

Tuesday, 7 January 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 6 January 2020.

APA         Smartshares Asia Pacific ETF         2.10513         57,757,000         -           ASD         Smartshares Australian Dividend ETF         1.68714         16,929,541         -           ASF         Smartshares Australian Financials ETF         7.11227         3,740,468         -           ASP         Smartshares Australian Property ETF         1.60882         28,172,531         -           ASR         Smartshares Australian Resources ETF         5.22261         6,907,633         -           BOIV         Smartshares Australian Resources ETF         1.34755         51,032,211         500,000           EMF         Smartshares Rustralian Resources ETF         1.35484         94,597,000         -           EUF         Smartshares Emerging Markets ETF         1.3588         225,051,179         600,000           EWF         Smartshares Rustralian General ETF         3.0283         225,051,179         600,000           GBF         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZC         Smartshares NZ Cash ETF<	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.11227         3,740,468         -           ASP         Smartshares Australian Property ETF         1.60882         28,172,531         -           ASR         Smartshares Australian Resources ETF         5.22261         6,907,633         -           DIV         Smartshares Portage ETF         1.31755         51,032,211         500,000           EMF         Smartshares Europe ETF         1.35484         94,597,000         -           EUF         Smartshares Europe ETF         1.75580         101,990,313         -           FNZ         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           GBF         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           MDZ         Smartshares NZ Mid Cap ETF         3.16283         225,051,179         600,000           MDZ         Smartshares NZ Mustralian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZE         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZE         Smartshares NZ Bond ETF         3.08525	APA	Smartshares Asia Pacific ETF	2.10513	57,757,000	-
ASP         Smartshares Australian Property ETF         1.60882         28,172,531         -           ASR         Smartshares Australian Resources ETF         5.22261         6,907,633         -           DIV         Smartshares NZ Dividend ETF         1.31755         51,032,211         500,000           EMF         Smartshares Emerging Markets ETF         1.35484         94,597,000         -           EUF         Smartshares Europe ETF         1.75580         101,990,313         -           FNZ         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           GBF         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares NZ Cash ETF         1.98406         64,631,57	ASD	Smartshares Australian Dividend ETF	1.68714	16,929,541	-
ASR         Smartshares Australian Resources ETF         5.22261         6.907,633         -           DIV         Smartshares NZ Dividend ETF         1.31755         51,032,211         500,000           EMF         Smartshares Emerging Markets ETF         1.35484         94,597,000         -           EUF         Smartshares Europe ETF         1.75580         101,990,313         -           FNZ         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           GBF         Smartshares NZ Top 50 ETF         3.27329         66,209,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZE         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares NZ Top 10 ETF         1.98940         64,631,573 <td>ASF</td> <td>Smartshares Australian Financials ETF</td> <td>7.11227</td> <td>3,740,468</td> <td>-</td>	ASF	Smartshares Australian Financials ETF	7.11227	3,740,468	-
DIV         Smartshares NZ Dividend ETF         1.31755         51,032,211         500,000           EMF         Smartshares Emerging Markets ETF         1.35484         94,597,000         -           EUF         Smartshares Europe ETF         1.75580         101,990,313         -           FNZ         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           GBF         Smartshares Global Bond ETF         3.27329         66,209,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares SU Soo ETF         8.90797         30,486,001	ASP	Smartshares Australian Property ETF	1.60882	28,172,531	-
EMF         Smartshares Emerging Markets ETF         1.35484         94,597,000         -           EUF         Smartshares Europe ETF         1.75580         101,990,313         -           FNZ         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           GBF         Smartshares Global Bond ETF         3.27329         66,209,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US Goe ETF         5.32102         15,145,671	ASR	Smartshares Australian Resources ETF	5.22261	6,907,633	-
