

Tuesday, 14 January 2020

## **NTA & Allotment Notice**

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 13 January 2020.

APA         Smartshares Asia Pacific ETF         2.13686         57,797,000         -           ASD         Smartshares Australian Dividend ETF         1.71120         16,929,541         -           ASF         Smartshares Australian Flomancials ETF         7.23766         3,740,468         -           ASP         Smartshares Australian Property ETF         1.62084         28,422,531         -           ASR         Smartshares Australian Resources ETF         5.2684         6,907,633         -           DIV         Smartshares Australian Resources ETF         1.32940         51,032,211         -           EMF         Smartshares Rustralian Resources ETF         1.37691         94,222,000         10,000           EUF         Smartshares Emerging Markets ETF         1.37691         94,222,000         10,000           EUF         Smartshares Rustralian Mid Cap ETF         1.75877         102,040,313         15,000           FNZ         Smartshares NZ Top 50 ETF         3.15524         226,051,179         500,000           GBF         Smartshares NZ Top 50 ETF         3.1742         226,051,179         500,000           GBF         Smartshares NZ Mid Cap ETF         4.0732         28,340,674         -           MZY         Smartshares NZ Mid Cap ETF	NZX Code	Fund Name	NTA	Units on Issue	Change in Units
ASF         Smartshares Australian Financials ETF         7.23766         3,740,468         -           ASP         Smartshares Australian Property ETF         1,62084         28,422,531         -           ASR         Smartshares Australian Resources ETF         5,22684         6,907,633         -           DIV         Smartshares Polydend ETF         1,32940         51,032,211         -           EMF         Smartshares Europe ETF         1,37691         94,222,000         10,000           EUF         Smartshares Europe ETF         1,75877         102,040,313         15,000           FNZ         Smartshares Europe ETF         3,15524         226,051,179         500,000           GBF         Smartshares NZ Top 50 ETF         3,15524         226,051,179         500,000           GBF         Smartshares NZ Mid Cap ETF         6,09632         28,340,674         -           MDZ         Smartshares NZ Bustralian Mid Cap ETF         7,41729         16,757,274         -           NPE         Smartshares NZ Property ETF         1,49391         80,511,748         250,000           NZB         Smartshares NZ Property ETF         1,49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         2,97040         3	APA	Smartshares Asia Pacific ETF	2.13686	57,797,000	-
ASP         Smartshares Australian Property ETF         1 62084         28,422,531         -           ASR         Smartshares Australian Resources ETF         5,22684         6,907,633         -           DIV         Smartshares NZ Dividend ETF         1,32940         51,032,211         -           EMF         Smartshares Emerging Markets ETF         1,37691         94,222,000         10,000           EUF         Smartshares Europe ETF         1,75877         102,040,313         15,000           FNZ         Smartshares NZ Top 50 ETF         3,15524         226,051,179         500,000           GBF         Smartshares NZ Top 50 ETF         3,27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6,09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7,41729         16,757,274         -           NPF         Smartshares NZ Top 10 ETF         1,49391         80,511,748         250,000           NZB         Smartshares NZ Cash ETF         2,97040         39,597,373         -           OZY         Smartshares NZ Cash ETF         2,97040         39,597,373         -           TWF         Smartshares NZ Top 10 ETF         1,96009         64,881,573	ASD	Smartshares Australian Dividend ETF	1.71120	16,929,541	-
ASR         Smartshares Australian Resources ETF         5.22684         6,907,633         -           DIV         Smartshares NZ Dividend ETF         1.32940         51,032,211         -           EMF         Smartshares Emerging Markets ETF         1.37691         94,222,000         10,000           EUF         Smartshares Europe ETF         1.75877         102,040,313         15,000           FNZ         Smartshares NZ Top 50 ETF         3.15524         226,051,179         500,000           GBF         Smartshares Global Bond ETF         3.27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         1.96009         64,881,573	ASF	Smartshares Australian Financials ETF	7.23766	3,740,468	-
DIV         Smartshares NZ Dividend ETF         1.32940         51,032,211         -           EMF         Smartshares Emerging Markets ETF         1.37691         94,222,000         10,000           EUF         Smartshares Europe ETF         1.75877         102,040,313         15,000           FNZ         Smartshares NZ Top 50 ETF         3.15524         226,051,179         500,000           GBF         Smartshares Global Bond ETF         3.27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Bond ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           USF         Smartshares SU Soo ETF         9.03562         30,531,001	ASP	Smartshares Australian Property ETF	1.62084	28,422,531	-
