

Wednesday, 15 January 2020

## NTA & Allotment Notice

Smartshares Ltd would like to report the Net Tangible Assets (NTA) and Units on Issue for each of the Smartshares exchange traded funds as at 14 January 2020.

NZX Code	Fund Name	NTA	Units on Issue	Change in Units
APA	Smartshares Asia Pacific ETF	2.15762	57,797,000	-
ASD	Smartshares Australian Dividend ETF	1.72951	16,929,541	-
ASF	Smartshares Australian Financials ETF	7.29463	3,740,468	-
ASP	Smartshares Australian Property ETF	1.63641	28,422,531	-
ASR	Smartshares Australian Resources ETF	5.29803	6,907,633	-
DIV	Smartshares NZ Dividend ETF	1.33965	51,032,211	-
EMF	Smartshares Emerging Markets ETF	1.39792	94,222,000	-
EUF	Smartshares Europe ETF	1.77048	102,040,313	-
FNZ	Smartshares NZ Top 50 ETF	3.17555	226,051,179	-
GBF	Smartshares Global Bond ETF	3.26917	66,209,618	-
MDZ	Smartshares NZ Mid Cap ETF	6.11465	28,340,674	-
MZY	Smartshares Australian Mid Cap ETF	7.48624	16,757,274	-
NPF	Smartshares NZ Property ETF	1.49841	80,511,748	-
NZB	Smartshares NZ Bond ETF	3.08816	110,821,618	30,000
NZC	Smartshares NZ Cash ETF	2.97052	39,597,373	-
OZY	Smartshares Australian Top 20 ETF	3.99562	34,356,267	-
TNZ	Smartshares NZ Top 10 ETF	1.97938	64,881,573	-
TWF	Smartshares Total World ETF	2.49382	24,634,273	25,000
USF	Smartshares US 500 ETF	9.11061	30,546,001	15,000
USG	Smartshares US Large Growth ETF	5.61673	8,332,962	20,325
USM	Smartshares US Mid Cap ETF	5.43283	15,160,716	-
USS	Smartshares US Small Cap ETF	5.01153	13,922,687	-
USV	Smartshares US Large Value ETF	3.64143	21,662,500	-
AGG	Smartshares Global Aggregate Bond ETF	1.33778	24,028,862	-
BOT	Smartshares Automation and Robotics ETF	3.28919	2,764,222	20,165
EMG	Smartshares Emerging Markets Equities ESG ETF	2.24907	7,915,671	-
ESG	Smartshares Global Equities ESG ETF	2.18707	2,553,105	-
EUG	Smartshares Europe Equities ESG ETF	2.48375	6,440,261	-
JPN	Smartshares Japan Equities ESG ETF	2.01120	5,814,214	-
LIV	Smartshares Healthcare Innovation ETF	2.50131	1,769,021	-
USA	Smartshares US Equities ESG ETF	2.26731	8,560,578	32,287

### About Smartshares

Smartshares is the pioneer of ETFs in New Zealand, launching the first ETF (TNZ - the NZ Top 10 Fund) in 1996. Smartshares is New Zealand's only issuer of ETFs listed on the NZX Main Board, with in excess of 17,000 unit holders and more than NZD \$3.3 billion of funds under management. The Special Division of the New Zealand Markets Disciplinary Tribunal regulates Smartshares in a similar way to NZX Regulation's regulation of other listed issuers. Smartshares funds are listed on NZX so you can buy or sell them just like individual shares. The listed funds are designed to "track" (or, in some cases, outperform) an index. Each Smartshares ETF is designed to provide investors with similar returns to a direct investment in all the securities that make up the ETF. To learn more and obtain a copy of the Product Disclosure Statement, please visit: [www.smartshares.co.nz](http://www.smartshares.co.nz)

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