EUF Smartshares Europe ETF 1.75580 101,990,313 - FNZ Smartshares NZ Top 50 ETF 3.16283 225,051,179 600,000 GBF Smartshares Global Bond ETF 3.27329 66,209,618 60,000 MDZ Smartshares NZ Mid Cap ETF 6.07588 28,240,674 - MZY Smartshares Australian Mid Cap ETF 7.27687 16,757,274 - NPF Smartshares NZ Property ETF 1.48946 80,011,748 500,000 NZB Smartshares NZ Bond ETF 3.08525 110,961,618 80,000 NZC Smartshares NZ Cash ETF 2.96966 39,597,373 - OZY Smartshares Australian Top 20 ETF 3.87065 34,356,267 - TNZ Smartshares NZ Top 10 ETF 1.98940 64,631,573 500,000 TWF Smartshares NZ Top 10 ETF 1.98940 64,631,573 500,000 TWF Smartshares Total World ETF 2.44381 24,564,273 - USF Smartshares US 500 ETF 8.90797 30,486,001 - USG Smartshares US Large Growth ETF 5.41229 8,246,580 - USM Smartshares US Small Cap ETF 5.32102 15,145,671 - USS Smartshares US Small Cap ETF 4.93563 13,907,642 - USV Smartshares US Small Cap ETF 4.93563 13,907,642 - USV Smartshares US Large Value ETF 3.60193 21,662,500 - AGG Smartshares US Large Value ETF 3.60193 21,662,500 - AGG Smartshares Automation and Robotics ETF 1.34067 23,955,578 - BOT Smartshares Automation and Robotics ETF 1.34067 23,955,578 - EMG Smartshares Energing Markets Equities ESG ETF 2.18626 7,915,671 - ESG Smartshares Europe Equities ESG ETF 2.15560 2,520,803 - EUG Smartshares Europe Equities ESG ETF 2.15560 6,440,261 - IPN Smartshares Japan Equities ESG ETF 2.47960 6,440,261 - IPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 -	DIV	Smartshares NZ Dividend ETF	1.31755	51,032,211	500,000
FNZ         Smartshares NZ Top 50 ETF         3.16283         225,051,179         600,000           GBF         Smartshares Global Bond ETF         3.27329         66,209,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US Soo ETF         8.90797         30,486,001         -           USG         Smartshares US Mid Cap ETF         5.41229         8,246,580         -           USM         Smartshares US Small Cap ETF         4.93563         13,907,642	EMF	Smartshares Emerging Markets ETF	1.35484	94,597,000	-
GBF         Smartshares Global Bond ETF         3.27329         66,209,618         60,000           MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US So0 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500	EUF	Smartshares Europe ETF	1.75580	101,990,313	-
MDZ         Smartshares NZ Mid Cap ETF         6.07588         28,240,674         -           MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US Soo ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Small Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares Global Aggregate Bond ETF         3.60193         21,662,500	FNZ	Smartshares NZ Top 50 ETF	3.16283	225,051,179	600,000
MZY         Smartshares Australian Mid Cap ETF         7.27687         16,757,274         -           NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Mid Cap ETF         5.41229         8,246,580         -           USM         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Automation and Robotics ETF         1.34067         23,955,578         -           BOT         Smartshares Emerging Markets Equities ESG ETF         2.18626	GBF	Smartshares Global Bond ETF	3.27329	66,209,618	60,000
NPF         Smartshares NZ Property ETF         1.48946         80,011,748         500,000           NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Small Cap ETF         5.32102         15,145,671         -           USV         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Automation and Robotics ETF         1.34067         23,955,578         -           BOT         Smartshares Emerging Markets Equitties ESG ETF         2.18626	MDZ	Smartshares NZ Mid Cap ETF	6.07588	28,240,674	-
NZB         Smartshares NZ Bond ETF         3.08525         110,961,618         80,000           NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mild Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626 <td< td=""><td>MZY</td><td>Smartshares Australian Mid Cap ETF</td><td>7.27687</td><td>16,757,274</td><td>-</td></td<>	MZY	Smartshares Australian Mid Cap ETF	7.27687	16,757,274	-
NZC         Smartshares NZ Cash ETF         2.96966         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           EMG         Smartshares Global Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Europe Equities ESG ETF         2.47960 <td< td=""><td>NPF</td><td>Smartshares NZ Property ETF</td><td>1.48946</td><td>80,011,748</td><td>500,000</td></td<>	NPF	Smartshares NZ Property ETF	1.48946	80,011,748	500,000
OZY         Smartshares Australian Top 20 ETF         3.87065         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Japan Equities ESG ETF         2.47960 <td>NZB</td> <td>Smartshares NZ Bond ETF</td> <td>3.08525</td> <td>110,961,618</td> <td>80,000</td>	NZB	Smartshares NZ Bond ETF	3.08525	110,961,618	80,000
TNZ         Smartshares NZ Top 10 ETF         1.98940         64,631,573         500,000           TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Europe Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Healthcare Innovation ETF         2.4689	NZC	Smartshares NZ Cash ETF	2.96966	39,597,373	-