EMF         Smartshares Emerging Markets ETF         1.37691         94,222,000         10,000           EUF         Smartshares Europe ETF         1.75877         102,040,313         15,000           FNZ         Smartshares NZ Top 50 ETF         3.15524         226,051,179         500,000           GBF         Smartshares Global Bond ETF         3.27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         1.96009         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USF         Smartshares US Earge Growth ETF         5.54802         8,312,637	ASR	Smartshares Australian Resources ETF	5.22684	6,907,633	-
EUF         Smartshares Europe ETF         1.75877         102,040,313         15,000           FNZ         Smartshares NZ Top 50 ETF         3.15524         226,051,179         500,000           GBF         Smartshares Global Bond ETF         3.27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Dend ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Dend ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US farge Growth ETF         5.54802         8,312,637         <	DIV	Smartshares NZ Dividend ETF	1.32940	51,032,211	-
FNZ         Smartshares NZ Top 50 ETF         3.15524         226,051,179         500,000           GBF         Smartshares Global Bond ETF         3.27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Gash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mid Cap ETF         5.38463         15,160,716	EMF	Smartshares Emerging Markets ETF	1.37691	94,222,000	10,000
GBF         Smartshares Global Bond ETF         3.27290         66,209,618         -           MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US So0 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Small Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Large Value ETF         3.62027         21,662,500	EUF	Smartshares Europe ETF	1.75877	102,040,313	15,000
MDZ         Smartshares NZ Mid Cap ETF         6.09632         28,340,674         -           MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.47216         24,609,273         20,000           USF         Smartshares US S00 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.38463         15,160,716         -           USM         Smartshares US Small Cap ETF         4,96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862	FNZ	Smartshares NZ Top 50 ETF	3.15524	226,051,179	500,000
MZY         Smartshares Australian Mid Cap ETF         7.41729         16,757,274         -           NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares NZ Top 10 ETF         2.47216         24,609,273         20,000           USF         Smartshares US S00 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Mid Cap ETF         5.54802         8,312,637         15,244           USM         Smartshares US Smill Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Large Value ETF         3.62027         21,662,500         -           USV         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2	GBF	Smartshares Global Bond ETF	3.27290	66,209,618	-
NPF         Smartshares NZ Property ETF         1.49391         80,511,748         250,000           NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Small Cap ETF         5.38463         15,160,716         -           USV         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equitties ESG ETF         2.184	MDZ	Smartshares NZ Mid Cap ETF	6.09632	28,340,674	-
NZB         Smartshares NZ Bond ETF         3.09044         110,791,618         -           NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mild Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.18446 <td>MZY</td> <td>Smartshares Australian Mid Cap ETF</td> <td>7.41729</td> <td>16,757,274</td> <td>-</td>	MZY	Smartshares Australian Mid Cap ETF	7.41729	16,757,274	-
NZC         Smartshares NZ Cash ETF         2.97040         39,597,373         -           OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mid Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           EMG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Leurope Equities ESG ETF         2.47	NPF	Smartshares NZ Property ETF	1.49391	80,511,748	250,000
OZY         Smartshares Australian Top 20 ETF         3.95913         34,356,267         -           TNZ         Smartshares NZ Top 10 ETF         1.96009         64,881,573         -           TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mid Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Japan Equities ESG ETF <td>NZB</td> <td>Smartshares NZ Bond ETF</td> <td>3.09044</td> <td>110,791,618</td> <td>-</td>	NZB	Smartshares NZ Bond ETF	3.09044	110,791,618	-
