3.59042         21,542,500         -           USV         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.43219	MZY	Smartshares Australian Mid Cap ETF	7.14985	16,682,274	-
NZC         Smartshares NZ Cash ETF         2.96761         34,543,765         -           OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.43219 <td>NPF</td> <td>Smartshares NZ Property ETF</td> <td>1.45904</td> <td>78,511,748</td> <td>250,000</td>	NPF	Smartshares NZ Property ETF	1.45904	78,511,748	250,000
OZY         Smartshares Australian Top 20 ETF         3.86194         34,281,267         -           TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Europe Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Japan Equities ESG ETF	NZB	Smartshares NZ Bond ETF	3.08291	109,211,618	-
TNZ         Smartshares NZ Top 10 ETF         1.95893         63,131,573         -           TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Europe Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Japan Equities ESG ETF         2.43219         5,729,897         -           JPN         Smartshares Healthcare Innovation ETF	NZC	Smartshares NZ Cash ETF	2.96761	34,543,765	-
TWF         Smartshares Total World ETF         2.41279         23,954,273         35,000           USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Europe Equities ESG ETF         2.03338         5,159,985         -           JPN         Smartshares Japan Equities ESG ETF         2.03338         5,159,985         -           LIV         Smartshares Innovation ETF	OZY	Smartshares Australian Top 20 ETF	3.86194	34,281,267	-
USF         Smartshares US 500 ETF         8.79093         30,226,001         15,000           USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Europe Equities ESG ETF         2.43219         5,729,897         -           JPN         Smartshares Japan Equities ESG ETF         2.03338         5,159,985         -           LIV         Smartshares Healthcare Innovation ETF         2.45713         1,469,839         -	TNZ	Smartshares NZ Top 10 ETF	1.95893	63,131,573	-
USG         Smartshares US Large Growth ETF         5.29008         7,916,295         20,325           USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Europe Equities ESG ETF         2.43219         5,729,897         -           JPN         Smartshares Japan Equities ESG ETF         2.03338         5,159,985         -           LIV         Smartshares Healthcare Innovation ETF         2.45713         1,469,839         -	TWF	Smartshares Total World ETF	2.41279	23,954,273	35,000
USM         Smartshares US Mid Cap ETF         5.27779         15,030,326         -           USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Europe Equities ESG ETF         2.43219         5,729,897         -           JPN         Smartshares Japan Equities ESG ETF         2.03338         5,159,985         -           LIV         Smartshares Healthcare Innovation ETF         2.45713         1,469,839         -	USF	Smartshares US 500 ETF	8.79093	30,226,001	15,000
USS         Smartshares US Small Cap ETF         4.90970         13,802,327         -           USV         Smartshares US Large Value ETF         3.59042         21,542,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33848         22,401,136         -           BOT         Smartshares Automation and Robotics ETF         3.12372         2,437,554         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.09022         7,027,428         -           ESG         Smartshares Global Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Europe Equities ESG ETF         2.43219         5,729,897         -           JPN         Smartshares Japan Equities ESG ETF         2.03338         5,159,985         -           LIV         Smartshares Healthcare Innovation ETF         2.45713         1,469,839         -	USG	Smartshares US Large Growth ETF	5.29008	7,916,295	20,325
USV Smartshares US Large Value ETF 3.59042 21,542,500 - AGG Smartshares Global Aggregate Bond ETF 1.33848 22,401,136 - BOT Smartshares Automation and Robotics ETF 3.12372 2,437,554 - EMG Smartshares Emerging Markets Equities ESG ETF 2.09022 7,027,428 - ESG Smartshares Global Equities ESG ETF 2.12652 2,294,687 32,302 EUG Smartshares Europe Equities ESG ETF 2.43219 5,729,897 - JPN Smartshares Japan Equities ESG ETF 2.03338 5,159,985 - LIV Smartshares Healthcare Innovation ETF 2.45713 1,469,839 -	USM	Smartshares US Mid Cap ETF	5.27779	15,030,326	-
AGG Smartshares Global Aggregate Bond ETF 1.33848 22,401,136 - BOT Smartshares Automation and Robotics ETF 3.12372 2,437,554 - EMG Smartshares Emerging Markets Equities ESG ETF 2.09022 7,027,428 - ESG Smartshares Global Equities ESG ETF 2.12652 2,294,687 32,302 EUG Smartshares Europe Equities ESG ETF 2.43219 5,729,897 - JPN Smartshares Japan Equities ESG ETF 2.03338 5,159,985 - LIV Smartshares Healthcare Innovation ETF 2.45713 1,469,839 -	USS	Smartshares US Small Cap ETF	4.90970	13,802,327	-
BOT Smartshares Automation and Robotics ETF 3.12372 2,437,554 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.09022 7,027,428 -  ESG Smartshares Global Equities ESG ETF 2.12652 2,294,687 32,302  EUG Smartshares Europe Equities ESG ETF 2.43219 5,729,897 -  JPN Smartshares Japan Equities ESG ETF 2.03338 5,159,985 -  LIV Smartshares Healthcare Innovation ETF 2.45713 1,469,839 -	USV	Smartshares US Large Value ETF	3.59042	21,542,500	-
EMG Smartshares Emerging Markets Equities ESG ETF 2.09022 7,027,428 - ESG Smartshares Global Equities ESG ETF 2.12652 2,294,687 32,302 EUG Smartshares Europe Equities ESG ETF 2.43219 5,729,897 -  JPN Smartshares Japan Equities ESG ETF 2.03338 5,159,985 -  LIV Smartshares Healthcare Innovation ETF 2.45713 1,469,839 -	AGG	Smartshares Global Aggregate Bond ETF	1.33848	22,401,136	-
ESG         Smartshares Global Equities ESG ETF         2.12652         2,294,687         32,302           EUG         Smartshares Europe Equities ESG ETF         2.43219         5,729,897         -           JPN         Smartshares Japan Equities ESG ETF         2.03338         5,159,985         -           LIV         Smartshares Healthcare Innovation ETF         2.45713         1,469,839         -	ВОТ	Smartshares Automation and Robotics ETF	3.12372	2,437,554	-
EUGSmartshares Europe Equities ESG ETF2.432195,729,897-JPNSmartshares Japan Equities ESG ETF2.033385,159,985-LIVSmartshares Healthcare Innovation ETF2.457131,469,839-	EMG	Smartshares Emerging Markets Equities ESG ETF	2.09022	7,027,428	-
JPN Smartshares Japan Equities ESG ETF 2.03338 5,159,985 - LIV Smartshares Healthcare Innovation ETF 2.45713 1,469,839 -	ESG	Smartshares Global Equities ESG ETF	2.12652	2,294,687	32,302
LIV Smartshares Healthcare Innovation ETF 2.45713 1,469,839 -	EUG	Smartshares Europe Equities ESG ETF	2.43219	5,729,897	-
	JPN	Smartshares Japan Equities ESG ETF	2.03338	5,159,985	-
USA Smartshares US Equities ESG ETF 2.18966 7,684,800 -	LIV	Smartshares Healthcare Innovation ETF	2.45713	1,469,839	-
	USA	Smartshares US Equities ESG ETF	2.18966	7,684,800	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 16,500 unit holders and more than NZD \$3.1 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>

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