TWF         Smartshares Total World ETF         2.44381         24,564,273         -           USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         1.98809         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.46	OZY	Smartshares Australian Top 20 ETF	3.87065	34,356,267	-
USF         Smartshares US 500 ETF         8.90797         30,486,001         -           USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         1.98809         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.46890         1,663,903         -	TNZ	Smartshares NZ Top 10 ETF	1.98940	64,631,573	500,000
USG         Smartshares US Large Growth ETF         5.41229         8,246,580         -           USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         1.98809         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.46890         1,663,903         -	TWF	Smartshares Total World ETF	2.44381	24,564,273	-
USM         Smartshares US Mid Cap ETF         5.32102         15,145,671         -           USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         1.98809         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.46890         1,663,903         -	USF	Smartshares US 500 ETF	8.90797	30,486,001	-
USS         Smartshares US Small Cap ETF         4.93563         13,907,642         -           USV         Smartshares US Large Value ETF         3.60193         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.34067         23,955,578         -           BOT         Smartshares Automation and Robotics ETF         3.17950         2,663,398         -           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18626         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         1.98809         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.46890         1,663,903         -	USG	Smartshares US Large Growth ETF	5.41229	8,246,580	-
USV Smartshares US Large Value ETF 3.60193 21,662,500 -  AGG Smartshares Global Aggregate Bond ETF 1.34067 23,955,578 -  BOT Smartshares Automation and Robotics ETF 3.17950 2,663,398 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.18626 7,915,671 -  ESG Smartshares Global Equities ESG ETF 2.15560 2,520,803 -  EUG Smartshares Europe Equities ESG ETF 2.47960 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 -  LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	USM	Smartshares US Mid Cap ETF	5.32102	15,145,671	-
AGG Smartshares Global Aggregate Bond ETF 1.34067 23,955,578 -  BOT Smartshares Automation and Robotics ETF 3.17950 2,663,398 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.18626 7,915,671 -  ESG Smartshares Global Equities ESG ETF 2.15560 2,520,803 -  EUG Smartshares Europe Equities ESG ETF 2.47960 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 -  LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	USS	Smartshares US Small Cap ETF	4.93563	13,907,642	-
BOT Smartshares Automation and Robotics ETF 3.17950 2,663,398 -  EMG Smartshares Emerging Markets Equities ESG ETF 2.18626 7,915,671 -  ESG Smartshares Global Equities ESG ETF 2.15560 2,520,803 -  EUG Smartshares Europe Equities ESG ETF 2.47960 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 -  LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	USV	Smartshares US Large Value ETF	3.60193	21,662,500	-
EMG Smartshares Emerging Markets Equities ESG ETF 2.18626 7,915,671 -  ESG Smartshares Global Equities ESG ETF 2.15560 2,520,803 -  EUG Smartshares Europe Equities ESG ETF 2.47960 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 -  LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	AGG	Smartshares Global Aggregate Bond ETF	1.34067	23,955,578	-
ESG         Smartshares Global Equities ESG ETF         2.15560         2,520,803         -           EUG         Smartshares Europe Equities ESG ETF         2.47960         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         1.98809         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.46890         1,663,903         -	ВОТ	Smartshares Automation and Robotics ETF	3.17950	2,663,398	-
EUG Smartshares Europe Equities ESG ETF 2.47960 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 -  LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	EMG	Smartshares Emerging Markets Equities ESG ETF	2.18626	7,915,671	-
JPN Smartshares Japan Equities ESG ETF 1.98809 5,814,214 - LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	ESG	Smartshares Global Equities ESG ETF	2.15560	2,520,803	-
LIV Smartshares Healthcare Innovation ETF 2.46890 1,663,903 -	EUG	Smartshares Europe Equities ESG ETF	2.47960	6,440,261	-
	JPN	Smartshares Japan Equities ESG ETF	1.98809	5,814,214	-
USA Smartshares US Equities ESG ETF 2.22373 8,528,291 -	LIV	Smartshares Healthcare Innovation ETF	2.46890	1,663,903	-
	USA	Smartshares US Equities ESG ETF	2.22373	8,528,291	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>