TNZ Smartshares NZ Top 10 ETF 1.96009 64,881,573 -  TWF Smartshares Total World ETF 2.47216 24,609,273 20,000  USF Smartshares US 500 ETF 9.03562 30,531,001 10,000  USG Smartshares US Large Growth ETF 5.54802 8,312,637 15,244  USM Smartshares US Mid Cap ETF 5.38463 15,160,716 -  USS Smartshares US Small Cap ETF 4.96225 13,922,687 -  USV Smartshares US Large Value ETF 3.62027 21,662,500 -  AGG Smartshares Global Aggregate Bond ETF 1.33955 24,028,862 40,713  BOT Smartshares Automation and Robotics ETF 3.25660 2,744,057 20,165  EMG Smartshares Emerging Markets Equities ESG ETF 2.22854 7,915,671 -  ESG Smartshares Global Equities ESG ETF 2.18446 2,553,105 32,302  EUG Smartshares Europe Equities ESG ETF 2.47542 6,440,261 -  JPN Smartshares Japan Equities ESG ETF 2.0596 5,814,214 -  LIV Smartshares Healthcare Innovation ETF 2.53352 1,769,021 28,301	NZC	Smartshares NZ Cash ETF	2.97040	39,597,373	-
TWF         Smartshares Total World ETF         2.47216         24,609,273         20,000           USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mid Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	OZY	Smartshares Australian Top 20 ETF	3.95913	34,356,267	-
USF         Smartshares US 500 ETF         9.03562         30,531,001         10,000           USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mid Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	TNZ	Smartshares NZ Top 10 ETF	1.96009	64,881,573	-
USG         Smartshares US Large Growth ETF         5.54802         8,312,637         15,244           USM         Smartshares US Mid Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	TWF	Smartshares Total World ETF	2.47216	24,609,273	20,000
USM         Smartshares US Mid Cap ETF         5.38463         15,160,716         -           USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	USF	Smartshares US 500 ETF	9.03562	30,531,001	10,000
USS         Smartshares US Small Cap ETF         4.96225         13,922,687         -           USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	USG	Smartshares US Large Growth ETF	5.54802	8,312,637	15,244
USV         Smartshares US Large Value ETF         3.62027         21,662,500         -           AGG         Smartshares Global Aggregate Bond ETF         1.33955         24,028,862         40,713           BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	USM	Smartshares US Mid Cap ETF	5.38463	15,160,716	-
AGG       Smartshares Global Aggregate Bond ETF       1.33955       24,028,862       40,713         BOT       Smartshares Automation and Robotics ETF       3.25660       2,744,057       20,165         EMG       Smartshares Emerging Markets Equities ESG ETF       2.22854       7,915,671       -         ESG       Smartshares Global Equities ESG ETF       2.18446       2,553,105       32,302         EUG       Smartshares Europe Equities ESG ETF       2.47542       6,440,261       -         JPN       Smartshares Japan Equities ESG ETF       2.00596       5,814,214       -         LIV       Smartshares Healthcare Innovation ETF       2.53352       1,769,021       28,301	USS	Smartshares US Small Cap ETF	4.96225	13,922,687	-
BOT         Smartshares Automation and Robotics ETF         3.25660         2,744,057         20,165           EMG         Smartshares Emerging Markets Equities ESG ETF         2.22854         7,915,671         -           ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	USV	Smartshares US Large Value ETF	3.62027	21,662,500	-
EMGSmartshares Emerging Markets Equities ESG ETF2.228547,915,671-ESGSmartshares Global Equities ESG ETF2.184462,553,10532,302EUGSmartshares Europe Equities ESG ETF2.475426,440,261-JPNSmartshares Japan Equities ESG ETF2.005965,814,214-LIVSmartshares Healthcare Innovation ETF2.533521,769,02128,301	AGG	Smartshares Global Aggregate Bond ETF	1.33955	24,028,862	40,713
ESG         Smartshares Global Equities ESG ETF         2.18446         2,553,105         32,302           EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	ВОТ	Smartshares Automation and Robotics ETF	3.25660	2,744,057	20,165
EUG         Smartshares Europe Equities ESG ETF         2.47542         6,440,261         -           JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	EMG	Smartshares Emerging Markets Equities ESG ETF	2.22854	7,915,671	-
JPN         Smartshares Japan Equities ESG ETF         2.00596         5,814,214         -           LIV         Smartshares Healthcare Innovation ETF         2.53352         1,769,021         28,301	ESG	Smartshares Global Equities ESG ETF	2.18446	2,553,105	32,302
LIV Smartshares Healthcare Innovation ETF 2.53352 1,769,021 28,301	EUG	Smartshares Europe Equities ESG ETF	2.47542	6,440,261	-
	JPN	Smartshares Japan Equities ESG ETF	2.00596	5,814,214	-
USA Smartshares US Equities ESG ETF 2.26664 8,528,291 -	LIV	Smartshares Healthcare Innovation ETF	2.53352	1,769,021	28,301
	USA	Smartshares US Equities ESG ETF	2.26664	8,528,291	-

## **About Smartshares**

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: <a href="https://www.smartshares.co.nz">www.smartshares.co.nz</a>